

# Computationally Efficient Wavelet Affine Invariant Functions for Shape Recognition

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**Abstract**—An affine invariant function for object recognition is constructed from wavelet coefficients of the object boundary. In previous works, undecimated dyadic wavelet transform was used to construct affine invariant functions. In this paper, an algorithm based on decimated wavelet transform is developed to compute an affine invariant function. As a result computational complexity is reduced without decreasing recognition performance. Experimental results are presented.

**Index Terms**—Affine transformation, decimated wavelet transform, shape recognition, computational efficiency.

## 1 INTRODUCTION

OBJECT recognition is an important problem in computer vision and pattern analysis [1], [2], [3], [4], [5], [6]. In this paper, recognition of objects from their boundaries that are subject to affine transformations is considered. The affine transformation includes rotation, scaling, skewing, and translation. It preserves parallel lines and equispaced points along a line. In some cases, the affine transformation can also be used to approximate the perspective transformation [1].

Several features that are linear under an affine transformation were developed in the literature. The most commonly used ones are affine arc length [7], affine invariant Fourier descriptors [2], and moment invariants [3]. Recently, dyadic wavelet transform was also used to develop several affine invariant functions [5], [10]. These functions are constructed from undecimated wavelet coefficients, which are produced after computing the wavelet transform of a curve corresponding to the boundary of the object. Unlike the fast discrete wavelet transform, the number of coefficients in these schemes is not halved by decimation at each resolution level [13]. In other words, if the input signal is of length  $N$ , then the number of wavelet coefficients at each resolution level is also  $N$ . In this paper, a new algorithm based on decimated wavelet transform is developed to compute the affine invariant functions proposed in [5]. This algorithm leads to a more computationally efficient object recognition scheme due to the fact that the number of wavelet coefficients handled is decreased by a factor of two at each resolution level.

The paper is organized as follows: In Section 2, some background information on affine invariant functions is presented. In Section 3, the proposed computationally efficient algorithm is presented. In Section 4, experimental results are presented. In addition, a new object recognition scheme based on linear combination of affine invariant functions constructed from multiple resolution wavelet coefficients is presented. It is observed that

recognition performance is comparable to other wavelet based schemes.

## 2 BACKGROUND

Consider a parametric curve  $\{x(t), y(t)\}$  with parameter  $t$  on a plane. A point on the curve under an affine transformation becomes

$$\tilde{x}(t) = a_0 + a_1x(t) + a_2y(t), \quad (1)$$

$$\tilde{y}(t) = b_0 + b_1x(t) + b_2y(t). \quad (2)$$

Equations (1) and (2) can be rewritten in matrix form as follows:

$$\begin{bmatrix} \tilde{x}(t) \\ \tilde{y}(t) \end{bmatrix} = \begin{bmatrix} a_1 & a_2 \\ b_1 & b_2 \end{bmatrix} \begin{bmatrix} x(t) \\ y(t) \end{bmatrix} + \begin{bmatrix} a_0 \\ b_0 \end{bmatrix} = A \begin{bmatrix} x(t) \\ y(t) \end{bmatrix} + B, \quad (3)$$

where the nonsingular matrix  $A$  represents the scaling, rotating, and skewing transformation and the vector  $B$  corresponds to the translation. Jacobian,  $J$ , of the transformation is  $J = a_1b_2 - a_2b_1 = \det(A)$ .

Let  $I(t)$  be an affine invariant function and  $\tilde{I}(t)$  be the same invariant function calculated using the points that are subject to the affine transformation. The relation between the two invariant functions can be formulated as:

$$\tilde{I} = IJ^\omega. \quad (4)$$

The exponent  $\omega$  is called the weight of the invariance. If  $\omega = 0$ , then  $I$  is called an absolute invariant, else it is called a relative invariant.

## 3 AFFINE INVARIANT FUNCTIONS USING DECIMATED WAVELET COEFFICIENTS

Wavelet transform was used to recognize planar objects under the similarity transformation in [8], [9]. Affine invariant functions using the dyadic wavelet transform was derived by Tieng and Boles [10] and Khalil and Bayoumi [5]. The main difference between [10] and [5] is that, in [10], two dyadic levels were used, whereas in [5], a wavelet-based conic equation was introduced. This leads to an affine invariant function of six or more dyadic levels.

