Hurwitz equivalence of braid monodromies and extremal elliptic surfaces

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ABSTRACT

We discuss the equivalence between the categories of certain ribbon graphs and subgroups of the modular group \( \Gamma \) and use this equivalence to construct exponentially large families of not Hurwitz equivalent simple braid monodromy factorizations of the same element. As an application, we also obtain exponentially large families of topologically distinct algebraic objects such as extremal elliptic surfaces, real trigonal curves, and real elliptic surfaces.

1. Introduction

Strictly speaking, the principal results of the paper concern extremal elliptic surfaces; see Subsection 1.3. However, we start with discussing a few applications to the braid monodromy, which seems to be a subject of more general interest.

1.1. Braid monodromy

Throughout the paper, we use the notation \([g] = [g]_G\) for the conjugacy class of an element \(g \in G\) or a subgroup \(H \subset G\) of a group \(G\).

**Definition 1.1.** Given a group \(G\), a \((G\text{-valued})\) monodromy factorization of length \(r\) is a sequence \(\bar{m} = (m_1, \ldots, m_r)\) of elements of \(G\). Two monodromy factorizations are strongly (Hurwitz) equivalent if they are related by a finite sequence of Hurwitz moves

\[
(..., m_i, m_{i+1}, ...) \mapsto (..., m_i^{-1}m_{i+1}m_i, m_i, ...)
\]

and their inverse. Two monodromy factorizations are weakly equivalent if they are related by a sequence of Hurwitz moves and their inverse and/or global conjugation

\[
\bar{m} = (m_i) \mapsto g^{-1}\bar{m}g := (g^{-1}m_ig), \quad g \in G.
\]

(In what follows, the weak equivalence is often referred to as just equivalence.)

Sometimes it is required that each element \(m_i\) of a monodromy factorization should belong to the union \(\bigcup_j C_j\) of several conjugacy classes \(C_j\) fixed in advance. Thus, a \(B_n\)-valued monodromy factorization is called simple if each \(m_i\) is conjugate to the Artin generator \(\sigma_1\); see Definition 5.1.

Sometimes, a monodromy factorization is also called a Hurwitz system.

Note that we regard a monodromy as an anti-homomorphism; see Paragraph 1.1.1 below. This convention explains the slightly unusual form of the Hurwitz moves and the fact that the order of multiplication is reversed in Paragraph 1.1.2(1). However, the precise expressions for the Hurwitz moves are hardly ever used.
In this paper, we mainly deal with the first non-abelian braid group \( \mathbb{B}_3 \) and the closely related groups \( \Gamma := SL(2, \mathbb{Z}) \) and \( \Gamma := PSL(2, \mathbb{Z}) \). A \( \Gamma \)- or \( \Gamma \)-valued monodromy factorization \( (m_i) \) is called \textit{simple} if each \( m_i \) belongs to the conjugacy class \([XY]\); see Subsection 2.1 for the notation. The classifications of simple monodromy factorizations (up to weak/strong Hurwitz equivalence) in all three groups coincide; see Proposition 5.2.

1.1.1. A \( G \)-valued monodromy factorization \( \bar{m} = (m_1, \ldots, m_r) \) can be regarded as an anti-homomorphism \( \langle \gamma_1, \ldots, \gamma_r \rangle \to G, \gamma_i \mapsto m_i, \ i = 1, \ldots, r \). In this interpretation, Hurwitz moves generate the canonical action of the braid group \( \mathbb{B}_r \) on the free group \( \langle \gamma_1, \ldots, \gamma_r \rangle \), and the global conjugation represents the adjoint action of \( G \) on itself. Geometrically, anti-homomorphisms as above arise from locally trivial fibrations \( X^2 \to B^2 \) over a punctured disc; then \( G \) is the (appropriately defined) mapping class group of the fibre over a fixed point \( b \in \partial B^2 \) and \( \langle \gamma_1, \ldots, \gamma_r \rangle \) is a geometric basis for \( \pi_1(B^2, b) \). In this set-up, Hurwitz moves can be interpreted either as basis changes or as automorphisms of \( B^2 \) fixed on the boundary (see [4]), and the topological classification of fibrations reduces to the purely algebraic classification of \( G \)-valued monodromy factorizations up to weak Hurwitz equivalence. The best known examples are

1.\ (1) ramified coverings (the fibre is a finite set and \( G = \mathbb{S}_n \); see [20]);
2.\ (2) algebraic or, more generally, pseudo-holomorphic and Hurwitz curves in \( \mathbb{C}^2 \) (the fibre is a punctured plane and \( G = \mathbb{B}_n \); see [2, 3, 7, 8, 9, 21, 24, 25, 27, 28, 30, 31, 37]);
3.\ (3) (real) elliptic surfaces or, more generally, (real) Lefschetz fibrations of genus 1 (the fibre is an elliptic curve/topological torus and \( G = \Gamma \); see [3, 6, 13, 17, 23, 27, 30, 31, 33, 35]).

The last two subjects are quite popular and the reference lists are far from complete: I tried to cite the founding papers and a few recent results/surveys only.

Usually it is understood that the punctures of \( B^2 \) correspond to the singular fibres of a fibration \( X \to B \) over a disc, the type of each singular fibre \( F \) being represented by the conjugacy class of the local monodromy about \( F \). Thus, in the three examples above, simple monodromy factorizations correspond to fibrations with singular fibres which are simplest in the sense that they are not removable by a small local deformation.

1.1.2. The following is a list of the most commonly used weak/strong equivalence invariants of a \( G \)-valued monodromy factorization \( \bar{m} \):

1.\ (1) the \textit{monodromy at infinity} \( m_\infty(\bar{m}) := m_r \cdots m_1 \in G \) is a strong invariant; its conjugacy class \([m_\infty(\bar{m})] \) is a weak invariant;
2.\ (2) the \textit{monodromy group} \( \text{Im}(\bar{m}) := \langle m_1, \ldots, m_r \rangle \subset G \) is a strong invariant; its conjugacy class \([\text{Im}(\bar{m})] \) is a weak invariant;
3.\ (3) for \( G = SL(2, \mathbb{Z}) \), the \textit{transcendental lattice} \( T(\bar{m}) \) (see Subsection 7.1 for the definition and generalizations) is a weak invariant;
4.\ (4) for \( G = \mathbb{B}_3 \), define the \textit{(affine) fundamental group} (see [21, 37])

\[
\pi_1(\bar{m}) := \langle \alpha_1, \alpha_2, \alpha_3 \mid m_i(\alpha_j) = \alpha_j \text{ for } i = 1, \ldots, r, j = 1, 2, 3 \rangle;
\]

the homomorphism \( \langle \alpha_1, \alpha_2, \alpha_3 \rangle \to \pi_1(\bar{m}) \) is a strong invariant; it depends on \( \text{Im}(\bar{m}) \) only; the isomorphism class of the abstract group \( \pi_1(\bar{m}) \) is a weak invariant; it depends on \([\text{Im}(\bar{m})] \) only.

Due to Proposition 5.2, the invariants (3) and (4) apply equally well to simple \( \mathbb{B}_3 \)-, \( \Gamma \)-, and \( \Gamma \)-valued monodromy factorizations. Note that often it is the group (4) that is the ultimate goal of computing the monodromy factorization in the first place.

Geometrically, most important is the monodromy at infinity (1): in the set-up of Paragraph 1.1.1, it corresponds to the monodromy along the boundary \( \partial B \), and the monodromy factorizations \( \bar{m} \) with a given class \([m_\infty(\bar{m})] \subset G \) enumerate the extensions to \( B \) of a given fibration over \( \partial B \). For this reason, a monodromy factorization \( \bar{m} \) is often regarded as a
factorization of a given element $m_\infty(\bar{m})$ (which explains the term). The geometric importance of the extension problem, a number of partial results, and extensive experimental evidence give rise to the following two long-standing questions.

**Question 1.2.** Is the weak/strong equivalence class of a simple $\mathbb{B}_n$-valued monodromy factorization $\bar{m}$ determined by the monodromy at infinity $m_\infty(\bar{m})$? (Note that the length of $\bar{m}$ is determined by $m_\infty(\bar{m})$; see Paragraph 5.1.2.)

**Question 1.3.** If two simple $\mathbb{B}_n$-valued monodromy factorizations $\bar{m}_1, \bar{m}_2$ have the same monodromy at infinity and are weakly equivalent, are they also strongly equivalent? In other words, if a simple monodromy factorization $\bar{m}$ is conjugated by an element of $G$ commuting with $m_\infty(\bar{m})$, is the result strongly equivalent to $\bar{m}$?

The answer to Question 1.2 is in the affirmative if $n = 3$ and $m_\infty(\bar{m})$ is a central (see [27]) or, more generally, positive (with respect to the Artin basis, see [31]) element of $\mathbb{B}_3$. Furthermore, for any $n$, two monodromy factorizations sharing the same monodromy at infinity are known to be stably equivalent; see [25] or [24] for details. An example of two non-equivalent simple $\mathbb{B}_4$-valued monodromy factorizations of length 6 was recently constructed in [26]. The corresponding Hurwitz curves differ by the number of components (one is irreducible and one is not); hence, the monodromy factorizations differ by the fundamental group.

The condition that $\bar{m}$ should be simple in Question 1.2 is crucial: in general, a monodromy factorization is not unique. The first example was essentially found in [37], and a great deal of other examples have been discovered since then. A few new examples are discussed in Subsections 5.5 and 5.6. In particular, we give a very simple, not computer-aided, proof of the non-equivalence of the two monodromy factorizations considered in [3].

### 1.2. Principal results

We answer Questions 1.2 and 1.3 in the negative for the braid group $\mathbb{B}_3$ (and related groups $\Gamma$ and $\tilde{\Gamma}$; see Proposition 5.2). The inclusion $\mathbb{B}_3 \hookrightarrow \mathbb{B}_n$ implies a negative answer for the other braid groups as well, at least concerning the strong equivalence; see Paragraph 5.1.3.

Let $T(k)$ be the number of isotopy classes of trees $\Xi \subset S^2$ with $k$ trivalent vertices and $(k+2)$ monovalent vertices (and no other vertices); see Section 4 and Corollary 4.3. Let $C(k) = \left(\frac{4k}{k+1}\right)$ be the $k$th Catalan number, and let $\tilde{T}(k) = (5k+4)C(k)/(k+2)$; see Subsection 4.2 and Corollary 4.3. Note that each of the three series grows faster than $a^k$ for any $a < 4$. The first few values of $T(k)$ and $\tilde{T}(k)$ are listed in Table 1.

**Theorem 1.4.** For each integer $k \geq 0$, there is a set $\{\bar{m}_i\}$, $i = 1, \ldots, \tilde{T}(k)$, of simple $\Gamma$-valued monodromy factorizations of length $(k+2)$ that share the same

1. monodromy at infinity $m_\infty(\bar{m}_i) = (XY)^{-5k-4}$;
2. transcendental lattice $T(\bar{m}_i)$ (see Example 7.9) and
3. fundamental group $\pi_1(\bar{m}_i)$ (which is $\mathbb{Z}$ for $k \geq 2$);

**Table 1. A few values of $T(k)$ and $\tilde{T}(k)$.**

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<th>$k$</th>
<th>0</th>
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</tr>
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<tr>
<td>$T(k)$</td>
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<td>1</td>
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<td>4</td>
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<tr>
<td>$\tilde{T}(k)$</td>
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<td>56</td>
<td>174</td>
<td>561</td>
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but are not strongly equivalent: the monodromy groups \( \text{Im}(\bar{m}_i) \subset \Gamma \) are pairwise distinct subgroups of index \( 6(k + 1) \).

Recall once again that, due to Proposition 5.2 below, both the transcendental lattice and the fundamental group are well defined for a simple \( \Gamma \)-valued monodromy factorization, as it lifts to a unique simple \( \tilde{\Gamma} \)- and \( \mathbb{B}_3 \)-valued one, respectively.

**Theorem 1.5.** For each \( k \), the monodromy factorizations \( \bar{m}_i \) in Theorem 1.4 form \( T(k) \) distinct weak equivalence classes: they are distinguished by the conjugacy classes \([\text{Im}(\bar{m}_i)]\) of the monodromy groups.

Since \( T(k) < \tilde{T}(k) \) for all \( k \geq 0 \), one has the following corollary.

**Corollary 1.6.** For each integer \( k \geq 0 \), there is a pair of conjugate simple \( \Gamma \)-valued monodromy factorizations of length \( (k + 2) \) that share the same monodromy at infinity \( (XY)^{-5k-4} \) but are not strongly equivalent.

Theorems 1.4 and 1.5 are proved in Subsection 5.2; the monodromy factorizations in question are given by (5.3), and their \( \mathbb{B}_3 \)-valued counterparts are given by (5.4). The first example of weakly but not strongly equivalent \( \mathbb{B}_3 \)-valued monodromy factorizations given by Corollary 1.6 has length 2; it is as simple as

\[
\bar{m}' = (\sigma_1^2 \sigma_2 \sigma_1^{-2}, \sigma_2), \quad \bar{m}'' = (\sigma_1 \sigma_2 \sigma_1^{-1}, \sigma_1^{-1} \sigma_2 \sigma_1);
\]

see Example 5.7. (This example appeared first in [32].) The first example of non-equivalent monodromy factorizations given by Theorem 1.5 has length 6; see Example 5.6. In Subsection 5.4, we construct another example of not weakly equivalent monodromy factorizations of length 2; they also differ by the monodromy groups, which are of infinite index. A few other examples (not necessarily simple) are considered in Subsections 5.5 and 5.6.

### 1.3. Elliptic surfaces

Recall that an **extremal elliptic surface** can be defined as a Jacobian elliptic surface \( X \) of maximal Picard number, \( \text{rk} \, \text{NS}(X) = h^{1,1}(X) \), and minimal Mordell–Weil rank, \( \text{rk} \, \text{MW}(X) = 0 \). (For an alternative description, in terms of singular fibres; see Paragraph 2.2.3. Yet another characterization is the following: a Jacobian elliptic surface is extremal if and only if its transcendental lattice is positive definite; see [16].) Extremal elliptic surfaces are rigid (any small fibrewise equisingular deformation of such a surface \( X \) is isomorphic to \( X \)); they are defined over algebraic number fields.

In this paper, we mainly deal with elliptic surfaces with singular fibres of Kodaira types I, and I'. To shorten the statements, we call singular fibres of all other types, that is, Kodaira’s II, III, IV and II', III', IV', **exceptional**. (These types are related to the exceptional simple singularities/Dynkin diagrams \( E_6, E_7, E_8 \).)

Given two elliptic surfaces \( X_1 \) and \( X_2 \), a fibrewise homeomorphism \( \varphi : X_1 \to X_2 \) is said to be 2-orientation preserving or reversing if it, respectively, preserves or reverses the complex orientation of the bases and the fibres of the two elliptic fibrations.

**Theorem 1.7.** Two extremal elliptic surfaces without exceptional fibres are isomorphic if and only if they are related by a 2-orientation-preserving fibrewise homeomorphism.
Theorem 1.7 is not proved separately, as it is an immediate consequence of Theorem 2.17 below: the topological invariant distinguishing the surfaces is the conjugacy class in $\tilde{\Gamma}$ of the monodromy group of the homological invariant $\tilde{h}_X$; see Paragraph 2.2.2. In fact, we show that appropriate subgroups of $\tilde{\Gamma}$ classify extremal elliptic surfaces without exceptional fibres, both analytically and topologically.

Two extensions of Theorem 1.7 to somewhat wider classes of surfaces are proved in Subsections 3.3 (see Remark 3.10) and 3.4.

As a by-product, we obtain exponentially large collections of non-homeomorphic elliptic surfaces sharing the same combinatorial type of singular fibres.

Theorem 1.8. For each integer $k \geq 0$, there is a collection of $T(k)$ extremal elliptic surfaces that share the same combinatorial type of singular fibres, which is

1. $(k+2)I_1 \oplus I^*_5k+4$ if $k$ is even or
2. $(k+2)I_1 \oplus I_5k+4$ if $k$ is odd;

but are not related by a $2$-orientation-preserving fibrewise homeomorphism.

This theorem is proved in Subsection 4.3, and a few generalizations are discussed in Subsection 4.3. In fact, the surfaces were constructed in [12]. In [16], it is shown that they share as well such topological invariants as the transcendental lattice (see Example 7.9) and the fundamental group of the complement of the branch locus.

The proof of Theorems 1.7 and 2.17 is based on an explicit computation of the monodromy group $\text{Im}\tilde{h}_X$ of an extremal elliptic surface $X$ in terms of its skeleton $\text{Sk}_X$; see Paragraph 2.2.5. In a sense, we show that $\text{Sk}_X$ is $\text{Im}\tilde{h}_X$ (assuming that $X$ has no type $I^*$ singular fibres). As another consequence, we obtain an algebraic description of the reduced monodromy groups of such surfaces; see Subsection 3.5.