Discrete dyadic wavelet transform (DWT) of a signal is implemented using halfband lowpass and highpass filters forming a filterbank together with downsamplers [11]. The filterbank produces two sets of coefficients: orthogonal detail (or wavelet) coefficients which are the even outputs of the highpass filter, and the approximation coefficients which are the even outputs of the lowpass filter. Samples with odd indices are dropped by the downsamplers in decimated implementation. Due to downsampling computational cost of implementing DWT drops to  $O(N \log N)$  (even to  $O(N)$  for some wavelets).

Let us denote the wavelet transform of the signal  $x(t)$  at the resolution level (or scale)  $i$  as  $W_i x(t)$ , then the wavelet transform of (1) and (2) will be

$$W_i \tilde{x}(t) = a_1 W_i x(t) + a_2 W_i y(t), \quad (5)$$

$$W_i \tilde{y}(t) = b_1 W_i x(t) + b_2 W_i y(t). \quad (6)$$

Note that  $W_i a_0 = W_i b_0 = 0$  because of the highpass filter.

Let the signal pair  $x(t)$  and  $y(t)$  represent the boundary of an object. An affine invariant function for an object using the wavelet coefficients of signals  $x(t)$  and  $y(t)$  for two scale levels  $i, j$  ( $i \neq j$ ) can be defined as

$$f_{ij}(t) = W_i x(t) W_j y(t) - W_i y(t) W_j x(t). \quad (7)$$

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It can be easily shown that

$$\tilde{f}_{ij}(t) = W_i \tilde{x}(t) W_j \tilde{y}(t) - W_i \tilde{y}(t) W_j \tilde{x}(t) = \det(A) f_{ij}(t). \quad (8)$$

This invariant function  $f_{ij}(t)$  defined in [5] uses only the detail coefficients calculated at two different levels. In [10], another affine invariant function using both the detail and approximation coefficients of the same dyadic level is defined. In [5], (7) is also used to construct a wavelet-based conic equation leading to an affine invariant function based on six dyadic levels.

All of the invariant functions defined in [5], [10] are computed using the undecimated implementation of the wavelet transform (WT) which does not use downsampling operation after filtering. This does not use the potentials of decreasing the computational cost of the wavelet transform by decimation. If the length of the original signal is  $N$ , then for the undecimated wavelet transform, length- $N$  signals are filtered at each level. However, in the decimated implementation of the wavelet transform, the signal length is halved due to downsampling operation performed after each filtering step. In this paper, we develop an algorithm to compute the affine invariant function defined in (7) using the orthogonal decimated wavelet transform scheme. The wavelet signal  $W_i x(t)$ , at resolution scale  $i = 1$  can be expressed as

$$W_i x(t) = \sum d_k w(t - k), i = 1, \quad (9)$$

where  $d_k$  are the wavelet coefficients computed using a decimated filterbank at resolution scale  $i = 1$  and  $w(t)$  is the so-called mother wavelet. If the length of the data is  $N$  ( $N = 512$  is chosen in this paper) then the limits of summation in (9) go from  $k = 0$  to  $k = N - 1$  assuming a circular computation of the WT. Similarly,  $W_j y(t)$ , can be expressed for  $j = 2$  as follows:

$$W_j y(t) = \sum e_l w(t/2 - l), \quad (10)$$

where  $e_l$  are the wavelet coefficients at resolution scale  $j = 2$ . In this case, the limits of the summation go from  $l = 0$  to  $l = N/2 - 1$  due to downsampling. Let us assume that  $w(t)$  is the Haar wavelet, i.e.,

$$\begin{aligned} w(t) &= 1 \text{ for } 0 < t < 0.5, \quad w(t) = -1 \text{ for } 0.5 < t < 1, \\ w(t) &= 0, \text{ otherwise.} \end{aligned} \quad (11)$$