The principal tool in the proofs is a relation between subgroups of the modular group $\Gamma$ and certain ribbon graphs; see Subsection 2.3. As yet another consequence of this construction, we obtain a few results (which may be known to the experts) on the subgroups of $\Gamma$. To me, the most interesting seem to be Corollaries 3.6 and 3.19 characterizing the monodromy groups of simple monodromy factorizations (see also Remarks 4.5 and 4.6).

1.4. Real trigonal curves and real elliptic surfaces

We consider a few other applications of the relation between ribbon graphs and subgroups of $\Gamma$, primarily to illustrate that some classification problems are wilder than they may seem.

Recall that the Hirzebruch surface is the geometrically ruled surface $\Sigma_k \to \mathbb{P}^1$, $k > 0$, with an exceptional section $E$ of self-intersection $-k$. Up to isomorphism, there is a unique real structure (that is, anti-holomorphic involution) $\text{conj}: \Sigma_k \to \Sigma_k$ with non-empty real part $(\Sigma_k)_R := \text{Fix}\text{conj}$. A curve $C \subset \Sigma_k$ is real if it is invariant under $\text{conj}$. A trigonal curve is a curve $C \subset \Sigma_k$ disjoint from $E$ and intersecting each fibre of the ruling at three points (counted with multiplicity). Such a curve is generic if all its singular fibres are of type $I_1$ (simple tangency of the curve and a fibre of the ruling). A generic curve is necessarily non-singular.

(Often, an abstract trigonal curve is defined as a curve with a linear system of degree $3$. Any such curve admits an embedding to a Hirzebruch surface, and by a sequence of elementary transformation the image can be made disjoint from the exceptional section, although possibly singular. We adhere to the definition given in the previous paragraph as it is commonly accepted in the literature on the topology of real algebraic varieties.)

Theorem 1.9. For each integer $k \geq 0$, there is a collection of $T(k)$ generic real trigonal curves $C_i \subset \Sigma_{2k+2}$ such that all real parts $(C_i)_R \subset (\Sigma_{2k+2})_R$ are isotopic, but the curves are
not related by an equivariant 2-orientation-preserving fibrewise auto-homeomorphism of \( \Sigma_{2k+2} \) preserving the orientation of the real part \( \mathbb{P}^1_{\mathbb{R}} \) of the base of the ruling.

Theorem 1.9 is proved in Subsection 6.2, and a generalization is discussed in Subsection 6.3. The real part of each curve \( C_i \) in Theorem 1.9 consists of a ‘long’ component \( L \) isotopic to \( E_{\mathbb{R}} \) (see Paragraph 6.1.2) and \((5k+4)\) ovals, necessarily unnested; all ovals are in the same connected component of \( (\Sigma_{2k+2})_{\mathbb{R}} \setminus (L \cup E_{\mathbb{R}}) \).

For each curve \( C_i \) as in Theorem 1.9, the double covering \( X_i \to \Sigma_{2k+2} \) ramified at \( C_i \cup E \) is a real Jacobian elliptic surface. Since the curves \( C_i \) are distinguished by the braid monodromy, one has the following corollary.

**Corollary 1.10.** For each integer \( k \geq 0 \), there are two collections of \( T(k) \) real Jacobian elliptic surfaces \( X_i \to \mathbb{P}^1 \) such that all real parts \( (X_i)_{\mathbb{R}} \) are fibrewise homeomorphic but the surfaces are not related by an equivariant 2-orientation-preserving fibrewise homeomorphism of \( \Sigma_{2k+2} \) preserving the orientation of the real part \( \mathbb{P}^1_{\mathbb{R}} \) of the base of the elliptic pencil.

In other words, each of the two collections consists of \( T(k) \) pairwise non-isomorphic directed real Lefschetz fibrations of genus 1 in the sense of [33]. The real parts \( (X_i)_{\mathbb{R}} \) can be described in terms of the *necklace diagrams* (see [33]): they are chains of \((5k+4)\) copies of the same stone, which is either \( -\bigcirc - \) or \( -\square - \).

### 1.5. Contents of the paper

In Section 2, we introduce the basic objects and prove principal technical results relating extremal elliptic surfaces, 3-regular ribbon graphs, and geometric subgroups of \( \Gamma \). Section 3 deals with a few generalizations of these results to wider classes of ribbon graphs/subgroups. In Section 4, we introduce *pseudo-trees*, which are ribbon graphs constructed from oriented, rooted, binary trees. It is this relation that is responsible for the exponential growth in most examples. Theorem 1.8 is proved here. In Sections 5 and 6, we prove the results concerning, respectively, simple monodromy factorizations and real trigonal curves. Finally, in Section 7 we introduce the notion of transcendental lattice of a monodromy factorization and consider a few examples.

### 2. Elliptic surfaces

In this section, we introduce some basic notions and prove the principal technical results: Corollary 2.5 and Theorem 2.5, establishing a connection between 3-regular ribbon graphs and geometric subgroups of \( \Gamma \), and Theorems 2.16 and 2.17, relating extremal elliptic surfaces, their skeletons, and monodromy groups.

#### 2.1. The modular group

Let \( \mathcal{H} = \mathbb{Z}a \oplus \mathbb{Z}b \) be a rank 2 free abelian group with the skew-symmetric bilinear form \( \wedge^2 \mathcal{H} \to \mathbb{Z} \) given by \( a \cdot b = 1 \). We fix the notation \( \mathcal{H}, a, b \) throughout the paper and define \( \Gamma := \text{SL}(2, \mathbb{Z}) \) as the group \( \text{Sp} \mathcal{H} \) of symplectic automorphisms of \( \mathcal{H} \); it is generated by the operators \( X, Y : \mathcal{H} \to \mathcal{H} \) given (in the basis \( \{a, b\} \) above) by the matrices

\[
X = \begin{bmatrix} -1 & 1 \\ -1 & 0 \end{bmatrix}, \quad Y = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}.
\]

One has \( X^3 = \text{id} \) and \( Y^2 = -\text{id} \). If \( c = -a - b \in \mathcal{H} \), then \( X \) acts via

\[
(a, b) \mapsto X, \quad (c, a) \mapsto X, \quad (b, c) \mapsto X, \quad (a, b).
\]
The modular group \( \Gamma := PSL(2, \mathbb{Z}) \) is the quotient \( \tilde{\Gamma}/\pm \text{id} \). We retain the notation \( X, Y \) for the generators of \( \Gamma \). One has

\[
\Gamma = \langle X \mid X^3 = 1 \rangle * \langle Y \mid Y^2 = 1 \rangle \cong \mathbb{Z}_3 * \mathbb{Z}_2.
\]

A subgroup \( H \subset \Gamma \) is called geometric if it is torsion free and of finite index. Since \( \Gamma = \mathbb{Z}_3 * \mathbb{Z}_2 \), the factors generated by \( X \) and \( Y \), a subgroup \( H \subset \Gamma \) is torsion free if and only if it is disjoint from the conjugacy classes \([X]\) and \([Y]\), or, equivalently, if both \( X \) and \( Y \) act freely on the quotient \( \Gamma / H \).

Similarly, a subgroup \( \tilde{H} \subset \tilde{\Gamma} \) is called geometric if it is torsion free and of finite index. A subgroup \( \tilde{H} \subset \tilde{\Gamma} \) is torsion free if and only if \(-\text{id} \notin \tilde{H} \) and the image of \( \tilde{H} \) in \( \Gamma \) is torsion free.

2.2. Extremal elliptic surfaces

In this subsection, we recall a few well-known facts concerning Jacobian elliptic surfaces. The principal references are [18] or the original paper [23]. For more details concerning skeletons, we refer to [12].

An elliptic surface is a compact complex surface \( X \) equipped with an elliptic fibration \( pr : X \to B \) (i.e., a fibration with all but finitely many fibers nonsingular elliptic curves). A Jacobian elliptic surface is an elliptic surface equipped, in addition, with a distinguished section \( B \) of \( pr \). (From the existence of a section, it follows that \( X \) has no multiple fibres.) Throughout the paper, we assume that surfaces are relatively minimal, that is, that fibres of the elliptic pencil contain no \((-1)\)-curves.

2.2.1. Each non-singular fibre of a Jacobian elliptic surface \( pr : X \to B \) is an abelian group, and the multiplication by \((-1)\) extends through the singular fibres of \( X \). The quotient \( X/\pm 1 \) blows down to a geometrically ruled surface \( \Sigma \to B \) over the same base \( B \), and the double covering \( X \to \Sigma \) is ramified over the exceptional section \( E \) of \( \Sigma \) and a certain curve \( C \subset \Sigma \) disjoint from \( E \) and intersecting each generic fibre of the ruling at three points.

2.2.2. Denote by \( B^\sharp \subset B \) the set of regular values of \( pr \), and define the (functional) \( j \)-invariant \( j_X : B \to \mathbb{P}^1 \) as the analytic continuation of the function \( B^\sharp \to \mathbb{C}^* \) sending each non-singular fibre of \( pr \) to its classical \( j \)-invariant (divided by \( 12^3 \)). The surface \( X \) is called isotrivial if \( j_X = \text{const} \).

The monodromy \( \tilde{h}_X : \pi_1(B^\sharp, b) \to \tilde{\Gamma} \cong \text{Sp} H_1(pr^{-1}(b)), b \in B^\sharp, \) of the locally trivial fibration \( pr^{-1}(B^\sharp) \to B^\sharp \) is called the homological invariant of \( X \). Its reduction \( h_X : \pi_1(B^\sharp) \to \Gamma \) is called the reduced monodromy; it is determined by the \( j \)-invariant. Together, \( j_X \) and \( h_X \) determine \( X \) up to isomorphism, and any pair \((j, h)\) that agrees in the sense just described gives rise to a unique isomorphism class of Jacobian elliptic surfaces.

2.2.3. According to [29], a Jacobian elliptic surface \( X \) is extremal if and only if it satisfies the following conditions:

1. \( j_X \) has no critical values other than 0, 1, and \( \infty \);
2. each point in \( j_X^{-1}(0) \) has ramification index at most 3, and each point in \( j_X^{-1}(1) \) has ramification index at most 2;
3. \( X \) has no singular fibres of types \( I_n^0, I, \) or \( II, III, \) or \( IV \).

2.2.4. Recall that a ribbon graph is a graph with a distinguished cyclic order of edges at each vertex. A left turn path in a ribbon graph is a combinatorial path (a sequence of adjacent vertices) \( v_0, \ldots, v_n \) with the property that, for each \( i = 1, \ldots, n - 1 \), the edge \([v_i, v_{i+1}]\) is the
immediate predecessor of \([v_i, v_{i-1}]\) with respect to the cyclic order at \(v_i\). A region is a minimal left turn cycle.

Each graph embedded into an oriented surface inherits a natural ribbon graph structure. Conversely, patching each region of a connected ribbon graph with an oriented disc, one obtains a minimal oriented surface supporting the graph.

The genus of a connected ribbon graph is defined as the genus of its minimal supporting surface. Explicitly, the genus \(g\) is given by

\[
2 - 2g = \#\{\text{vertices}\} - \#\{\text{edges}\} + \#\{\text{regions}\}.
\]

2.2.5. The skeleton of a non-isotrivial elliptic surface \(pr : X \to B\) (not necessarily extremal) is the embedded bipartite graph \(Sk_X := j_X^{-1}[0, 1] \subset B\). The pull-backs of 0 and 1 are called \(\bullet\)- and \(\circ\)-vertices of \(Sk_X\), respectively. (Thus, \(Sk_X\) is the dessin d’enfants of \(j_X\) in the sense of Grothendieck; however, we reserve the word ‘dessin’ for the more complicated graphs describing arbitrary surfaces; cf. Subsection 6.1.) A priori, \(j_X\) may have critical values in the open interval \((0, 1)\), hence the edges of \(Sk_X\) may meet at points other than \(\bullet\)- or \(\circ\)-vertices. However, by a small fibrewise equisingular deformation of \(X\) the skeleton \(Sk_X\) can be made generic in the sense that the edges of \(Sk_X\) meet only at \(\bullet\)- or \(\circ\)-vertices and the valency of each \(\bullet\)- or \(\circ\)-vertex is at most 3 or at most 2, respectively.

The skeleton \(Sk_X\) of an extremal elliptic surface \(X\) is always generic. In addition, each region of \(Sk_X\) (that is, component of \(B \setminus Sk_X\)) is a topological disc; in particular, \(Sk_X\) is connected. Furthermore, each region contains a single critical point of \(j_X\), the critical value being \(\infty\). Thus, in this case \(Sk_X\) can be regarded as an abstract ribbon graph, and \(B\) is its minimal supporting surface. Extending the projection \(Sk_X \to [0, 1]\) to \(B\) (with a single critical point inside each region), one recovers the ramified covering \(j_X : B \to \mathbb{P}^1\); then, the analytic structure on \(B\) is given by the Riemann existence theorem. It follows that the skeleton \(Sk_X\) of an extremal elliptic surface \(X\) determines its \(j\)-invariant \(j_X : B \to \mathbb{P}^1\) (as an analytic function); hence, the pair \((Sk_X, h_X)\) determines \(X\).

2.2.6. The exceptional singular fibres of an elliptic surface \(X\) are in a one-to-one correspondence with the \(\bullet\)-vertices of \(Sk_X\) of valency not equal to 0 mod 3 and its \(\circ\)-vertices of valency not equal to 0 mod 2. Hence, if \(X\) is extremal and without exceptional fibres, then all \(\bullet\)- and \(\circ\)-vertices of \(Sk_X\) are of valency 3 and 2, respectively. Since \(Sk_X\) is a bipartite graph, its \(\circ\)-vertices can be ignored, assuming that such a vertex is to be inserted at the middle of each edge connecting two \(\bullet\)-vertices. Under this convention, the skeleton of an extremal elliptic surface without exceptional fibres is a 3-regular ribbon graph. As explained above, each region of \(Sk_X\) is a disc containing a single singular fibre of \(X\). Hence, \(Sk_X\) is a strict deformation retract of \(B^3\), and the homological invariant can be regarded as an anti-homomorphism \(h_X : \pi_1(Sk_X) \to \Gamma\). It is explained in [16] (see also Remark 2.20 below) that \(h_X\) can be encoded in terms of an orientation of \(Sk_X\).

2.3. Skeletons: another point of view

Following [16], we start with redefining a 3-regular ribbon graph combinatorially as a set of ends of its edges. However, in the further exposition we make no distinction between a graph in the sense of Definition 2.1 below and its geometric realization, defined in the obvious way. To justify this dual approach, we point out that the combinatorial definition establishes a relation between ribbon graphs and subgroups of the modular group \(\Gamma\), whereas the topological point of view makes this relation useful, as it lets one appeal to one’s geometric intuition when studying subgroups.
HURWITZ EQUIVALENCE OF BRAID MONODROMIES

We also redefine a few notions related to graphs (like connectedness, paths, and so on); each time, unless it is immediately obvious, we shall try to explain the relation between a new notion and its conventional topological counterpart defined in terms of the geometric realization.

**Definition 2.1.** A 3-regular ribbon graph is a collection $S_k = (E, op, nx)$, where $E = E_{Sk}$ is a finite set, $op : E \rightarrow E$ is a free involution, and $nx : E \rightarrow E$ is a free automorphism of order 3. The orbits of $op$ are called the edges of $S_k$, the orbits of $nx$ are called its vertices, and the orbits of $nx^{-1} op$ are called its faces or regions.

A based 3-regular ribbon graph is a pair $(S_k, e)$, where $e \in E_{Sk}$.

**Remark 2.2.** As explained above, $E$ is the set of ends of edges of the geometric realization of the graph. In this geometric language, $op$ assigns to an edge end $e$ the other end of the same edge, whereas $nx$ assigns to $e$ the next edge end at the same vertex, ‘next’ standing for the immediate successor of $e$ with respect to the cyclic order constituting the ribbon graph structure.

**Remark 2.3.** Alternatively, one can consider $E_{Sk}$ as the set of edges of $S_k$ regarded as a bipartite ribbon graph; see Paragraph 2.2.6. Then the orbits of $op$ and $nx$ represent, respectively, the ◦- and •-vertices of $S_k$. Considering a bipartite ribbon graph with the valency of •- and ◦-vertices equal to two given integers $p$ and $q$, one can extend, almost literally, the material of this and the following subsections to the subgroups of the group $\langle x, y | x^p = y^q = 1 \rangle$.

Similarly, assuming that the valencies divide $p$ and $q$, one can extend the generalizations found in Section 3. However, I do not know of any interesting geometric applications of this group.

2.3.1. Given a 3-regular ribbon graph $S_k$, the set $E_{Sk}$ admits a canonical left $\Gamma$-action. To be precise, we define a homomorphism $\Gamma \rightarrow S(E_{Sk})$ to the group $S(E_{Sk})$ of permutations of $E_{Sk}$ via $X \mapsto nx^{-1}$, $Y \mapsto op$. According to this convention, the vertices, edges, and regions of $S_k$ are the orbits of $X$, $Y$, and $XY$, respectively. The graph $S_k$ is connected if and only if the canonical $\Gamma$-action is transitive. A connected 3-regular ribbon graph is called a 3-skeleton.

Given an element $e \in E_{Sk}$, we denote by $\text{Stab}(e) \subset \Gamma$ its stabilizer. Stabilizers of all elements of a 3-skeleton form a whole conjugacy class of subgroups of $\Gamma$; it is denoted by $[\text{Stab } S_k]$ and is called the stabilizer of $S_k$. (Certainly, each element of $[\text{Stab } S_k]$ is a subgroup stabilizing one of the elements of the skeleton; it does not need to stabilize other elements.)

A morphism of 3-skeletons $S_k' = (E', op', nx')$ and $S_k'' = (E'', op'', nx'')$ is defined as a map $\varphi : E' \rightarrow E''$ commuting with the $\Gamma$-action, that is, such that $\varphi \circ op' = op'' \circ \varphi$ and $\varphi \circ nx' = nx'' \circ \varphi$. In other words, $\varphi$ is a morphism of $\Gamma$-sets. A morphism of based 3-skeletons $(S_k', e')$ and $(S_k'', e'')$ is required, in addition, to take $e'$ to $e''$. The group of automorphisms of a 3-skeleton $S_k$ is denoted by $\text{Aut } S_k$; we regard it as a subgroup of the symmetric group $S(E_{Sk})$.

The following two statements, although crucial for the sequel, are immediate consequences of the definitions.

**Theorem 2.4.** The functors $(S_k, e) \mapsto \text{Stab}(e), H \mapsto (\Gamma/H, H/H)$ establish an equivalence of the categories of

1. based 3-skeletons and morphisms and
2. geometric subgroups $H \subset \Gamma$ and inclusions.

It follows that any morphism of 3-skeletons is a topological covering of their geometric realizations.
Corollary 2.5. The maps $S_k \mapsto [\text{Stab } S_k]$, $[H] \mapsto \Gamma / H$ establish a canonical one-to-one correspondence between the sets of

(1) isomorphism classes of 3-skeletons and
(2) conjugacy classes of geometric subgroups $H \subset \Gamma$.

If a 3-skeleton $S_k$ is fixed, the isomorphism classes of based 3-skeletons $(S_k, e)$ are naturally enumerated by the orbits of $\text{Aut } S_k$. Hence, one has the following corollary, concerning properties of geometric subgroups.

Corollary 2.6. The conjugacy class $[H]$ of a geometric subgroup $H \subset \Gamma$ is in a one-to-one correspondence with the set of orbits of $\text{Aut} (\Gamma / H)$. Furthermore, there is an anticommutator $\text{Aut} (\Gamma / H) = N(H) / H$, where $N(H)$ is the normalizer of $H$ (acting on $\Gamma / H$ by the right multiplication).

Remark 2.7. Theorem 2.4, as well as its generalizations Theorems 3.4 and 3.18 below, relating subgroups of $\Gamma$ and ribbon graphs resemble the results of [5]. However, the two constructions differ: in [5], finite index subgroups of the congruence subgroup $\Gamma(2)$ are encoded using bipartite ribbon graphs with vertices of arbitrary valency. Our approach is closer to that of [6], where the modular $j$-function on a modular curve $B$ (see [35] and Remark 2.19) is described in terms of a special triangulation of $B$. Theorem 2.5 below and its generalizations in Section 3 make the geometric relation between ribbon graphs and subgroups of $\Gamma$ even more transparent.

2.4. Paths (chains) in a 3-skeleton

The treatment of paths found in [16] is not quite satisfactory for our purposes; we choose a slightly different approach here. To avoid confusion, we use the term 'chain': the geometric background behind the formal combinatorial definition is explained in Remark 2.9 below.

Definition 2.8. A chain in a 3-skeleton $S_k = (\mathcal{E}, \text{op}, nX)$ is a pair $\gamma = (e, w)$, where $e \in \mathcal{E}_{S_k}$ and $w$ is a word in the alphabet $\{\text{op}, nX, nx^{-1}\}$. The evaluation map $\text{val}$ sends a chain $\gamma = (e, w)$ to the element $\text{val} \gamma \in \Gamma$ obtained by replacing $\text{op} \mapsto Y$, $nx^{\pm 1} \mapsto X^{\pm 1}$ in $w$ and multiplying in $\Gamma$. The initial and terminal elements of $\gamma$ are, respectively, $\gamma_0 := e \in \mathcal{E}_{S_k}$ and $\gamma_1 := (\text{val} \gamma)^{-1} e \in \mathcal{E}_{S_k}$. A chain $\gamma$ is a loop if $\gamma_0 = \gamma_1$. The product of two chains $\gamma' = (e', w')$ and $\gamma'' = (e'', w'')$ is defined whenever $\gamma_0'' = \gamma_1'$; it is $\gamma' \cdot \gamma'' := (e', w'w'')$, where $w'w''$ is the concatenation.

Remark 2.9. Intuitively, our definition of chain represents the fact that, for each end $e \in \mathcal{E}_{S_k}$, one can choose among three neighbours: the other end of the same edge or the two other ends at the same vertex $v$, either the successor of $e$ or the predecessor of $e$ with respect to the cyclic order at $v$. The inverse in the definition of $\gamma_1$ is due to the fact that the action of $\Gamma$ is left rather than right, hence the order of the elements of $w$ should be reversed. (This is also one of the reasons why $X$ is defined to act via $nx^{-1}$.) Strictly speaking, what is defined is a combinatorial path (a chain of consecutive edges) in the auxiliary graph $S_k^\circ$ obtained from $S_k$ by shortening each edge and replacing each vertex with a small circle (shown in bold grey lines in Figure 1). The vertices of $S_k^\circ$ are in a natural one-to-one correspondence with the elements of $\mathcal{E}_{S_k}$. When speaking about path homotopies, fundamental groups, and so on, we replace $S_k^\circ$ with the topological space $S_k$ obtained from $S_k^\circ$ by patching each circle with a disc (light grey in the figure) and consider the homomorphisms induced by the inclusion $S_k^\circ \hookrightarrow S_k$ and the strict deformation retraction $S_k \rightarrow S_k$. 


Clearly, each chain \((e, w)\) gives rise to a path (in the conventional topological sense) in the geometric realization: for example, one can divide the unit segment into \(|w|\) equal pieces and map each piece constantly onto the corresponding vertex (for each instance of \(nx^\pm 1\) in \(w\)) or linearly onto the corresponding edge (for each instance of \(op\)). The resulting path connects two vertices of \(S_k\) and is equipped with a distinguished marking (edge end) at each of its end points. Similarly, \((e, w)\) gives rise to a topological path in the auxiliary skeleton \(S_k^\circ\).

The following two observations are also straightforward.

**Lemma 2.10.** A chain \(\gamma\) is a loop if and only \(\text{val} \gamma \in \text{Stab} \gamma_0\). Conversely, given \(e \in E_{S_k}\), any element of \(\text{Stab}(e)\) has the form \(\text{val} \gamma\) for some loop \(\gamma = (e, w)\).

**Lemma 2.11.** Evaluation is multiplicative: \(\text{val}(\gamma_1 \cdot \gamma_2) = \text{val} \gamma_1 \text{val} \gamma_2\).

**Theorem 2.12.** Given a based 3-skeleton \((S_k, e)\), the evaluation map restricts to a well-defined isomorphism \(\text{val} : \pi_1(S_k, e) \to \text{Stab}(e)\).

**Proof.** Due to Lemmas 2.10 and 2.11, it suffices to show that \(\text{val}\) is well defined (that is, it takes equal values on homotopic loops) and \(\ker \text{val} = \{1\}\). Both statements follow from comparing the cancellations in \(\pi_1(S_k, e)\) and in \(\Gamma\).

Since \(\Gamma = \mathbb{Z}_3 \ast \mathbb{Z}_2\) is a free product, two words in \(\{Y, X, X^{-1}\}\) represent the same element of \(\Gamma\) if and only if they are obtained from each other by a sequence of cancellations of subwords of the form \(YY, XX^{-1}, X^{-1}X, XXX, \) or \(X^{-1}X^{-1}\). The first three cancellations constitute the combinatorial definition of path homotopy in the auxiliary graph \(S_k^\circ\) (see Remark 2.9): they correspond to cancelling an edge immediately followed by its inverse. The last two cancellations normally generate the kernel of the inclusion homomorphism \(\pi_1(S_k^\circ, e) \to \pi_1(S_k^*, e)\): they correspond to contracting circles in \(S_k^\circ \subset S_k^*\) to vertices of the original 3-skeleton \(S_k\).

An alternative proof of the fact that \(\text{val}\) is well defined is given by Lemma 2.15 below, which provides an invariant geometric description of this map.

**Corollary 2.13.** Any geometric subgroup \(H \subset \Gamma\) or any geometric subgroup \(\tilde{H} \subset \tilde{\Gamma}\) has index divisible by 6, \([\Gamma : H] = 6k\), or divisible by 12, \([\tilde{\Gamma} : \tilde{H}] = 12k\), and is isomorphic to a free group on \((k + 1)\) generators.

**Proof.** Let \(S_k = \Gamma / H\) (see Theorem 2.4). Then \([\Gamma : H] = |E_{S_k}|\). On the other hand, since \(S_k\) is a 3-regular graph, one has \(|E_{S_k}| = 6k\) and \(S_k\) has \(2k\) vertices and \(3k\) edges. Then \(\chi(S_k) = -k\) and \(\pi_1(S_k)\) is a free group on \((k + 1)\) generators.

If \(\tilde{H} \subset \tilde{\Gamma}\) is a geometric subgroup, then \(\tilde{H} \neq -\text{id}\) and the projection \(\tilde{H} \to \Gamma\) is an isomorphism onto its image, which is a geometric subgroup of \(\Gamma\). 

---

**Figure 1.** A 3-skeleton \(S_k\) (black), auxiliary graph \(S_k^\circ\) (bold grey), and space \(S_k^*\) deformation equivalent to \(S_k\) (bold and light grey).
Remark 2.14. The universal covering of a 3-skeleton $Sk$ is a 3-regular tree; hence, it is the Farey tree. The automorphism group $\text{Aut } F$ of the Farey tree $F$ can be identified with $\Gamma$: it is generated by the rotations about a vertex or the centre of an edge. Thus, geometrically, $Sk = F/H$ for a finite index subgroup $H \subset \text{Aut } F$ acting freely on $F$, and Theorem 2.5 becomes a well-known property of topological coverings. If the action of $H$ on $F$ is not free, then one needs to consider the orbifold fundamental group $\pi_1^{\text{orb}}(F/H)$; see Subsection 3.2 below. If $[\Gamma : H] = \infty$, then the quotient $F/H$ is an infinite graph; see Subsections 3.1 and 3.6.

2.5. The homological invariant

Fix a Jacobian elliptic surface $\text{pr} : X \to B$ without exceptional fibres and let $Sk = Sk_X$ be the skeleton of $X$. Assume that $Sk$ is generic, and hence 3-regular. Below, we treat $Sk$ as its geometric realization, thus using the term (edge) ends for the elements of $E_{Sk}$.

Consider the double covering $X \to \Sigma$ ramified at $C \cup E$; see Paragraph 2.2.1. Pick a vertex $v$ of $Sk$, let $F_v$ be the fibre of $X$ over $v$, and let $\tilde{F}_v$ be its projection to $\Sigma$. Then, $F_v$ is the double covering of $\tilde{F}_v$ ramified at $\tilde{F}_v \cap (C \cup E)$ (the three black points in Figure 2 and $\infty$).

Recall that the three points of intersection $\tilde{F}_v \cap C$ are in a canonical one-to-one correspondence with the three edge ends at $v$; see [12]. Choose one of the ends (a marking at $v$ in the terminology of [12]) and let $\{\alpha_1, \alpha_2, \alpha_3\}$ be the canonical basis for the group $\pi_1(\tilde{F}_v \setminus (C \cup E))$ defined by this end (see [12] and Figure 2; unlike [12], we take for the reference point the zero section of $\Sigma$, which is well defined in the presence of $C$; this choice removes the ambiguity in the definition of canonical basis). Then $H_1(F_v) = \pi_1(F_v)$ is generated by the lifts $a = \alpha_2\alpha_1$ and $b = \alpha_1\alpha_3$ (the two grey cycles in the figure). To be precise, one needs to choose one of the two pull-backs of the zero section and take it for the reference point for $\pi_1(F_v)$ (the grey point at the centre of the figure). Thus, a choice of an end at $v$ gives rise to an isometry $H_1(F_v) = \mathcal{H}$, which is canonical up to $\pm \text{id}$.

Now, consider a copy $F_e$ of $F_v$ for each end $e$ at $v$ and identify its homology with $\mathcal{H}$ using $e$ as the marker. (Alternatively, one can assume that a separate fibre is chosen over each vertex of the auxiliary graph $Sk^\circ$; see Remark 2.9.) Under this identification, the monodromy $\tilde{h}_\gamma : H_1(F_{\gamma_0}) \to H_1(F_{\gamma_1})$ of the locally trivial fibration $\text{pr}^{-1}(Sk) \to Sk$ along the path defined by a chain $\gamma$ in $Sk$ reduces to a well-defined element $h_\gamma \in \Gamma$.

Lemma 2.15. In the notation above, one has $h_\gamma = (\text{val } \gamma)^{-1}$.

Proof. Since both maps $\gamma \mapsto h_\gamma$ and $\gamma \mapsto (\text{val } \gamma)^{-1}$ reverse products, it suffices to prove the assertion for a chain $\gamma = (e, w)$ with $w = \text{op}$ or $nx^{\pm 1}$, that is, for a single edge of $Sk^\circ$.

Circumventing a vertex of the original skeleton $Sk$ in the positive direction is the change of basis induced by a change of the marker (rotation through $-2\pi/3$ about the centre in Figure 2); its transition matrix is $x^{-1} = (\text{val } nx)^{-1}$. Following an edge of $Sk$ is a lift of the monodromy $m_{1,1}$ in [12]: during the monodromy, the black ramification point surrounded by $\alpha_1$
crosses the segment connecting the ramification points surrounded by $\alpha_2$ and $\alpha_3$; modulo $\pm \text{id}$, the corresponding linear operator is given by $Y = (\text{val op})^{-1}$.

Let $v$ be a vertex of $\text{Sk}$ and let $e \in v$. We use the notation $\pi_1(B^2, e)$ for the group $\pi_1(B^2, v)$, meaning that the fibre $F_v$ is identified with $\mathcal{H}$ using $e$ as a marker. Thus, we shall speak about the reduced monodromy $h_X : \pi_1(B^2, e) \to \Gamma$.

**Theorem 2.16.** Let $X$ be an extremal elliptic surface without exceptional fibres, and $e$ be a representative of a vertex of $\text{Sk}_X$. Then the reduced monodromy $h_X : \pi_1(B^2, e) \to \Gamma$ takes values in $\text{Stab}(e)$, both maps in the diagram

$$\pi_1(\text{Sk}_X, e) \xrightarrow{\text{im}_*} \pi_1(B^2, e) \xrightarrow{h_X} \text{Stab}(e) \subset \Gamma$$

are (anti-)isomorphisms, and the composed map is given by $\gamma \mapsto (\text{val } \gamma)^{-1}$.

**Proof.** Since $\text{Sk}_X$ is a strict deformation retract of $B^2$ (see Paragraph 2.2.6), the inclusion homomorphism $\text{in}_* : \pi_1(\text{Sk}_X) \to \pi_1(B^2)$ is an isomorphism. The rest follows from Lemma 2.15 and Theorem 2.5.

**Theorem 2.17.** The map $X \to [\text{Im } \tilde{h}_X]$ establishes a bijection between the set of isomorphism classes of extremal elliptic surfaces without exceptional fibres and the set of conjugacy classes of geometric subgroups of $\tilde{\Gamma}$.

**Proof.** It suffices to show that a subgroup $\tilde{H} \subset \tilde{\Gamma}$ defines a unique extremal elliptic surface. Since $\tilde{H}$ is geometric, in particular $-\text{id} \notin \tilde{H}$, the projection $\tilde{\Gamma} \to \Gamma$ induces an isomorphism of $\tilde{H}$ to a geometric subgroup $H \subset \Gamma$. The latter determines a skeleton $\text{Sk} \subset B$, and hence a $j$-invariant $j_X : B \to \mathbb{P}^1$ and corresponding reduced monodromy $h_X : \pi_1(B^2) \to H$. Then, the inverse isomorphism $H \to \tilde{H}$ is merely a lift of $h_X$ to a homological invariant $\tilde{h}_X$; together with $j_X$, it defines a unique isomorphism class of Jacobian elliptic surfaces, which are necessarily extremal due to [29]; see Paragraph 2.2.3.

Since the conjugacy class of the monodromy group of a fibration is obviously invariant under fibrewise homeomorphisms, Theorem 2.17 implies Theorem 1.7 in Section 1.

**Remark 2.18.** One can easily see that two extremal elliptic surfaces without exceptional singular fibres are anti-isomorphic if and only if their monodromy subgroups are conjugated by an element of $\text{GL}(2, \mathbb{Z}) \setminus \tilde{\Gamma}$. (This conjugation results in a homeomorphism of the skeletons reversing the cyclic order at each vertex.) In other words, surfaces are anti-isomorphic if and only if they are related by a 2-orientation-reversing homeomorphism.