The first term of (7) can be expressed as

$$W_i x(t) W_j y(t) = \sum \sum d_k e_l w(t - k) w(t/2 - l) \text{ for } i = 1, j = 2. \quad (12)$$

Direct computation of (12) and the affine invariant function defined in (7) requires  $N \times N/2$  multiplications, respectively. However, notice that  $w(t)w(t/2) = w(t)$ ,  $w(t)w(t/2 - k) = 0$ , for  $k > 1$ , since the Haar wavelet has a compact support with length 1. Similarly,  $w(t - 2)w(t/2 - 1) = w(t - 2)$ ,  $w(t - 3)w(t/2 - 1) = -w(t - 3)$ , etc. By taking advantage of these relations the double sum in (12) can be reduced to a single summation as follows:

$$\begin{aligned} W_i x(t) W_j y(t) &= \sum_{k=0, \text{even}}^N d_k e_{k/2} w(t - k) \\ &- \sum_{k=1, \text{odd}}^N d_k e_{(k-1)/2} w(t - k), \text{ for } i = 1, j = 2. \end{aligned} \quad (13)$$

Computation of the right hand side of (13) requires only  $N$  multiplications. The affine invariant function,  $f_{ij}(t)$  for  $j = i + 1$ , can be expressed as

$$\begin{aligned} f_{ij}(t) &= \sum_{k, \text{even}} d_k^i e_{k/2}^{i+1} w_i(t - k) - \sum_{k, \text{odd}} d_k^i e_{(k-1)/2}^{i+1} w_i(t - k) \\ &+ \sum_{k, \text{even}} e_k^i d_{k/2}^{i+1} w_i(t - k) - \sum_{k, \text{odd}} e_k^i d_{(k-1)/2}^{i+1} w_i(t - k), \end{aligned} \quad (14)$$

where  $w_i(t) = w(t/2^i)$  is the wavelet of the resolution scale  $i$ ,  $d_k^i$  and  $e_k^i$  are the wavelet coefficients of the signals  $x$  and  $y$  at resolution level  $i$ , respectively. An important feature of this equation is that it can be computed using the computationally efficient orthogonal wavelet transform as the wavelet coefficients  $d_k^i$  and  $e_k^i$  can be computed using a filterbank having down-samplers. Equations (13) and (14) are developed for the specific case of  $i = 1, j = i + 1$ . However, similar equations with  $O(N)$  complexity can be easily developed to any  $i, j$  values because there may not be any time overlap between the wavelet at resolution  $i$  and its delayed versions at resolution level  $j$ . Such terms in (12) will disappear leading to an equation which can be implemented in a computationally efficient manner. For example, in Haar wavelet case,  $w(t)w(4t - 4) = w(t)w(4t - 5) = \dots = 0$ ; in addition,

$$w(t)w(t/2^j) = w(t), \dots, w(t - j)w(t/2^j) = -w(t - j),$$

etc. Since all the affine invariant functions developed in [5] are based on  $f_{ij}(t)$  they can be computed using decimated wavelet transform. As a result significant amount of computational savings can be achieved. In the undecimated WT implementation, length- $N$  signals are filtered at each level whereas in decimated implementation length- $N/2^i$  signals are filtered at resolution level  $i$  and the final stage of constructing  $f_{ij}(t)$  requires only  $O(N)$  arithmetic operations.

Equation (14) is obtained by taking advantage of the fact Haar wavelet has compact support. Some computationally efficient signal reconstruction algorithms from WT also take advantage of this fact [12]. In fact, all wavelets constructed from FIR filters have compact support. Therefore the double summation in (7) can be reduced to a set of single summations as in (13) for all compactly supported wavelets and equations similar to (14) can be obtained as well. For example, the widely used Daubechies-4 wavelet has a compact support of length of 6, i.e.,  $w(t) = 0$ , for  $t > 6$ , and  $t < 0$ . In the case of Daubechies-4 wavelet,  $w(t)w(t/2 - k) = 0$ , for  $k > 3$ . This leads to a slightly higher computational cost than Haar wavelet but longer wavelets are more robust to noise compared to Haar wavelet. In general, the length of data  $N$  (e.g.,  $N = 512$ ) is much higher than the support length of most wavelets. Therefore, computational savings are significant.