**Remark 2.19.** The inverse map sending a geometric subgroup $H \subset \tilde{\Gamma}$ to an extremal elliptic surface in Theorem 2.17 is equivalent to Shioda's construction [35] of modular elliptic surfaces, where the base $B$ of the elliptic fibration is the quotient $\{ z \in \mathbb{C} \mid \text{Im } z > 0 \}/H$ and the $j$-invariant $j_X$ is the descent of the modular $j$-invariant. A generalization of the results of this section to arbitrary finite index subgroups of $\Gamma$ is considered in Subsections 3.2 and 3.3 (see Remark 3.10); such subgroups correspond to skeletons with monovalent $\bullet$- and $\circ$-vertices allowed. For a further generalization to arbitrary subgroups, see Subsections 3.1 and 3.6; finitely generated subgroups can still be encoded by finite ribbon graphs.
Remark 2.20. In [16], it is shown that, for an extremal elliptic surface $X$ without exceptional singular fibres, the homological invariant $\tilde{h}_X$ admits a simple geometric description in terms of an orientation of $\text{Sk}_X$: one defines the value $\tilde{h}_X(\gamma)$ on a loop $\gamma$ in $\text{Sk}_X$ to be $\pm (\text{val}(\gamma))^{-1} \in \Gamma$, depending on the parity of the number of edges travelled by $\gamma$ in the opposite direction. This correspondence is not one-to-one, as distinct orientations may give rise to the same homological invariant.

3. Digression: a few generalizations

In this section, we generalize some results of Section 2 to arbitrary subgroups of $\Gamma$: finitely generated subgroups can still be encoded by finite graphs. Proofs are merely sketched, as they repeat, almost literally, those in Section 2. The material of this section is not used in the proofs of the principal results of the paper stated in Section 1. However, Subsection 3.1 is used in the construction of non-equivalent monodromy factorization of length 2; see Subsection 5.4.

3.1. Infinite skeletons

To study subgroups of $\Gamma$ of infinite index, we modify Definition 2.1 and define a generalized 3-regular ribbon graph as a triple $\text{Sk} = (E_{\text{Sk}}, \text{op}, \text{nx})$, where $E_{\text{Sk}}$ is a set (not necessarily finite) and $\text{op}$ and $\text{nx}$ are free automorphisms of $E_{\text{Sk}}$ of order 2 and 3, respectively. A generalized 3-skeleton is a connected generalized 3-regular ribbon graph.

All notions introduced in Subsections 2.3 and 2.4 and most statements proved there extend to the general case with obvious changes. We restate Theorems 2.4 and 2.5.

Theorem 3.1. The functors $(\text{Sk}, e) \mapsto \text{Stab}(e), H \mapsto (\Gamma/H, H/H)$ establish an equivalence of the categories of

1. based generalized 3-skeletons and morphisms and
2. torsion-free subgroups $H \subset \Gamma$ and inclusions.

Theorem 3.2. Given a based generalized 3-skeleton $(\text{Sk}, e)$, the evaluation map restricts to a well-defined isomorphism $\text{val} : \pi_1(\text{Sk}, e) \to \text{Stab}(e)$.

A generalized 3-skeleton $\text{Sk}$ is called almost contractible if the group $\pi_1(\text{Sk})$ is finitely generated. (The geometric realization of such a skeleton contracts to a finite subgraph.) Under Theorem 3.1, almost contractible skeletons correspond to finitely generated torsion-free subgroups.

Proposition 3.3. There is a one-to-one correspondence between the sets of

1. conjugacy classes of proper finitely generated torsion-free subgroups $H \subset \Gamma$;
2. almost contractible 3-skeletons with at least one cycle and
3. connected finite ribbon graphs with all vertices of valency 3 or 1 and such that distinct monovalent vertices are adjacent to distinct trivalent vertices.

Under this correspondence $H \mapsto \text{Sk} \mapsto \text{Sk}^c$ one has (anti-)isomorphisms $N(H)/H = \text{Aut} \text{Sk} = \text{Aut} \text{Sk}^c$ and $H = \pi_1(\text{Sk}) = \pi_1(\text{Sk}^c)$; in fact, $\text{Sk}^c$ is embedded to $\text{Sk}$ as an induced subgraph and a strict deformation retract.

The finite ribbon graph $\text{Sk}^c$ corresponding to an almost contractible 3-skeleton $\text{Sk}$ under Proposition 3.3 is called the compact part of $\text{Sk}$. In the drawings, the monovalent vertices
of \(\text{Sk}^e\) (those that are to be extended to ‘half’ Farey trees) are represented by triangles \(\triangle\); cf. Figure 8 in Subsection 5.4. The last condition in Proposition 3.3(iii) is the requirement that \(\text{Sk}^e\) should admit no further contraction to a subgraph with all vertices of valency 3 or 1. This condition makes \(\text{Sk}^e\) canonical.

**Proof.** Each almost contractible 3-skeleton \(\text{Sk}\) contains an induced subgraph \(\text{Sk}'\) such that \(\text{Sk} \setminus \text{Sk}'\) is a forest: one can pick a finite collection of loops representing a basis for \(\pi_1(\text{Sk})\) and take for \(\text{Sk}'\) the induced subgraph generated by all vertices contained in at least one of the loops. (The notation \(\text{Sk} \setminus \text{Sk}'\) stands for the induced subgraph generated by the vertices of \(\text{Sk}\) that are not in \(\text{Sk}'\).) The complement \(\text{Sk} \setminus \text{Sk}'\) is a finite disjoint union of infinite branches, each infinite branch being a tree with one bivalent vertex and all other vertices trivalent. Unless \(\text{Sk}\) is the Farey tree itself (corresponding to the trivial subgroup of \(\Gamma\)), each infinite branch is contained in a unique maximal one. The maximal infinite branches are pairwise disjoint, and contracting each such branch to its only bivalent vertex produces the compact part \(\text{Sk}^e\) as in the statement, the monovalent vertices of \(\text{Sk}^e\) corresponding to the maximal infinite branches contracted. (The last condition in Proposition 3.3(iii) is due to the fact that if two monovalent vertices \(u_1\) and \(u_2\) were adjacent to the same vertex \(v\), then, together with \(v\), the two infinite branches represented by \(u_1\) and \(u_2\) would form a larger infinite branch.)

Since the construction is canonical, any automorphism of \(\text{Sk}\) preserves \(\text{Sk}^e\) and hence restricts to an automorphism of \(\text{Sk}^e\). Conversely, any automorphism of \(\text{Sk}^e\) extends to a unique automorphism of \(\text{Sk}\): the uniqueness is due to the fact that ribbon graphs are considered; once an automorphism of such a graph fixes a vertex \(v\) and an edge adjacent to \(v\), it is the identity.

\(\square\)

### 3.2. Skeletons with monovalent vertices

As another generalization, we lift the requirement that \(\text{op}\) and \(\text{nx}\) should be free and define a \((3, 1)\)-ribbon graph as a triple \(\text{Sk} = (E_{\text{Sk}}, \text{op}, \text{nx})\), where \(E_{\text{Sk}}\) is a finite set and \(\text{op}\) and \(\text{nx}\) are automorphisms of \(E_{\text{Sk}}\) of order 2 and 3, respectively. A \((3, 1)\)-skeleton is a connected \((3, 1)\)-ribbon graph. Thus, a \((3, 1)\)-skeleton is allowed to have monovalent \(\bullet\)-vertices (which are the one element orbits of \(\text{nx}\)) and ‘hanging edges’ (one element orbits of \(\text{op}\)); the latter are represented in the figures by monovalent \(\circ\)-vertices attached to these edges; cf. Figure 3 below.

As above, all notions introduced in Subsections 2.3 and 2.4 extend to the case of \((3, 1)\)-skeletons. Theorem 2.4 takes the following form.

**Theorem 3.4.** The functors \((\text{Sk}, e) \mapsto \text{Stab}(e), H \mapsto (\Gamma/H, H/H)\) establish an equivalence of the categories of

1. based \((3, 1)\)-skeletons and morphisms and
2. finite index subgroups \(H \subset \Gamma\) and inclusions.

#### 3.2.1

Denote by \(D_2^1 \cong D^2, D_2^2 \cong P_1 \times I,\) and \(D_2^3\) the CW-complexes obtained by attaching a single 2-cell \(D^2\) to a circle \(S^1\) via a map \(\partial D^2 \to S^1\) of degree 1, 2, or 3, respectively. Given \(e \in E_{\text{Sk}}\), define the orbifold fundamental group \(\pi_1^{\text{orb}}(\text{Sk}, e)\) as the fundamental group \(\pi_1(\text{Sk}^*, e)\), where the space \(\text{Sk}^*\) is obtained from \(\text{Sk}\) by replacing a neighbourhood of each trivalent \(\bullet\)-vertex, monovalent \(\circ\)-vertex, or monovalent \(\bullet\)-vertex with a copy of \(D_2^1, D_2^2,\) or \(D_2^3\), respectively; cf. Figure 3. (Note that \(\pi_1^{\text{orb}}(\text{Sk}, e)\) is indeed the orbifold fundamental group, with the orbifold structure given by declaring each monovalent \(\circ\)- or \(\bullet\)-vertex a ramification point of ramification index 2 or 3, respectively. With this convention, the universal covering of \(\text{Sk}\) is again the Farey tree; cf. Remark 2.14.) Contracting a maximal tree not containing a monovalent vertex, one establishes a homotopy equivalence between \(\text{Sk}^*\) and a wedge of circles and copies of \(D_2^2\) and \(D_2^3\).
Hence, \( \pi_1^{\text{orb}}(\text{Sk}, e) \) is a free product

\[
\pi_1^{\text{orb}}(\text{Sk}, e) = \oplus_{n_0} \mathbb{Z} \ast \oplus_{n_2} \mathbb{Z}_2 \ast \oplus_{n_3} \mathbb{Z}_3,
\]

where \( n_2 \) and \( n_3 \) are the numbers of monovalent \(-\) and \( \circ \)-vertices, respectively, and \( n_0 = 1 - \chi(\text{Sk}) = 1 - \chi(\text{Sk}^\circ) \). Observe that \( |E_{\text{Sk}}| = 6n_0 + 3n_2 + 4n_3 - 6 \) (a simple combinatorial computation of the Euler characteristic).

Definition 2.8 of chains, loops, and the evaluation map extends literally to the case of \((3,1)\)-skeletons. Thus, we are speaking about combinatorial paths in the auxiliary graph \( \text{Sk}^\circ \) obtained by fattening the vertices of \( \text{Sk} \) as shown in Figure 3. (Note though that we disregard the direction of a path along the single edge replacing a \( \circ \)-vertex and the adjacent edge of \( \text{Sk} \).) It is straightforward that \( \pi_1^{\text{orb}}(\text{Sk}) \) can be defined as the group of loops modulo an appropriate equivalence relation. The next statement is proved similarly to Theorem 2.5.

**Theorem 3.5.** Given a based \((3,1)\)-skeleton \((\text{Sk}, e)\), the evaluation map \( \text{val} \) factors through a well-defined isomorphism \( \text{val} : \pi_1^{\text{orb}}(\text{Sk}, e) \to \text{Stab}(e) \).

**Corollary 3.6.** Any finite index subgroup \( H \subset \Gamma \) is a free product (3.1), and one has \( |\Gamma : H| = 6n_0 + 3n_2 + 4n_3 - 6 \).

### 3.3. Extremal elliptic surfaces without type II\(^*\) fibres

Using the concept of \((3,1)\)-skeleton introduced in the previous section and the description of the braid monodromy of the branch locus found in [12] (the monodromy \( l_1(2) \to \mathbb{Y}X^{-1}\mathbb{Y} \) and \( l_1(3) \to \mathbb{Y} \) for monovalent \( \bullet \) and \( \circ \)-vertices, respectively; as in Subsection 2.5, the homomorphism \( \mathbb{B}_3 \to \Gamma \) is given by (5.1) below), one arrives at the following generalization of Theorem 2.16.

**Theorem 3.7.** Let \( X \) be an extremal elliptic surface without type II\(^*\) fibres and \( e \in \mathcal{E} \) be a representative of a vertex of the skeleton \( \text{Sk}_X \). Then the reduced monodromy \( h_X : \pi_1(B^\sharp, e) \to \Gamma \) factors as follows:

\[
\pi_1(B^\sharp, e) \longrightarrow \pi_1^{\text{orb}}(\text{Sk}_X, e) \xrightarrow{\sim} \text{Stab}(e) \subset \Gamma,
\]

where the rightmost anti-isomorphism is the map \( \gamma \mapsto (\text{val} \gamma)^{-1} \).

**Remark 3.8.** In the presence of monovalent vertices, \( \text{Sk}_X \) is no longer a subspace of \( B^\sharp \). The first arrow in Theorem 3.7 is the composition of the homomorphisms induced by the strict deformation retraction \( B^\sharp \to \text{Sk}' \) and the inclusion \( \text{Sk}' \hookrightarrow \text{Sk}^\circ \), where \( \text{Sk}' \) is obtained from \( \text{Sk}^\circ \) (see Figure 3) by patching with discs the circles surrounding the trivalent \( \bullet \)-vertices only.
Corollary 3.9. The map \( X \to [\Im \tilde{h}_X] \) establishes a bijection between the set of isomorphism classes of extremal elliptic surfaces without type II\(^*\) or III\(^*\) fibres and the set of conjugacy classes of finite index subgroups \( \tilde{H} \subset \tilde{\Gamma} \) such that \(-\id \notin \tilde{H}\).

Proof. Let \( X \) be a surface as in the statement, let \( \tilde{\Gamma} = \Im \tilde{h}_X \subset \tilde{\Gamma} \) (with respect to some base point in \( B^3 \)), and let \( H = \Im h_X \subset \Gamma \) be the projection of \( \tilde{H} \) to \( \Gamma \). Under the assumptions, \( \Sk_X \) has no \( \circ \)-vertices and hence \( \pi_{1\text{orb}}(\Sk_X) = H \) is a free product of copies of \( \mathbb{Z} \) and \( \mathbb{Z}_3 \) only. Furthermore, each order 3 generator of \( H \) represents the monodromy about a type IV\(^*\) singular fibre of \( X \) (see Paragraph 2.2.3(3)), and hence lifts to an order 3 element of \( \tilde{H} \). Thus, the projection \( \tilde{H} \to H \) admits a section and hence is an isomorphism. The rest of the proof follows that of Theorem 2.17.

Remark 3.10. Corollary 3.9 covers Shioda’s construction [35] to full extent and generalizes Theorem 1.7 to surfaces with type IV\(^*\) fibres allowed. Apparently, considering the homological invariant itself rather than just its image, one can further generalize Theorem 1.7 to type III\(^*\) singular fibres. The special case of rational base is considered in Theorem 3.12 below.

Remark 3.11. Surprisingly, type II\(^*\) singular fibres do not fit into the approach of this paper at all, as they are represented by bivalent \( \bullet \)-vertices of the skeleton, that is, orbits of \( nx \) of length 2. Possibly, such skeletons can be treated as homogeneous spaces of \( \tilde{\Gamma} \) rather than \( \Gamma \), but the precise statements are not quite clear at the moment. An attempt at considering such more general skeletons is made in [16].

3.4. The case of rational base

In this subsection, we assume that the base \( B \) of an elliptic fibration \( X \to B \) is rational, \( B \cong \mathbb{P}^1 \). In this case, the homological invariant \( h_X \) (lifting a given reduced monodromy \( h_X \)) can be defined in terms of a type specification of \( X \), that is, a choice of one of the two possible types (whose local monodromies differ by \(-\id\)) of each singular fibre. Moreover, the types of all but one singular fibres can be chosen arbitrary; then the type of the remaining fibre is determined by the requirement that the total multiplicity of all singular fibres, which equals the topological Euler characteristic \( \chi(X) \), should be divisible by 12. (The multiplicities of the two lifts of a given element of \( \Gamma \) differ by 6; cf. Paragraph 5.1.1.)

If \( X \) is extremal and has no type II\(^*\) singular fibres, then its type specification can be described in terms of the reduced monodromy group \( H = \Im h_X \). Indeed, in view of condition Paragraph 2.2.3(3), the types of the exceptional fibres of \( X \) are fixed. The non-exceptional singular fibres are in a one-to-one correspondence with the regions of \( \Sk_X \), equivalently, with the orbits of \( X \mathbb{Y} \), equivalently, with the \( H \)-conjugacy classes of maximal unipotent subgroups of \( H \), and a type specification consists in assigning a lift \( \langle \pm g^{-1}(X \mathbb{Y})^n g \rangle \subset \tilde{\Gamma} \) to each such conjugacy class \( \langle \langle \pm g^{-1}(X \mathbb{Y})^n g \rangle \rangle_H \).

Theorem 3.12. Two extremal elliptic surfaces \( X_1 \) and \( X_2 \) over the rational base \( B = \mathbb{P}^1 \) and without type II\(^*\) singular fibres are isomorphic if and only if they are related by a 2-orientation-preserving fibrewise homeomorphism.

Proof. The ‘only if’ part is obvious. For the ‘if’ part, it suffices to note that a 2-orientation-preserving homeomorphism \( X_1 \to X_2 \) induces an orientation-preserving homeomorphism \( B_1 \to B_2 \) taking punctures to punctures, commuting with the homological invariants.
\[ \pi_1(B_1^2) \to \Gamma \leftarrow \pi_1(B_2^2) \] (and hence taking \( H_1 \) to \( H_2 \)) and preserving the type specification (as distinct types of singular elliptic fibres differ topologically, for example, by the local monodromy). Hence, \( X_1 \) and \( X_2 \) are isomorphic.

**Remark 3.13.** The extremality condition in Theorem 3.12 can be relaxed by replacing Paragraph 2.2.3(3) by the requirement that the surface should have no singular fibres of type \( I_0^* \), \( II^* \), or \( IV \). In this case, a type specification would also choose a lift \( \langle \pm g^{-1}Xg \rangle \) for each conjugacy class \( \langle g^{-1}Xg \rangle_H \) of order 3 subgroups of \( H \) (monovalent •-vertices) and a lift \( \langle \pm g^{-1}Yg \rangle \) for each conjugacy class \( \langle g^{-1}Yg \rangle_H \) of order 2 subgroups of \( H \) (monovalent ◦-vertices).

**Remark 3.14.** The combinatorial type of singular fibres of an extremal (or more general as in Remark 3.13) elliptic surface \( X \) is determined by its type specification and the following combinatorial information about its skeleton \( Sk_X \): the numbers of monovalent •- and ◦-vertices and the shapes of the regions of \( Sk_X \). Each monovalent •- (respectively, ◦-) vertex gives rise to a singular fibre of type \( II \) or \( IV^* \) (respectively, \( III \) or \( III^* \)), and each \( n \)-gonal region gives rise to a singular fibre of type \( I_p^* \), \( I_0^* \), \( III \), or \( IV \). There are large numbers of skeletons sharing these data; some examples are considered in Subsections 4.3, 4.5, and 5.6 below.

3.5. **The monodromy group of an elliptic surface**

For an elliptic surface \( X \), we introduce the following fibre counts:

1. \( n_{II} \) is the number of fibres of type \( II \) or \( IV^* \);
2. \( n_{III} \) is the number of fibres of type \( III \) or \( III^* \);
3. \( n_{IV} \) is the number of fibres of type \( IV \) or \( II^* \);
4. \( t \) is the number of fibres of type \( I_p^* \), \( I_0^* \), \( III \), or \( IV \).

Further, let \( \chi(X) \) be the topological Euler characteristic of \( X \).

**Theorem 3.15.** Let \( X \) be an extremal elliptic surface without type \( II^* \) singular fibres. Then the reduced monodromy group \( \text{Im} h_X \subset \Gamma \) is a subgroup of index \( \chi(X) - 6t - 2n_{II} - 3n_{III} \) isomorphic to the free product

\[ \oplus_n \mathbb{Z} \ast \oplus_{n_{II}} \mathbb{Z}_2 \ast \oplus_{n_{IV}} \mathbb{Z}_3, \]

where \( n = \frac{1}{6} \chi(X) - t - n_{II} - n_{III} + 1 \).

**Proof.** The statement follows from Theorem 3.7, Corollary 3.6, and the fact that

\[ \chi(X) = |E_{Sk}| + 6t + 2n_{II} + 3n_{III} + 4n_{IV}, \]  \hspace{1cm} (3.2)

where \( Sk = Sk_X \). (Here, we admit skeletons with bivalent •-vertices as well.) For the latter, observe that \( \chi(X) \) equals the total multiplicity of the singular fibres of \( X \). Exceptional singular fibres are accounted for by the mono- and bivalent •-vertices and monovalent ◦-vertices of \( Sk \). Besides, there is one fibre of type \( I_p^* \) or \( I_0^* \) inside each \( p \)-gonal region of \( Sk \). The sum of all indices \( p \) is the total number of corners of all regions of \( Sk \), that is, \( |E_{Sk}| \). Finally, each *-type fibre increases the total multiplicity by 6.

**Theorem 3.16.** Let \( X \) be a non-isotrivial elliptic surface without type \( II^* \) or \( IV \) singular fibres. Then the index of the reduced monodromy group \( \text{Im} h_X \subset \Gamma \) of \( X \) divides \( \chi(X) - 6t - 2n_{II} - 3n_{III} \). In particular, it is finite.
Proof. Let $S_k$ be the skeleton of $X$. After a fibrewise equisingular deformation of $X$, not necessarily small, one can assume that $S_k$ is generic and connected. (For the modifications of skeletons resulting in deformations of surfaces; see [12] or [17].) Hence, $S_k$ is a $(3, 1)$-skeleton. This time, each region of $S_k$ may contain several singular fibres of $X$. Hence, instead of Theorem 3.7, one has a diagram
\[
\pi_1(B^2, e) \xleftarrow{\sim} \pi_1(S_k, e) \xrightarrow{\infty} \pi_1^{orb}(S_k, e) \twoheadrightarrow \text{Stab}(e) \subset \Gamma,
\]
where $S_k'$ is the auxiliary space introduced in Remark 3.8 and an inclusion $\text{Stab}(e) \subset \text{Im} \mathfrak{h}_X$.

It remains to observe that $[\Gamma : \text{Stab}(e)] = |E_{S_k}|$ and that (3.2) holds for any non-isotrivial surface $X$.

Remark 3.17. The reduced monodromy group $\text{Im} \mathfrak{h}_X$ of an isotrivial elliptic surface $X$ is either trivial or conjugate to the subgroup generated by $X$ or $Y$. In particular, $[\Gamma : \text{Im} \mathfrak{h}_X] = \infty$. At present, I do not know whether the index of $\text{Im} \mathfrak{h}_X$ is necessarily finite if $X$ is a non-isotrivial surface with type II* or IV singular fibres.

3.6. Further generalizations

Combined, the constructions of Subsections 3.1 and 3.2 give rise to the notion of generalized $(3, 1)$-skeleton. Theorems 3.1 and 3.4 combine to give the following statement.

Theorem 3.18. The functors $(S_k, e) \mapsto \text{Stab}(e)$, $H \mapsto (\Gamma/H, H/H)$ establish an equivalence of the categories of

1. based generalized $(3, 1)$-skeletons and morphisms and
2. subgroups $H \subset \Gamma$ and inclusions.

The orbifold fundamental group $\pi_1^{orb}(S_k, e)$ of a generalized $(3, 1)$-skeleton $S_k$ is defined as in Paragraph 3.2.1, and Theorem 3.5 extends to this case literally. Since $S_k^*$ is still homotopy equivalent to a wedge of circles and copies of $D_2^2$ and $D_2^3$, one obtains the following corollary.

Corollary 3.19. Any subgroup of $\Gamma$ is a free product (possibly infinite) of copies of cyclic groups $\mathbb{Z}, \mathbb{Z}_2$, and $\mathbb{Z}_3$.

3.6.1. Under Theorem 3.18, finitely generated subgroups correspond to almost contractible $(3, 1)$-skeletons, which are defined as those with the finitely generated group $\pi_1^{orb}(S_k)$.

Following the proof of Proposition 3.3, one can easily show that any almost contractible $(3, 1)$-skeleton $S_k$ representing a finitely generated subgroup $H \subset \Gamma$, $H \neq \{1\}$ (so that $S_k$ is not the Farey tree), admits a strict deformation retraction to a canonically defined finite induced subgraph $S_k^c \subset S_k$, called the compact part of $S_k$, with the following properties.

1. All vertices of $S_k^c$ are of valency 3 or 1.
2. The monovalent vertices of $S_k^c$ are divided into three types: $\circ$, $\bullet$, or $\triangle$ (the latter representing maximal infinite branches of $S_k$).
3. Distinct $\triangle$-vertices are adjacent to distinct trivalent vertices.

Under this correspondence $H \leftrightarrow S_k \leftrightarrow S_k^c$ one has (anti-)isomorphisms $N(H)/H = \text{Aut} S_k = \text{Aut} S_k^c$ and $H = \pi_1^{orb}(S_k) = \pi_1^{orb}(S_k^c)$, where $\pi_1^{orb}(S_k^c)$ is defined similar to $\pi_1^{orb}(S_k)$, as the fundamental group of the space $(S_k^c)^*$ obtained from $S_k^c$ by replacing each monovalent $\circ$- or $\bullet$-vertex with a copy of $D_2^2$ or $D_2^3$, respectively.
4. Pseudo-trees

Here, we introduce and count admissible trees and related 3-regular ribbon graphs, called pseudo-trees; they are the principal source of most exponentially large examples announced in Section 1.

4.1. Admissible trees and pseudo-trees

An embedded tree $\Xi \subset S^2$ is called admissible if all its vertices have valency 3 (nodes) or 1 (leaves). Two such trees are called isomorphic if they are related by an orientation-preserving auto-homeomorphism of $S^2$. Each admissible tree $\Xi$ gives rise to its associated 3-skeleton $\text{Sk}_\Xi$: its embedded geometric realization is obtained by attaching a small loop to each leaf of $\Xi$ (see Figure 4, left), and the ribbon graph structure is induced from the embedding. A 3-skeleton obtained in this way is called a pseudo-tree. Clearly, each pseudo-tree is a skeleton of genus 0; two pseudo-trees $\text{Sk}_\Xi'$ and $\text{Sk}_\Xi''$ are isomorphic as ribbon graphs if and only if the trees $\Xi'$ and $\Xi''$ are isomorphic.

An admissible tree has a certain number $k \geq 0$ of nodes and $(k + 2)$ leaves. The number of isomorphism classes of admissible trees with $k$ nodes is denoted by $T(k)$; it equals the number of isomorphism classes of pseudo-trees with $(2k + 2)$ vertices.

Remark 4.1. Certainly, instead of fixing a particular embedding $\Xi \subset S^2$, one can merely consider $\Xi$ as a ribbon graph; see Paragraph 2.2.4; we always assume that $\Xi$ is equipped with the ribbon graph structure induced from $S^2$. Then $\text{Sk}_\Xi$ is obtained from $\Xi$ by attaching a loop at each monovalent vertex and extending the ribbon graph structure; the latter extension is obviously unique up to isomorphism. The sole reason for considering embedded rather than abstract ribbon graphs is an attempt to make the exposition more geometric: drawing ribbon graphs of genus 0 in the plane and assuming the ‘blackboard thickening’.

4.1.1. A marking of an admissible tree $\Xi$ is a choice of one of its leaves $v_1$. Given a marking, one can number all leaves of $\Xi$ consecutively, starting from $v_1$ and moving in the clockwise direction, that is, following left turn paths (see Figure 4, where the indices of the leaves are shown inside the loops). Declaring the node adjacent to $v_1$ the root and removing all leaves, one obtains an oriented rooted binary tree $B$ with $k$ vertices (see, for example, [22] for the related terminology). This procedure, intuitively clear from Figure 4, can be formally described as follows.

(1) Orient the edges of $\Xi$ upwards from $v_1$: an edge $[u', u'']$ is directed from $u'$ to $u''$ if $u'$ is closer to $v_1$ in $\Xi$.

(2) With this convention, each node $v$ of $\Xi$ has exactly one incoming edge $e_1$ and two outgoing edges $e_2, e_3$; if $(e_1, e_2, e_3)$ is the cyclic order at $v$, declare $e_2$ and $e_3$ the right and left edges at $v$, respectively (and their other ends, the right and left children of $v$, respectively).
(3) Let $B$ be the induced subgraph of $\Xi$ spanned by its nodes, retaining the orientation of the edges (the parent/child relation) and the left/right labels (the binary tree orientation of $B$); the only parentless node of $B$ is its root. Conversely, an oriented rooted binary tree $B$ gives rise to a marked admissible tree, described as follows.

(1) Extend $B$ to a proper binary tree by inserting all missing children (left and/or right) at each vertex of $B$ (node or leaf).

(2) Attach an extra leaf $v_1$ at the root of $B$, directing the new edge from $v_1$ to the root.

(3) At each node (trivalent vertex) of the resulting tree $\Xi$, define the cyclic order of the edges as $\{\text{incoming}\}, \{\text{right}\}, \{\text{left}\}$.

As a consequence, the number of isomorphism classes of marked admissible trees with $k$ nodes is given by the Catalan number $C(k)$ (see, for example, [11]).

4.1.2. The vertex distance $m_i$ between two consecutive leaves $v_i, v_{i+1}$ of a marked admissible tree $\Xi$ is the vertex length of the shortest left turn path in $\Xi$ from $v_i$ to $v_{i+1}$; it is indeed the shortest distance in the tree. For example, in Figure 4 one has $(m_1, m_2, m_3, m_4, m_5) = (5, 3, 4, 5, 3)$; for another example, see Figure 7 in Subsection 5.3.

One can extend the sequence $(m_1, \ldots, m_{k+1})$ by appending the vertex distance $m_{k+2}$ from $v_{k+2}$ to $v_1$; then one has $m_1 + \ldots + m_{k+2} = 5k + 4$ (the number of edges in the boundary of the outer region of $S_{k\Xi}$; each of the $(2k + 1)$ edges of $\Xi$ contributes to this number twice, and each of the $(k + 2)$ loops, once). Two marked trees are isomorphic if and only if their sequences $(m_1, \ldots, m_{k+1})$ are equal. Two unmarked trees are isomorphic if and only if the corresponding extended sequences $(m_1, \ldots, m_{k+1}, m_{k+2})$ differ by a cyclic permutation. Note that not any sequence $(m_1, \ldots, m_{k+1})$ gives rise to a marked admissible tree; see [16] for a criterion.

4.2. Counts

As above, let $T(k)$ be the number of isomorphism classes of pseudo-trees with $(2k + 2)$ vertices. Further, let $T_i(k), i \geq 0$, be the number of classes of pseudo-trees $S_k$ with $|\text{Aut } S_k| = i$.

For a pseudo-tree $S_k$ with $(2k + 2)$ vertices, denote by $\mathcal{O}_{S_k}$ the orbit of $XY$ corresponding to the outer $(5k + 4)$-gonal region of $S_k$. The number of isomorphism classes of based 3-skeleta $(S_k, e)$, where $S_k$ is a pseudo-tree with $(2k + 2)$ vertices and $e \in \mathcal{O}_{S_k}$, is denoted by $\hat{T}(k)$.

**Lemma 4.2.** For a pseudo-tree $S_k = S_{k\Xi}$ one has $|\text{Aut } S_k| \leq 3$, that is, $T_i(k) = 0$ for $i > 3$. The numbers $T_1(k), T_2(k), T_3(k)$ are found from the relations

$$\sum_{i=1}^{3} \frac{T_i(k)}{i} = \frac{C(k)}{k + 2},$$

$$T_2(k) = \begin{cases} C(k'), & \text{if } k = 2k', \\ 0, & \text{otherwise}, \end{cases}$$

$$T_3(k) = \begin{cases} C(k'), & \text{if } k = 3k' + 1, \\ 0, & \text{otherwise}. \end{cases}$$

Furthermore, the group $\text{Aut } S_k = \text{Aut } \Xi$ acts freely on the set of leaves of the original tree $\Xi$ and on the set $\mathcal{E}_{S_k}$ of edge ends of $S_k$.

**Proof.** Obviously, one has $\text{Aut } S_{k\Xi} = \text{Aut } \Xi$. Any combinatorial automorphism of $\Xi$ is represented by a piecewise linear auto-homeomorphism $\varphi: \Xi \to \Xi$. Since $\Xi$ is contractible, $\varphi$ has a fixed point $p$, which is necessarily isolated (assuming that $\varphi \neq \text{id}$), as an automorphism of a connected ribbon graph fixing an edge is the identity. If $p$ is at the centre of an edge of $\Xi$ or $p$ is a vertex of $\Xi$, then $\varphi^2$ or $\varphi^3$, respectively, fixes a whole edge of $\Xi$ and thus is the identity.
A tree $\Xi$ with an automorphism $\varphi$ is shown in Figure 5. It is clear that such a tree admits no automorphisms other than powers of $\varphi$: the fixed point $q$ of such an automorphism would belong to one of the grey areas and the vertices of $\Xi$ would be distributed unevenly about $q$. Let $k'$ be the number of nodes of the subtree $\Xi'$ shown in the figure. In Figure 5, left ($|\text{Aut } \Xi| = 2$), one has $k = 2k'$; in Figure 5, right ($|\text{Aut } \Xi| = 3$), one has $k = 3k' + 1$. In each case, the trees $\Xi$ admitting such an automorphism $\varphi$ can be parametrized by the marked subtrees $\Xi'$, distinguished being the leaf extending towards the fixed point of $\varphi$. Their number is $C(k')$, which proves the expressions for $T_2(k)$ and $T_3(k)$.