Although the decimated wavelet coefficients are translation variant (14) is translation invariant as the continuous-time function  $f_{ij}(t)$  can be computed for all  $t$  values using the right hand side of (14). Because the wavelet functions  $w_i(t - k)$  as interpolation functions in (14), in practice  $f_{ij}(t)$  is computed for uniformly spaced  $N = 512$  points  $t = 0, 1, \dots, 511$  in [10] and in this paper.

Equation (7) can be implemented in  $2(p^*N + q^*N + N)$  multiplications where  $p$  and  $q$  are the lengths of the FIR filters to implement  $W_i x(t)$  and  $W_j y(t)$ , respectively. Undecimated filter orders can get quite high even for short wavelet filters and small  $i, j$  values [11]. For example, filter orders  $p$  and  $q$  for undecimated wavelet decompositions are  $p = L + (2L - 1) - 1 = 10$  for  $i = 2$  and  $q = p + (4L - 3) - 1 = 22$  for  $j = 3$ , respectively, where  $L = 4$  and Daubechies-4 wavelets are assumed to be used. As a result,  $f_{2,3}(t)$  can be implemented with  $66N$  multiplications using (7).

In our case,  $i = 2$ nd and  $j = 3$ rd order decimated wavelet transform coefficients can be computed in  $L^*N/2 + L^*N/4$  and  $L^*N/2 + L^*N/4 + L^*N/8$  multiplications, respectively. Each term of (14) requires  $2 * N/8$  multiplications for  $i = 2$  and  $j = 3$  case with the assumption that values of the wavelet function is retrieved from a table. In the Haar wavelet case,  $f_{ij}(t)$  consists of

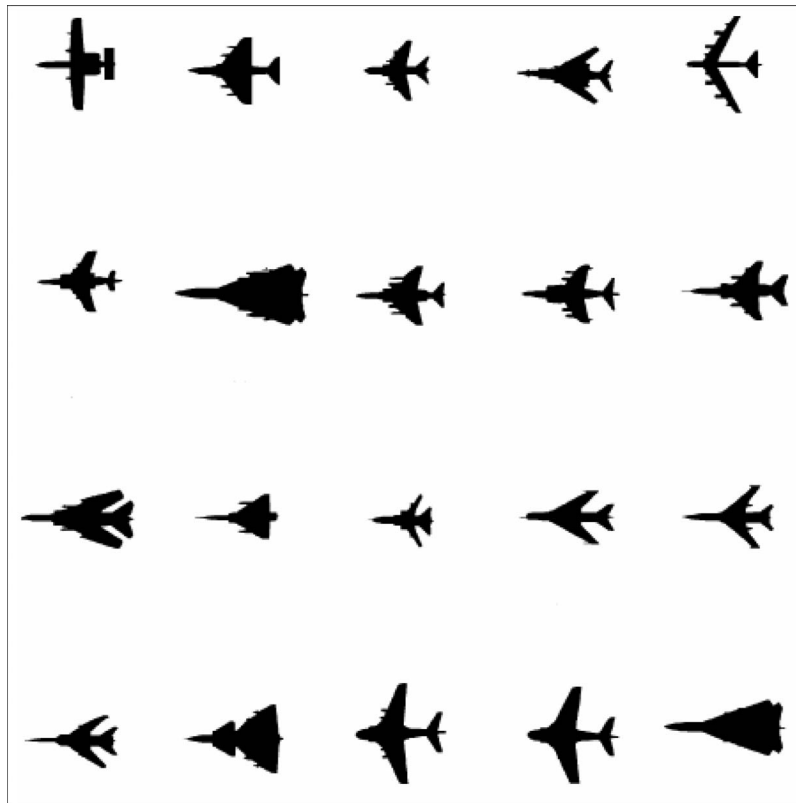


Fig. 1. Model airplane images (numbered from 1 to 20; left to right, top to bottom).