It is also clear from Figure 5 that a non-trivial automorphism does not fix a leaf of $\Xi$ or an edge end of $S_k$. Then the first relation in the statement is the usual orbit count: a tree $\Xi$ with $|\text{Aut } \Xi| = i$ admits $(k + 2)/i$ essentially distinct markings, and the total number of marked trees is $C(k)$.

**Corollary 4.3.** For each integer $k \geq 0$, one has

$$T(k) = \frac{C(k)}{k+2} + \frac{T_2(k)}{2} + \frac{2T_3(k)}{3}, \quad \tilde{T}(k) = \frac{5k + 4}{k+2}C(k),$$

where $T_2(k)$ and $T_3(k)$ are given by Lemma 4.2.

**Proof.** Since $T_i(k) = 0$ for $i > 3$, the expression for $T(k) = T_1(k) + T_2(k) + T_3(k)$ follows directly from Lemma 4.2.

For each pseudo-tree $S_k$, one has $|\mathcal{O}_{S_k}| = 5k + 4$ and $\text{Aut } S_k$ acts freely on $\mathcal{O}_{S_k}$. Hence, $\tilde{T}(k) = (5k + 4) \sum_{i=1}^{3} T_i(k)/i = (5k + 4)C(k)/(k+2)$ due to the first relation in Lemma 4.2.

**4.3. Proof of Theorem 1.8**

The surfaces in question were constructed in [12]. Each surface $X$ corresponds to a pseudo-tree $S_k$ with $(2k + 2)$ vertices, with the type specification (see Subsection 3.4 and Remark 3.14) chosen so that the singular fibre of $X$ inside each monogonal region of $S_k$ should be of type $I_1$. The type of the singular fibre inside the remaining $(5k + 4)$-gonal region (the outer region in Figure 4, left) is then determined by the parity of $k$: it is of type $I_{5k+4}$ if $k$ is odd or $I_{5k+4}^*$ if $k$ is even.

The $T(k)$ distinct pseudo-trees with $(2k + 2)$ vertices give rise to $T(k)$ pairwise non-isomorphic extremal elliptic surfaces; Theorem 1.7 implies that they are not related by a $2$-orientation-preserving fibrewise homeomorphism.

**4.4. Digression: generalized pseudo-trees**

The construction of Subsection 4.1 producing a 3-skeleton from a tree can be generalized. A function $\ell$ defined on the set of leaves of an admissible tree $\Xi$ and taking values in $\{0, \circ, \bullet, \triangle\}$ is called admissible if no two leaves $v_1, v_2$ with $\ell(v_1) = \ell(v_2) = \triangle$ are adjacent to the same node.
An admissible pair is a pair \((\Xi, \ell)\), where \(\Xi\) is an admissible tree and \(\ell\) is an admissible function on the set of leaves of \(\Xi\). Each admissible pair \((\Xi, \ell)\) gives rise to an (almost contractible) \((3, 1)\)-skeleton \(\text{Sk}_\Xi(\Xi, \ell)\), whose compact part \(\text{Sk}^c\) is obtained from \(\Xi\) by attaching a small loop to each leaf \(v\) with \(\ell(v) = 0\) and replacing each other leaf \(v\) with a monovalent vertex of type \(\ell(v)\); cf. Figures 8 and 9 in Section 5. Thus, one has \(\text{Sk}_\Xi = \text{Sk}(\Xi, 0)\). A generalized \((3, 1)\)-skeleton obtained in this way is called a generalized pseudo-tree.

Clearly, two generalized pseudo-trees \(\text{Sk}_\Xi(\Xi', \ell')\) and \(\text{Sk}_\Xi(\Xi'', \ell'')\) are isomorphic if and only if so are the pairs \((\Xi', \ell')\) and \((\Xi'', \ell'')\), that is, if there exists an isomorphism \(\varphi : \Xi' \to \Xi''\) such that \(\ell' = \ell'' \circ \varphi\).

For a generalized pseudo-tree \(\text{Sk} = \text{Sk}(\Xi, \ell)\), we denote by \(n_+(\text{Sk})\), \(* \in \{\circ, \bullet, \triangle\}\), the number of monovalent \(*\)-vertices of the compact part \(\text{Sk}^c\). Thus, \(n_+(\text{Sk}) = |\ell^{-1}(*)|\).

**Proposition 4.4.** Let \(H \subset \Gamma\) be a proper finitely generated subgroup. Then \(H\) is generated by \(H \cap [XY]_\Gamma\) if and only if \(\Gamma / H\) is a generalized pseudo-tree without monovalent vertices (that is, a skeleton \(\text{Sk}_\Xi(\Xi, \ell)\) with \(\ell\) taking values in \(\{0, \triangle\}\)). If this is the case, \(H\) admits a free basis consisting of elements conjugate to \(XY\).

**Proof.** Let \(\text{Sk} = \Gamma / H\). It is an almost contractible \((3, 1)\)-skeleton (see Paragraph 3.6.1). Since \(H\) is proper, \(\text{Sk}\) has a well-defined compact part \(\text{Sk}^c\), which is not isomorphic to the skeleton \(\bullet \to\to\circ\) representing \(\Gamma\) itself. Hence, each monogonal region of \(\text{Sk}\) (orbit of \(XY\) of length 1) is bounded by an edge with both ends attached to a trivalent \(\bullet\)-vertex. (The only exceptional monogonal region is the ‘outer’ region in the skeleton \(\bullet \to\to\circ\) representing \(\Gamma\).) It follows that the edge bounding a monogonal region cannot belong to any subtree of \(\text{Sk}^c\).

Let \(\Xi\) be a maximal tree in \(\text{Sk}^c\) not containing a monovalent \(\circ\)- or \(\bullet\)-vertex. Contracting \(\Xi\) establishes a homotopy equivalence of the space \((\text{Sk}^c)^\bullet\) computing \(\pi_1^{\text{orb}}(\text{Sk}^c) = H\) (see Paragraph 3.6.1) to a wedge \(W\) of circles and copies of \(D_2^3\) and \(D_3^2\). Each monogonal region of \(\text{Sk}\) produces a separate circle in \(W\), and the \(H\)-conjugacy classes of loops represented by these circles constitute the intersection \(H \cap [XY]\). Thus, \(H\) is generated by \(H \cap [XY]\) if and only if \(W\) has no other circles or copies of \(D_2^3\) or \(D_3^2\), that is, \(\text{Sk}^c\) consists of several monogonal regions attached to the (unique) maximal subtree \(\Xi \subset \text{Sk}^c\).

**Remark 4.5.** Proposition 4.4 gives a geometric characterization of the proper subgroups \(H \subset \Gamma\) that can appear as the monodromy group of a simple \(\Gamma\)-valued monodromy factorization; see Definition 5.1. Note that \(\Gamma\) itself can also appear in this way (it is generated by the images \(XY\) and \(X^2YX^{-1}\) of \(\sigma_1\) and \(\sigma_2\), respectively; see (5.1) below); it is the only monodromy group that is not free.

**Remark 4.6.** According to Proposition 4.4, the study of simple \(\Gamma\)-valued monodromy factorizations is often reduced to that of monodromy factorizations with the values in a free group, which may be easier. For example, in some cases (if \(m_\infty\) is positive with respect to an appropriate basis in the image), one can mimic Artin’s proof of his Theorem 16 in [4] to establish the uniqueness of a monodromy factorization of a given element \(m_\infty\) with a given monodromy group.

4.5. Digression: more examples of elliptic surfaces

Let \(\text{Sk} = \text{Sk}(\Xi, \ell)\) be a finite generalized pseudo-tree (thus, we assume that \(n_\triangle(\text{Sk}) = 0\)) obtained from an admissible tree \(\Xi\) with \(k\) nodes. Let \(n_* = n_+(\text{Sk})\). For the type specification (see Subsection 3.4 and Remark 3.14), assign type \(I_1\) to each monogonal region of \(\text{Sk}\) and types \(IV^*\)
and III$^*$ to the monovalent $\bullet$- and $\circ$-vertices, respectively. Then the fibre inside the remaining outer region of $S_k$ is of type $I^*$ if $k + n_\bullet + n_\circ$ is odd or $I_s^*$ otherwise, where $s = 5k + 4 - n_\bullet - 2n_\circ$. (For even more examples, one could also vary the types $I^*_1$ or $I_s^*_1$ of the fibres in the monogonal regions, adjusting the type of the remaining fibre accordingly.)

The skeleton $S_k$ and the type specification described above define an extremal elliptic surface $X$ with the combinatorial type of singular fibres:

$$(k + 2 - n_\bullet - n_\circ)I_1 \oplus n_\bullet IV^* \oplus n_\circ III^* \oplus \{I_s \text{ or } I_s^*\}.$$

The surfaces corresponding to non-isomorphic pairs $(\Xi, \ell)$ are neither analytically isomorphic nor related by a 2-orientation-preserving fibrewise homeomorphism, as they have non-conjugate reduced monodromy groups.

5. Monodromy factorizations

This section deals with monodromy factorizations. We prove Theorems 1.4 and 1.5 and discuss a few sporadic examples arising from generalized pseudo-trees and from maximizing plane sextics.

5.1. Preliminaries

The braid group $B_3$ is the group

$$B_3 = \langle \sigma_1, \sigma_2 \mid \sigma_1 \sigma_2 \sigma_1 = \sigma_2 \sigma_1 \sigma_2 \rangle = \langle u, v \mid u^3 = v^2 \rangle,$$

where $u = \sigma_2 \sigma_1$ and $v = \sigma_2 \sigma_1^2$. The centre $Z(B_3)$ is the infinite cyclic group generated by $u^3 = v^2$, and the quotient $B_3/Z(B_3)$ is isomorphic to $\Gamma$. In order to be consistent with Subsection 2.5, we define the epimorphism $B_3 \rightarrow \tilde{\Gamma}$ (and further to $\Gamma$) via

$$\sigma_1 \mapsto X \, Y, \quad \sigma_2 \mapsto X^2 \, Y \, X^{-1}. \quad (5.1)$$

(Then $u \mapsto -X^{-1}$ and $v \mapsto -Y$.)

5.1.1. The abelianization $B_3/[B_3, B_3]$ is the cyclic group $\mathbb{Z}$. The image of a braid $\beta \in B_3$ in the abelianization $B_3/[B_3, B_3] = \mathbb{Z}$ is called its degree $\deg \beta$. (By convention, $\deg \sigma_1 = 1$.) A braid $\beta \in B_3$ is uniquely recovered from its image $\overline{\beta} \in \Gamma$ and its degree $\deg \beta$; the latter is determined by $\overline{\beta}$ up to a multiple of 6. (The degree of an element of $\Gamma$ or $\tilde{\Gamma}$ is defined, respectively, modulo 6 or 12.)

Definition 5.1. A $B_3$-, $\Gamma$-, or $\tilde{\Gamma}$-valued monodromy factorization $(m_i)$, $i = 1, \ldots, r$, is called simple if each entry $m_i$ belongs to the conjugacy class $[\sigma_1]$, $[XY]_\Gamma$, or $[XY]_{\tilde{\Gamma}}$, respectively.

Proposition 5.2. For each $r \geq 1$, the epimorphisms $B_3 \rightarrow \tilde{\Gamma} \rightarrow \Gamma$ establish bijections between the sets of simple $B_3$-, $\Gamma$-, and $\tilde{\Gamma}$-valued monodromy factorizations of length $r$; these bijections preserve the weak/strong equivalence classes.

Proof. Each element $x \in [XY]_\Gamma \subset \Gamma$ lifts to a unique element $x' \in [\sigma_1] \subset B_3$ and to a unique element $x'' \in [XY]_{\tilde{\Gamma}} \subset \tilde{\Gamma}$ (characterized by the requirement that $\deg x' = 1$ and $\deg x'' = 1 \text{ mod } 12$), establishing a one-to-one correspondence between the sets of monodromy factorizations. The weak and strong Hurwitz equivalences are preserved due to the fact that both $B_3 \rightarrow \Gamma$ and $\tilde{\Gamma} \rightarrow \Gamma$ are central extensions. □
5.1.2. The advantage of considering the braid group $\mathbb{B}_3$ rather than the modular group $\Gamma$ is the fact that, in $\mathbb{B}_3$, the length $r$ of a simple monodromy factorization of an element $m_\infty \in \mathbb{B}_3$ is uniquely determined by $m_\infty$: one has $r = \deg m_\infty$. Hence, for $\mathbb{B}_3$, the problem of uniqueness of a simple monodromy factorization of a given element can be restated in the language of factorization semigroup; see [25, 31].

**Definition 5.3.** The factorization semigroup is the semigroup $\mathfrak{B}_n$ (with the binary operation denoted by $\cdot$) generated by the elements $\beta \in [\sigma_1]_{\mathfrak{B}_n}$ subject to the Hurwitz relations

$$\beta_1 \cdot \beta_2 = \beta_1^{-1} \beta_2 \beta_1 \cdot \beta_1 = \beta_2 \cdot \beta_2 \beta_1 \beta_2^{-1}. \quad \text{The evaluation anti-homomorphism } v : \mathfrak{B}_n \rightarrow \mathbb{B}_n \text{ is defined via } v : \beta_1 \cdot \beta_2 \cdot \ldots \cdot \beta_r \mapsto \beta_r \cdot \ldots \cdot \beta_2 \beta_1. \quad \text{The number } v = \deg v(\bar{m}) \text{ of consecutive vertex distances (see Paragraph 4.1.2) and consider the sequence } (n_1, \ldots, n_{k+2}) \text{ defined by}

$$
n_i = m_i + \ldots + m_{k+1}, \quad i = 1, \ldots, k + 1, \quad n_{k+2} = 0. \quad (5.2)
$$

The number $n_i$ is the vertex length of the shortest left turn path from $v_i$ to $v_{k+2}$ in the skeleton $S_k$.

Let $e \in \mathcal{E}_{S_k}$ be the edge end at $v_{k+2}$ that belongs to the original tree (see the grey dot in Figure 6) and consider the basis $\{\gamma_1, \ldots, \gamma_{k+2}\}$ for $\pi_1(S_k, e)$, where $\gamma_i$ is the class represented by the loop of $S_k$ attached at $v_i$ which is connected to $e$ by the shortest left turn path in $S_k$ (the grey loop in Figure 6).

In terms of Definition 2.8, the loop representing a basis element $\gamma_i$ is $(e, w_i)$, where

$$w_i = (nx \text{ op})^{n_i}(nx \text{ op } nx^{-1} \text{ nx}^{-1})(\text{op } nx^{-1})^{n_i}. \quad (5.3)
$$

The product $\gamma_1 \ldots \gamma_{k+1}$ is homotopic to the boundary of the outer $(5k + 4)$-gonal region of $S_k$; after cancellation, $\gamma_1 \ldots \gamma_{k+2} \sim (e, (nx \text{ op})^{5k+4})$.

Define the $\Lambda$-valued monodromy factorization $\bar{m} = \bar{m}(S_k, e) = (m_1, \ldots, m_{k+2})$ by

$$m_i = (\text{val } \gamma_i)^{-1} = (XY)^{n_i}(X^2YX^{-1})(XY)^{-n_i}. \quad (5.3)
$$

By construction, one has $m_\infty(\bar{m}) = (XY)^{-5k-4}$ (see Lemma 2.11) and $\text{Im}(\bar{m}) = \pi_1(S_k, e) = \text{Stab}(e)$ (see Theorem 2.17). Regarding each $m_i$ in (5.3) as an element of $\bar{\Gamma}$ and adjusting degree modulo 12, one obtains $m_\infty(\bar{m}) = -(XY)^{-5k-4} \in \bar{\Gamma}$.

**Remark 5.4.** Note that the particular choice of a basis $\{\gamma_i\}$ used above is not very important; by Artin’s theorem [4], any other basis $\{\gamma'_i\}$ with the property that each $\gamma'_i$ is conjugate
to some $\gamma_j$ and $\gamma'_1 \ldots \gamma'_{k+2} = \gamma_1 \ldots \gamma_{k+2}$ is obtained from $\{\gamma_i\}$ by a sequence of Hurwitz moves; hence, the resulting monodromy factorization $\bar{m}'$ would be strongly equivalent to $\bar{m}$.

Now, observe that $e$ belongs to the orbit $\mathcal{O}_{\Sk}$ introduced in Subsection 4.2. Let $e' \in \mathcal{O}_{\Sk}$ be another element of this orbit, $e' = (XY)^se$, and consider the monodromy factorization $\bar{m}' = \bar{m}(\Sk, e') := (XY)^s \bar{m}(\Sk, e)(XY)^{-s}$. Clearly, one has $m_\infty(\bar{m}') = (XY)^{-5k-4}$ and $\text{Im}(\bar{m}') = \pi_1(\Sk, e')$. As above, the strong equivalence class of $\bar{m}(\Sk, e')$ does not depend on the particular choice of a basis for $\pi_1(\Sk, e')$; for this reason, we omit the reference to the marking of the original tree $\Xi$ in the notation.