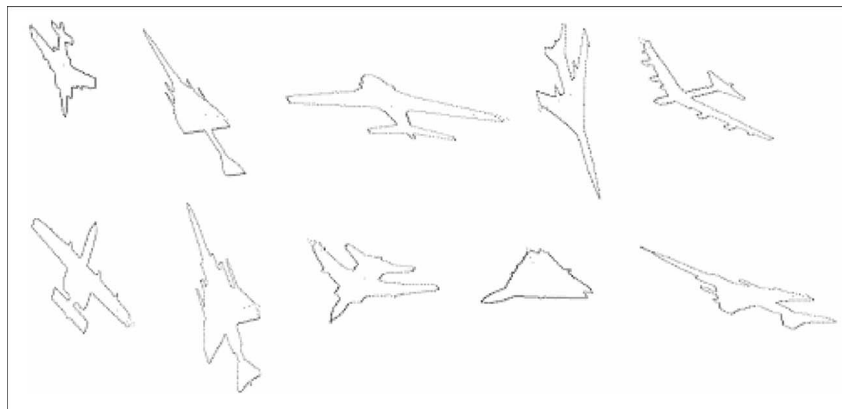


Fig. 2. Test images (numbered from 1 to 10; left to right, top to bottom).

four terms because the support of the Haar wavelet is one unit. Daubechies-4 wavelet has a support of six, thus,  $f_{ij}(t)$  consists of  $6*2*2 = 24$  terms. Therefore, the overall computational cost is about  $24*N*1/8 + 2*N + N + 2*N + N + N/2 = 12.5N$  which is significantly lower than  $66N$ . Savings are higher for larger  $i, j$  values because undecimated filter orders increase as the decomposition level increases.

#### 4 EXPERIMENTAL RESULTS

A computationally efficient algorithm is proposed in the previous section for calculating an affine invariant function for object recognition. In this section, a set of experiments similar to those in [5] were carried out. The aim of performing these experiments is to measure the recognition efficiency of the proposed affine invariant function in (14). This affine invariant function makes use of two

TABLE 1  
The Model Images Used to Produce the Test Images

Test image	1	2	3	4	5	6	7	8	9	10
Model image	6	2	19	15	5	1	8	16	20	10



Fig. 3. Noisy versions of the first test image (left 44 dB, right 23 dB).

resolution levels  $i$  and  $j$ , such that  $j = i + 1$ . The similarity between any two affine invariant functions  $I_1(t)$  and  $I_2(t)$  is measured by a correlation function,  $R$ , as follows:

$$R(I_1(t), I_2(t)) = \frac{\int I_1(t)I_2(t)dt}{\|I_1\|\|I_2\|}. \quad (15)$$

The experiment uses the same set of twenty model plane images used in [5]. Fig. 1 illustrates these model plane images. The boundaries of the 10 of these model plane images were subject to random affine transformations to produce the test images, which are illustrated in Fig. 2. The model images that were used to produce the test images are given in Table 1.

Correlation between two affine invariant functions was calculated using (15). This value was then used to discriminate the two objects. However, a linear combination of two or more correlation values, each calculated from affine invariant functions by using different resolution levels, could be used to increase the robustness of the scheme. In this scheme,  $k$  invariant functions for a given test object are calculated by using consecutive pairs of resolution levels  $(i_1, i_{1+1}), (i_2, i_{2+1}), \dots, (i_k, i_{k+1})$ . Corresponding  $k$  invariant functions for each model object are kept in a database. Correlations between the  $k$  invariant functions of the test object and each model object are then computed to get the correlation values,  $R_1, R_2, \dots, R_k$ . The final correlation value is then calculated by linearly combining the  $k$  correlation values as follows:  $R_{final} = \nu_1 R_1 + \nu_2 R_2 + \dots + \nu_k R_k$ , where  $\nu_1 + \nu_2 + \dots + \nu_k = 1$ . The model image whose correlation value becomes the largest is decided to be identical to the test image. As a rule of thumb, more weight should be given to resolution levels containing more signal energy to obtain robustness against noise. This approach gives us also the flexibility of sampling  $f_{ij}(t)$  in a nonuniform manner; for example,

TABLE 2  
The Best Five Matches between the Test Images and the Model Images for Small Noise Level (SNR=50 dB)