Considering all $\tilde{T}(k)$ pairwise non-isomorphic pairs $(\Sk, e), e \in \mathcal{O}_{\Sk}$ (see Subsection 4.2 and Corollary 4.3) one obtains $\tilde{T}(k)$ distinct monodromy factorizations $\bar{m}(\Sk, e)$; they differ by the monodromy groups $\text{Im}(\bar{m}(\Sk, e)) = \text{Stab}(e)$ (see Theorem 2.4). Disregarding the base elements $e$, one arrives at $T(k)$ weak equivalence classes, which differ by the conjugacy class $[\text{Im}(\bar{m}(\Sk, e))] = [\text{Stab} \Sk]$; see Corollary 2.5.

The transcendental lattices and fundamental groups of the monodromy factorizations constructed above are computed in [16]; for the former, see Example 7.9.

**Remark 5.5.** The monodromy factorizations (5.3) represent the reduced homological invariants of the extremal elliptic surfaces constructed in Subsection 4.3.

5.3. **Examples**

Thus, the $T(k)$ weak equivalence classes of monodromy factorizations given by Theorem 1.5 are numbered by the isomorphism classes of admissible trees with $k$ nodes. They are given by (5.3), where the sequence $(n_1, \ldots, n_{k+2})$ is obtained from the vertex distances $(m_1, \ldots, m_{k+1})$ of the tree; see (5.2). The lifts to simple $B_3$-valued monodromy factorizations are

$$m_i = \sigma_1^{n_i} \sigma_2 \sigma_1^{-n_i}, \quad i = 1, \ldots, k+2, \quad m_\infty = (\sigma_1 \sigma_2)^{3(k+1)} \sigma_1^{-5k-4}. \quad (5.4)$$

(For $m_\infty$, we multiply $\sigma_1^{-5k-4}$ by a power of the central element $(\sigma_1 \sigma_2)^3$ in order to match the degree.)

**Example 5.6.** The simplest example of non-equivalent monodromy factorizations given by Theorem 1.5 is obtained when $k = 4$. The four admissible trees with four nodes and their vertex distances are shown in Figure 7. The fact that the resulting monodromy factorizations are not equivalent can be proved directly, using GAP [19]. Let $\bar{m}$ be one of the monodromy factorizations, let $H = \text{Im}(\bar{m})$ be its monodromy group, and let $N$ be the normalizer of $H$ in $\Gamma$. Then, as Corollary 2.6 predicts, the index $[N : H]$ equals 1, 2, and 3 for the trees in Figure 7, left, middle, and right, respectively. In particular, the four groups belong to at least three distinct conjugacy classes. The two groups corresponding to the two trees in the middle (which are related by an orientation-reversing diffeomorphism of the sphere) are conjugate in $\text{PGL}(2, \mathbb{Z})$ but not in $\Gamma$.  

![Figure 6. A loop $\gamma_i$ (grey).](image-url)
Example 5.7. The simplest example of weakly but not strongly equivalent monodromy factorizations with the same monodromy at infinity is given by Theorem 1.4 with $k = 0$. The only admissible tree without nodes (two leaves connected by an edge) gives rise to two monodromy factorizations:

$$\tilde{m}' = (\sigma_1^2\sigma_2\sigma_1^{-2}, \sigma_1), \quad \tilde{m}'' = (\sigma_1\sigma_2^{-1}, \sigma_1^{-1}\sigma_2\sigma_1).$$

Let $H', H'' \subset \Gamma$ be their monodromy groups (reduced to $\Gamma$). Using GAP [19], one can see that $[\Gamma : H'] = [\Gamma : H''] = 6$, whereas $[\Gamma : H' \cap H''] = 24$. Hence, $H' \neq H''$.

5.4. Non-equivalent monodromy factorizations of length 2

Consider the almost contractible generalized pseudo-trees represented by the two ribbon graphs shown in Figure 8. (Recall that each $\delta$-vertex is to be extended to a maximal infinite branch, which is a ‘half’ of the Farey tree; see Subsection 3.1.) They are obviously not isomorphic; hence, their stabilizers are not conjugate.

In each skeleton $S_k$, let $e \in E_{S_k}$ be the edge end represented by a grey dot in the figure, and pick a basis $\{\gamma_1, \gamma_2\}$ for $\pi_1(S_k, e)$ so that each $\gamma_i$, $i = 1, 2$, is conjugate to the boundary of a monogonal region of $S_k$ and $\gamma_1\gamma_2$ is homotopic to a circle encompassing the compact part $S_k^c$ of $S_k$. (The particular choice of bases is not important; see Remark 5.4.) Let $\tilde{m}(S_k) = ((\text{val } \gamma_1)^{-1}, (\text{val } \gamma_2)^{-1})$. For example, the bases can be chosen so that

$$\tilde{m}(S_k^{\text{left}}) = (XY)^{-1}(X^2YX^{-1})(XY^{-1}), (YXY)^{-1}(X^2YX^{-1})(YXY)^{-1}),$$

$$\tilde{m}(S_k^{\text{right}}) = (X^2YX^{-1}, (YXYX^2Y)(X^2YX^{-1})(YXYX^2Y)^{-1}).$$

The $\mathbb{B}_3$-valued simple lifts of the two factorizations are

$$\bar{m}(S_k^{\text{left}}) = (\sigma_1\sigma_2^{-1}, \sigma_2\sigma_1\sigma_2^{-3}\sigma_1^{-1}), \quad \bar{m}(S_k^{\text{right}}) = (\sigma_2, \beta\sigma_2^{-1}),$$

where $\beta = \sigma_2\sigma_1^2\sigma_2^{-1}\sigma_1$. One has

$$m_\infty(\bar{m}(S_k^{\text{left}})) = m_\infty(\bar{m}(S_k^{\text{right}})) = YX(YX)^{-3}YX(YX)^{-3},$$

which lifts to $m_\infty = (\sigma_2\sigma_1^2\sigma_2\sigma_1^{-1})^2 \in \mathbb{B}_3$. On the other hand, the monodromy groups $[\text{Im}(\bar{m}(S_k))] = [\text{Stab } S_k]$ are not conjugate in $\Gamma$ (although they are conjugate in $\text{PGL}(2, \mathbb{Z})$); hence, the two monodromy factorizations are not weakly equivalent.
Remark 5.8. The two pseudo-trees differ by an orientation-reversing auto-homeomorphism of the sphere. This fact implies that the corresponding Hurwitz curves and Lefschetz fibrations are anti-isomorphic. Hence, the two monodromy factorizations have isomorphic fundamental groups and transcendental lattices; see Paragraph 1.1.2.

5.5. Digression: non-simple monodromy factorizations

Let $S_k = S_k(\Xi, \ell)$ be a generalized pseudo-tree obtained from an admissible tree $\Xi$ with $k$ nodes; see Subsection 4.4. Define $n_s = n_s(S_k)$ for $s \in \{\bullet, \circ, \triangle\}$.

Consider an embedding $S_k^c \subset S^2$, patch each monogonal region of $S_k^c$ with a disc, and let $B$ be a regular neighbourhood of the result. Denote by $B^\circ$ the punctured disc obtained from $B$ by removing a point inside each monogonal region of $S_k$ and all monovalent $\bullet$- and $\circ$-vertices of $S_k$; see the shaded area in Figure 9. There is an epimorphism $\rho: \pi_1(B^\circ) \to \pi_1^{orb}(S_k)$; cf. Theorem 3.7.

Fix a point $b \in \partial B$ and pick a geometric basis $\{\gamma_1, \ldots, \gamma_s\}$ for $\pi_1(B, b)$ such that $\gamma_1 \ldots \gamma_s = [\partial B]$. (The precise choice is not important as different bases would produce weakly equivalent monodromy factorizations; cf. Remark 5.4.) Define the monodromy factorization $\bar{m}(S_k) = (m_1, \ldots, m_s)$ of length $s = k + 2 - n_\triangle$ by $m_i = (\text{val}(\gamma_i))^{-1}$, $i = 1, \ldots, s$. It has $n_\bullet$ elements in $[\mathcal{X}]$, $n_\circ$ elements in $[\mathcal{Y}]$, and $k + 2 - n_\bullet - n_\circ - n_\triangle$ elements in $[\mathcal{X}\mathcal{Y}]$. Thus, $\bar{m}$ is simple if and only if $n_\bullet = n_\circ = 0$.

If $n_\triangle = 0$, then the conjugacy class of the monodromy at infinity $m_\infty(\bar{m}(S_k))$ equals $[(\mathcal{X}\mathcal{Y})^{-n}]$, where $n = 5k + 4 - n_\bullet - 2n_\circ$, and $\bar{m}(S_k)$ represents the reduced homological invariant of an extremal elliptic surface constructed in Subsection 4.5. In general, the monodromy at infinity can be found as follows. Let $(m_1, \ldots, m_{n_\triangle})$ be the sequence of vertex lengths, with only $\bullet$-vertices counted, of the shortest left turn paths connecting consecutive $\triangle$-vertices. (For example, for the graph shown in Figure 9, starting from the upper left corner, one has $(m_1, m_2, m_3) = (6, 9, 4)$; in Figure 8, for both graphs one has $(m_1, m_2) = (5, 5)$. Then, the conjugacy class of the monodromy at infinity $m_\infty(\bar{m}(S_k))$ is represented by the right to left product

$$
\prod_{i=1}^{n_\triangle} (\mathcal{X}\mathcal{Y})^{m_i-1}\mathcal{X} = \ldots (\mathcal{X}\mathcal{Y})^{m_2-1}\mathcal{X} (\mathcal{X}\mathcal{Y})^{m_1-1}\mathcal{X}.
$$

(5.5)

Note that $\sum_{i=1}^{n_\triangle} m_i = 5k + 4 - n_\bullet - 2n_\circ - 2n_\triangle$.

Lemma 5.9. Given two generalized pseudo-trees $S_k'$ and $S_k''$, the monodromies at infinity $m_\infty(\bar{m}(S_k'))$ and $m_\infty(\bar{m}(S_k''))$ are conjugate in $\Gamma$ if and only if the corresponding sequences $(m'_1)$ and $(m''_1)$ differ by a cyclic permutation.

Proof. The ‘if’ part is obvious. For the converse, observe that the admissibility condition in Subsection 4.4 implies that each entry $m'_1$, $m''_1$ is at least 2. Then the cyclic word $w$, given by (5.5), admits no cancellations and the numbers $m_i$ can be recovered from the distances in $w$ between consecutive occurrences of $\mathcal{X}^2$. $\square$
5.6. Digression: maximizing plane sextics

We conclude this section with a few examples arising from maximizing plane sextics.

Consider a plane sextic $C \subset \mathbb{P}^2$ with simple singularities only and with a distinguished type $E$ singular point $P$. Let $L_\infty$ be the (only) tangent to $C$ at $P$. Assume that $L_\infty$ is not a component of $C$ and let $C^a \subset C^2 = \mathbb{P}^2 \setminus L_\infty$ be the affine part of $C$. It is a horizontal curve in the sense of \cite{Artal} (or Hurwitz curve in the sense of \cite{Barth-Vanishing}) of degree 3 with respect to the pencil $\mathcal{P} = \{ L_t \}$, $t \in \mathbb{C}^1$, of lines through $P$; in other words, the projection $C^a \rightarrow \mathbb{C}^1$ defined by $\mathcal{P}$ is a proper map. Hence, using $\mathcal{P}$ and an appropriately chosen section of the projection, one can define the braid monodromy $\mu_C : \pi_1(B^2) \rightarrow \mathbb{P}^1$, where $B^2$ is the base $\mathbb{C}^1$ of the pencil with the singular fibres removed. Then, choosing a geometric basis for $\pi_1(B^2)$, one can represent $\mu_C$ by a monodromy factorization $\overline{m}_C$, which is well defined up to weak Hurwitz equivalence.

The minimal resolution of singularities $X$ of the double plane ramified at a sextic $C$ as above is a $K3$-surface, and the pencil $\mathcal{P}$ lifts to an elliptic pencil $X \rightarrow \mathbb{P}^1$ with a distinguished section. One can easily show (see, for example, \cite{Barth-Vanishing}) that $X$ is extremal if and only if $C$ is maximizing, that is, if its total Milnor number takes its maximal possible value 19. When this is the case, the combinatorial type of singular fibres of $X$ is determined by the combinatorial type of singularities of $C$ as follows.

1. The distinguished singular point $P$ of type $E_6$, $E_7$, or $E_8$ gives rise to a singular fibre of type $I_0$, $I_2$, or $III^*$, respectively.
2. Each other singular point gives rise to a singular fibre of the following type: $A_p \mapsto I_{p+1}$, $p \geq 1$, $D_4 \mapsto I_{7-4}$, $q \geq 4$, $E_6 \mapsto IV^*$, $E_7 \mapsto III^*$, $E_8 \mapsto II^*$.
3. A number of type $I_1$ fibres are added to make the total multiplicity 24.

Furthermore, the $\overline{\Gamma}$-valued reduction of the braid monodromy $\mu_C$ is the homological invariant $\overline{h}_C$.

Artal Bartolo, Carmona Ruber, and Ignacio Cogolludo Agustín \cite{Artal} construct a pair of reducible maximizing sextics $C_1$, $C_2$ with the set of singularities $E_6 \oplus A_7 \oplus A_3 \oplus A_2 \oplus A_1$ and, using the fact that both curves and all their singular fibres can be chosen real, compute their monodromy factorizations $\overline{m}_1$, $\overline{m}_2$. Then, reducing $\overline{m}_1$ and $\overline{m}_2$ to the finite group $SL(2,\mathbb{Z}_{32})$ and using GAP \cite{GAP}, they compute their Hurwitz orbits and show that they are disjoint, concluding that $\overline{m}_1$ and $\overline{m}_2$ are not weakly equivalent and thus distinguishing the curves. (Both orbits are of length 15360.) In \cite{Bartolo-Cogolludo}, the same pair of sextics is constructed using trigonal curves or, equivalently, extremal elliptic $K3$-surfaces; their skeletons are as shown in Figure 10, with the distinguished fibre $L_\infty$ corresponding to the outer region. Since the skeletons are obviously not isomorphic, Theorem 2.17 implies that $\text{Im}(\overline{m}_1) \neq \text{Im}(\overline{m}_2)$.

**Remark 5.10.** Strictly speaking, constructed in \cite{Bartolo-Cogolludo} is merely a pair of not deformation equivalent sextics with the set of singularities $E_6 \oplus A_7 \oplus A_3 \oplus A_2 \oplus A_1$. However, it follows from \cite{Barth-Vanishing} that this set of singularities is realized by exactly two equisingular deformation families. Hence, the pairs found in \cite{Artal} and \cite{Bartolo-Cogolludo} coincide.

A number of other examples is found in \cite{Bartolo-Cogolludo, Barlow-Ein-Dolgachev}. Listed in Table 2 are all sets of singularities realized by a pair $C_1$, $C_2$ of irreducible maximizing plane sextics with a distinguished type $E$ singular point and with essentially different skeletons. (More precisely, we ignore pairs of anti-isomorphic curves.) For each such pair, Theorem 2.17 implies that the corresponding monodromy factorizations $\overline{m}_1$, $\overline{m}_2$ are not weakly equivalent, as their monodromy groups are not conjugate. For the sets of singularities marked with a $^*$, the corresponding monodromy factorizations differ by their transcendental lattices; see Example 7.8 below.

Three of the curves listed in Table 2 are among the so-called sextics of torus type, that is, they can be given by an equation of the form $f_2^2 + f_3 = 0$, where $f_2$ and $f_3$ are some homogeneous polynomials of degree 2 and 3, respectively. Given a torus structure (a representation as above),
the singular points of the curve that are in the intersection \( \{ f_2 = 0 \} \cap \{ f_3 = 0 \} \) are called inner. Although not used in this paper, the property of being of torus type is extremely important for a sextic, and we follow the tradition and indicate this fact by parenthesizing the inner singularities (as otherwise the curve might not be recognized by the experts).

**Remark 5.11.** It is worth mentioning that there also are three pairs \( C_1, C_2 \) of irreducible maximizing sextics, those with the sets of singularities

\[
E_7 \oplus E_6 \oplus A_4 \oplus A_2, \quad E_7 \oplus A_{10} \oplus A_2, \quad E_7 \oplus A_6 \oplus A_4 \oplus A_2
\]

(the distinguished point \( P \) being that of type \( E_7 \)), such that, within each pair, the curves are not deformation equivalent but are represented by isomorphic skeletons, and hence have equivalent monodromy factorizations. It follows that the affine parts \( C^o_1 \) and \( C^o_2 \) are isotopic in the class of Hurwitz curves; see [25]. In fact, the curves constituting each pair are related by a quadratic birational transformation biholomorphic in the affine part \( \mathbb{P}^2 \setminus L_\infty \).

### 6. Real trigonal curves

Here, we give a brief introduction to the theory of real trigonal curves (see [17] for more details), prove Theorem 1.9, and consider a few generalizations.

#### 6.1. Dessins

Recall that a real structure on a complex analytic variety \( X \) is an anti-holomorphic involution \( \text{conj} : X \to X \). A map, subvariety, and so on are called real if they commute with/are preserved by \text{conj}.