Test Image	1.	2.	3.	4.	5.
1	0.9997	0.9225	0.8837	0.7937	0.6210
2	0.9986	0.6248	0.5005	0.4521	0.4304
3	0.9996	0.7174	0.6474	0.5942	0.3490
4	0.9998	0.9185	0.8219	0.6925	0.6255
5	0.9996	0.6564	0.5654	0.5276	0.4760
6	0.9995	0.6432	0.4841	0.4209	0.3631
7	0.9992	0.6437	0.5695	0.5087	0.4406
8	0.9994	0.7603	0.6855	0.6197	0.5014
9	0.9976	0.5770	0.4906	0.3837	0.3126
10	0.9985	0.6445	0.5886	0.5655	0.4765

TABLE 3  
The Best Five Matches between the Test Images and the Model Images for High Noise Level (SNR=20 dB)

Test Image	1.	2.	3.	4.	5.
1	0.9617	0.8870	0.8407	0.7635	0.5971
2	0.8602	0.5658	0.4822	0.4378	0.3891
3	0.9534	0.6801	0.6109	0.5557	0.3504
4	0.9750	0.8935	0.8010	0.6790	0.6034
5	0.9512	0.6459	0.5484	0.5107	0.4628
6	0.9376	0.6265	0.4883	0.4008	0.3642
7	0.9089	0.6144	0.5457	0.4818	0.3965
8	0.9148	0.6858	0.6280	0.5524	0.4859
9	0.7869	0.5612	0.4625	0.4074	0.3401
10	0.8552	0.6181	0.5478	0.4986	0.4324

at the resolution level pair (3,4),  $f_{3,4}(t)$  could be computed at  $M$  points but at the next resolution level pair (4,5),  $f_{4,5}(t)$  could be computed at  $M/2$  points, etc., to achieve computational savings in computing the correlation functions. The recognition experiments are carried out under two different levels of uniformly distributed random noise which is added to the boundaries of the test images. The signal to noise ratio (SNR) is defined as in [5]. In the first set of experiments, the SNR is about 50 dB, and in the second set of experiments the SNR is about 20 dB. The boundary signals of all the objects are normalized to length 512. The two noisy versions of the first test image are illustrated in Fig. 3. As it could be clearly observed from Fig. 3, the amount of noise added is sufficiently high that any numerical errors that could be created due to imperfect sampling or quantization operations would in fact be negligible. The type of the wavelet used is also identical to the one used in [5].

The correlation values for the simulations are tabulated in tables below. Table 2 gives the highest five correlation values for each test image with SNR 50 dB, and Table 3 gives the highest five correlation values for each test image with SNR 20 dB. In all experiments, the test images are identified correctly. For both high and low noise power levels, the highest correlation value is produced with the model image from which the test image is constructed by applying a random affine transformation. In all experiments summarized in Table 2 and Table 3, resolution level pairs (4,5), (5,6), and (6,7) are used to calculate the invariant functions and correlation values. The final correlation value is computed by taking a linear combination of these correlation values with corresponding weights chosen as  $\nu_1 = 0.4, \nu_2 = 0.3, \nu_3 = 0.3$ , respectively.

The proposed scheme is also compared with the undecimated wavelet based scheme described in [5] in terms of CPU time in Matlab implementation. The total CPU time needed to recognize the ten test images was calculated in both cases. In both schemes, resolution level pairs of (4,5) were used. It was observed that the proposed scheme requires about 0.375 seconds to complete the task, whereas the undecimated wavelet based scheme requires 0.8 seconds in a Pentium IV 2.5 GHz PC. Our Matlab software is not optimized. The time required by the proposed scheme could be further decreased by optimizing the Matlab code.

## 5 CONCLUSION

The problem of 2D object recognition using affine invariant functions is considered. In previous works, undecimated wavelet transform was used for constructing affine invariant functions. In this paper, an algorithm based on decimated wavelet transform is developed to compute the same affine invariant functions. As a result, computational complexity is reduced without decreasing recognition performance. It is experimentally shown that the invariant function detects the affine transformed objects with high accuracy.

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