For each Hirzebruch surface \( \Sigma_k \to B \cong \mathbb{P}^1, k \geq 1 \), fix a (unique up to automorphism) real structure \( \text{conj} : \Sigma_k \to \Sigma_k \) with non-empty real part; see [10] or [36]. Recall that the ruling of \( \Sigma_k \) restricts to an \( S^1 \)-fibration \( (\Sigma_k)_R \to B_R \cong \mathbb{P}^1 \cong S^1 \) of the real parts, which is orientable if and only if \( k \) is even. The real part \( E_R \) of the exceptional section \( E \subset \Sigma_k \) is a section of this fibration.

In what follows, we fix an orientation of \( B_R \) and denote by \( B_+ \) the closure of the connected component of \( B \setminus B_R \) whose complex orientation agrees with the chosen orientation of the boundary \( \partial B_+ = B_R \).
6.1.1. Given a trigonal curve $C \subset \Sigma_k$, one can define the $j$-invariant $j_C : B \to \mathbb{P}^1$ by sending a non-singular fibre $\tilde{F}$ to the $j$-invariant of the elliptic curve $F$ covering $\tilde{F}$ and ramified at $\tilde{F} \cap (C \cup E)$. (Here, the target is the standard Riemann sphere $\mathbb{C} \cup \{ \infty \}$.) Following [30] (see also [17] for more details), define the dessin of $C$ as the graph $j_C^{-1}(\mathbb{P}^1_\mathbb{R}) \subset B$ with the following extra decoration.

1. The pull-backs of 0, 1, and $\infty$ are $\bullet$, $\circ$, and $\ast$-vertices, respectively.
2. The pull-backs of $[0, 1], [1, \infty], \text{and } [-\infty, 0]$ are bold, dotted, and solid edges, respectively. (Thus, the skeleton introduced in Paragraph 2.2.5 is obtained from the dessin by removing all $\ast$-vertices and solid and dotted edges.) The dessin of a real curve is invariant under the complex conjugation in $B$; for this reason, we only draw the part contained in the closed disc $B_+$. Vertices and edges of the dessin that belong to the boundary $\partial B_+$ are called real.

**Remark 6.1.** Note that the $j$-invariant of a real curve may have real critical values other than 0, 1, or $\infty$ not removable by a small equivariant deformation. For this reason, a generic symmetric dessin may have non-removable monochrome vertices in the boundary $\partial B_+$; cf. Figure 11.

According to [17, 30], a dessin in the topological disc $B_+$ determines a real trigonal curve $C$, which is well defined up to equivariant fibrewise deformation. (The converse is not true: a deformation of $C$ may result in a non-trivial modification of its dessin; see [17] for details. We do not use this fact here.)

6.1.2. From now on, we assume all curves non-singular and generic, that is, we assume that all singular fibres are of Kodaira type $I_1$.

The real part $C_R = \text{Fixconj}|C$ of a real trigonal curve $C \subset \Sigma_k$ consists of a long component $L$ isotopic to $E_R$ and a number of ovals, that is, components contractible in $(\Sigma_k)_R$. By Bézout’s theorem, ovals of a trigonal curve are never nested. The critical values of the restriction $p : C_R \to B_R$ of the ruling are the real $\ast$-vertices of the dessin of $C$. Pairs of such vertices bound maximal dotted segments in $\partial B_+$, each segment containing a number of monochrome vertices and, possibly, a number of real $\circ$-vertices. The projection $p$ is three-to-one over the interior of each dotted segment, and it is one-to-one outside the dotted segments. A maximal dotted segment containing an even number of $\circ$-vertices is the projection of an oval (cf. Figure 11(a) and (b)); a segment containing an odd number of $\circ$-vertices is the projection of a zigzag in $L$ (cf. Figure 11(c)). (For the sake of brevity, we merely define a zigzag as the pull-back of a said maximal dotted segment. Intuitively, it is a $Z$-shaped fragment of the long component of the curve.) For further details concerning recovering the topology of a curve from its dessin; see [17, 30].

The real $\circ$-vertices of the dessin are the points where $C_R$ crosses the zero section of $\Sigma_k$. It follows that, if $k$ is even, then two ovals of $C_R$ belong to the same connected component of the complement $(\Sigma_k)_R \setminus (L \cup E_R)$ if and only if they are separated by an even number of real $\circ$-vertices.
6.2. Proof of Theorem 1.9

To construct a curve $C_i$ as in the statement, consider one of the $T(k)$ pseudo-trees $Sk_i$ with $k$ nodes (see Subsection 4.1) and extend it to a dessin as shown in Figure 11(a) and (b).

More precisely, embed $Sk_i$ to the sphere $S^2$ (which is not the base of the elliptic pencil being constructed), patch each loop of $Sk_i$ with the disc bounded by this loop, and take for $B_+$ a regular neighbourhood of the points in $S^2$. In other words, $B_+$ is the space $Sk_i \cup \bigcup_j D_j \cup \varphi((C_0 \times I))$, where $D_j$ are the discs attached, $C_0$ is the boundary of the outer region of $Sk_i$, represented as a union of copies of edges of $Sk_i$, and the attaching map $\varphi : C_0 \times \{0\} \to Sk_i$ sends each such copy onto the corresponding edge linearly. (Each loop contributes once to $C_0$, and each other edge contributes twice.) The real part $\partial B_+$ is identified with $\partial R \times \{1\}$.

Now, place a $\circ$-vertex at the centre of each disc $D_j$, and connect each $\circ$-vertex to the $\bullet$- and $\circ$-vertices in $\partial D_j$ by the radii of $D_j$ (solid and dotted, respectively); cf. Figure 11(a). The dessin structure in $\partial R \times \{0\}$ copies that of $Sk_i$ (the $\bullet$- and $\circ$-vertices). Declare each segment $\bullet \times I$ a solid edge, and each segment $\circ \times I$ a dotted edge, obtaining corresponding monochrome vertices in $\partial R \times \{1\}$. Finally, in $\partial R \times \{1\}$, place a $\times$-vertex between each pair of consecutive monochrome vertices, and connect this $\times$-vertex to its monochrome neighbours by appropriate edges (solid or dotted). In this manner, each (copy of an) edge in the base $\partial R \times \{0\}$ gives rise to an oval-type fragment (see Paragraph 6.1.2) in the boundary $\partial R \times \{1\} = \partial B_+$.

Each loop of $Sk_i$ gives rise to an oval in $\partial B_+$ (see Figure 11(a)) and each edge of the original tree $\Xi$, giving rise to two ovals (see Figure 11(b)). Thus, we obtain the dessin of a real trigonal curve in $\Sigma_{2k+2}$ with $(5k + 4)$ ovals.

All curves obtained are topologically distinct: they differ by the monodromy group $\text{Stab} Sk_i$ of the monodromy $\pi_1(B^2_+) \to \Gamma$, where $B^2_+$ is the interior of $B_+$ with the inner $\times$-vertices removed.

Remark 6.2. Note that the curves constructed in the proof have no zigzags (Figure 11(c) is not used). Moreover, the dessins have no real $\circ$-vertices, hence the real parts of the curves do not cross the zero section; see Paragraph 6.1.2. It follows that all ovals of each real part lie to the same side from the long component.

6.3. Digression: more ribbon curves

The real trigonal curves constructed in Subsection 6.2 are ribbon curves in the sense of [17]. This construction can be generalized. Let $Sk = Sk(\Xi, \ell)$ be the generalized pseudo-tree obtained from an admissible tree $\Xi$ with $k$ nodes and a function $\ell$ taking values in $\{0, \bullet\}$; see Subsection 4.4. Let $z = n_\bullet(Sk)$. Extend Sk do a dessin as shown in Figure 11. The new element here is Figure 11(c): the edge adjacent to a monovalent $\bullet$-vertex $v$ is extended towards $\partial B_+$, and $v$ is replaced with a $\circ$-vertex (which is bivalent in the complete dessin in $B$), giving rise to a zigzag rather than an oval. The result is the dessin of a real trigonal curve $C \subset \Sigma := \Sigma_{2k+2-z}$ with $(5k + 4 - z)$ ovals and $z$ zigzags.

6.3.1. To distinguish the curves topologically, consider the region $B^2_+$ obtained from the interior of $B_+$ by adding small regular neighbourhoods of the zigzags and removing the zigzags themselves and all inner $\times$-vertices; see Figure 12. Since zigzags are clearly distinguishable topologically, the monodromy $\pi_1(B^2_+) \to \Gamma$ is a topological invariant of the curve. On the other hand, at least topologically, a pair of $\times$-vertices constituting a zigzag can collapse to a single type II singular fibre; hence, the $\Gamma$-valued monodromy about a whole zigzag equals that about a monovalent $\bullet$-vertex. Thus, the image of the monodromy $\pi_1(B^2_+) \to \Gamma$ equals $\text{Stab} Sk$, and distinct skeletons produce non-isotopic curves.
6.3.2. Let \((m_1, \ldots, m_z)\) be the sequence of the vertex lengths of the shortest left turn paths connecting pairs of consecutive monovalent \(\bullet\)-vertices of \(S_k\) (cf. Subsection 5.5; the monovalent \(\bullet\)-vertices themselves are also included into the count, so that each \(m_i \geq 3\)). Then the topology of pair \((\Sigma_R, C_R)\) is uniquely determined by the following two properties:

1. \(C_R\) does not intersect the zero section except once inside each zigzag;
2. the pair of zigzags of \(C_R\) corresponding to a pair of consecutive monovalent \(\bullet\)-vertices at a distance \(m\) is separated by \((m - 3)\) ovals.

Similar to Lemma 5.9, one can easily see that the curves \(C', C''\) obtained from two skeletons \(S_k'\), \(S_k''\), respectively, as above have fibrewise isotopic real parts if and only if the corresponding sequences \((m'_i), (m''_j)\) differ by a cyclic permutation.

6.3.3. If \(z = n_\bullet(S_k)\) is even, then the double covering \(X\) of \(\Sigma\) ramified at \(C\) and \(E\) is a generic Jacobian real elliptic surface. The surfaces obtained from distinct skeletons \(S_k\) or distinct (not related by an automorphism of \(S_k\)) lifts of the real structure are neither deformation equivalent nor isomorphic in the class of directed real Lefschetz fibrations, as they differ by the homological invariants; cf. Paragraph 6.3.1. The necklace diagram of \(X\) (see [33]) can be recovered from the sequence \((m_1, \ldots, m_z)\) introduced in Paragraph 6.3.2: reading from \(m_z\) down to \(m_1\), each pair \(m_{2i}, m_{2i-1}\) gives rise to a copy of \(\Rightarrow\), followed by \((m_{2i} - 3)\) copies of \(\Leftarrow\), a copy of \(\Leftarrow\), and \((m_{2i-1} - 3)\) copies of \(\square\). Two sequences produce isomorphic necklace diagrams if and only if they differ by an even cyclic permutation. (Thus, the lift of the real structure is encoded in the choice of a marked monovalent \(\bullet\)-vertex of \(S_k\).)

Remark 6.3. In the terminology of [17], the curves constructed in this section are ribbon curves with all blocks of type \(I_1\) or \(\Pi_3\). Conversely, any such curve \(C\) over the rational base is obtained by the above construction, and the ribbon curve structure of \(C\) is encoded by the original skeleton \(S_k\). It follows that both the fibrewise deformation type and the fibrewise isotopy type of \(C\) determine its ribbon curve structure. In [17], a similar assertion is stated for ribbon curves with all blocks of type \(I_2\) or \(\Pi_3\).

Remark 6.4. It is worth emphasizing that the analytic and topological classifications of the curves constructed above coincide. This fact substantiates the conjecture that real trigonal curves are quasi-simple, that is, the fibrewise equisingular deformation type of such a curve \(C \subset \Sigma_k\) is determined by the topological type of the quadruple \((\Sigma_k, C; pr, conj)\), where \(pr : \Sigma_k \rightarrow \mathbb{P}^1\) is the ruling.

7. The transcendental lattice

In this section, we give a formal definition of a new invariant of monodromy factorizations, which we call the transcendental lattice, and discuss a few open questions.
7.1. The construction

Fix a commutative ring $R$, two $R$-modules $\mathcal{L}, \mathcal{V}$, and a skew-symmetric bilinear form $\wedge^2 \mathcal{L} \to \mathcal{V}$, $x \wedge y \mapsto x \cdot y$. (In case $\mathcal{V}$ has a 2-torsion, we assume, in addition, that $x \cdot x = 0$ for all $x \in \mathcal{L}$.) Further, fix a symplectic (with respect to the chosen form) representation $G \to \text{Sp} \mathcal{L}$.

Definition 7.1. Given a $G$-valued monodromy factorization $\bar{m} = (m_1, \ldots, m_r)$, define the following objects:

(i) the $R$-module $\mathcal{L} \otimes \bar{m} := \bigoplus_{i=1}^r \mathcal{L}$;

(ii) the $R$-linear map $\chi : \mathcal{L} \otimes \bar{m} \to \mathcal{L}$, $\bigoplus_i x_i \mapsto \sum_i (m_i - 1)x_i$;

(iii) the $R$-quadratic map $q : \mathcal{L} \otimes \bar{m} \to \mathcal{V}$,

$$\bigoplus_i x_i \mapsto - \sum_{i=1}^r x_i \cdot m_i x_i + \sum_{1 \leq i < j \leq r} (m_i - 1)x_i \cdot (m_j - 1)x_j.$$  

(Here, $q$ is $R$-quadratic in the sense that $q(rx) = r^2 q(x)$ for all $x \in \mathcal{L} \otimes \bar{m}$, $r \in R$ and $(x, y) \mapsto q(x + y) - q(x) - q(y) \in \mathcal{V}$ is a $\mathcal{V}$-valued bilinear form.)

Let $\mathcal{L}_m = \ker \chi$, and define $\mathcal{L}_{\bar{m}}^\perp = \{ x \in \mathcal{L}_m \mid q(y + x) = q(y) \text{ for all } y \in \mathcal{L}_m \}$. Then, $\mathcal{L}_{\bar{m}} \subset \mathcal{L}_m$ is an $R$-submodule and the quotient $T(\bar{m}) := \mathcal{L}_m / \mathcal{L}_{\bar{m}}^\perp$ inherits a quadratic map $q : T(\bar{m}) \to \mathcal{V}$. It is called the transcendental lattice of $\bar{m}$ (defined by the representation $G \to \text{Sp} \mathcal{L}$).

Lemma 7.2. One has $q(x + y) - q(x) - q(y) = \chi(x) \cdot \chi(y) \bmod 2\mathcal{V}$ for any pair $x, y \in \mathcal{L} \otimes \bar{m}$.

Proof. The proof is a simple computation to account the fact that each $m_i$ is a symplectic automorphism of $\mathcal{L}$, so that $m_i x_i \cdot m_i y_i + x_i \cdot y_i = 2 (x_i \cdot y_i) = 0 \bmod 2\mathcal{V}$.

Corollary 7.3. If $\mathcal{V}$ is free of 2-torsion, then the quadratic form $q : \mathcal{L}_m \to \mathcal{V}$ extends to a symmetric bilinear form $\mathcal{L}_m \otimes \mathcal{L}_m \to \mathcal{V}$.

The symmetric bilinear extension of $q$ is also denoted by $q$. Its kernel equals the submodule $\mathcal{L}_{\bar{m}}^\perp$ defined above, and the extension $q$ factors to a non-degenerate symmetric bilinear form $q : T(\bar{m}) \otimes T(\bar{m}) \to \mathcal{V}$. The pair $(T(\bar{m}), q)$ is still called the transcendental lattice of $\bar{m}$.

Remark 7.4. Assume that $\mathcal{L} = H_1(F)$ for a punctured oriented surface $F$ and that the map $G \to \text{Sp} \mathcal{L}$ is induced by a certain representation of $G$ in the mapping class group of $F$. In these settings, a weak Hurwitz equivalence class of a $G$-valued monodromy factorization $\bar{m}$ of length $r$ represents an $F$-bundle $X \to B^2$ over a disc $B^2$ with $r$ punctures (see Paragraph 1.1.1), one has $\mathcal{L}_m = H_2(X)$, and the symmetric bilinear form $q : \mathcal{L}_m \otimes \mathcal{L}_m \to \mathbb{Z}$ is given by the intersection index, $q : x \otimes y \mapsto x \cdot y$. Indeed, $X$ is homotopy equivalent to an $F$-bundle over a wedge of circles in $B^2$, and $H_2(X)$ can be computed by applying the Mayer–Vietoris exact sequence to the union of $F \times \{ \text{basepoint} \}$ and a number of cylinders $F \times I$, the map $\chi$ serving essentially as the boundary homomorphism. Then, the self-intersection of a 2-cycle can be found by shifting the wedge to another copy, transversal to the original one; see [1, 16] for details. (In [16], a similar approach is also used to compute the intersection form of an $F$-bundle over any skeleton.) Definition 7.1 is thus a mere generalization of this simple algorithm.

The group $\mathcal{L} \otimes \bar{m}$ can be interpreted as $H_2(X, F_b)$, where $F_b$ is the fibre over a point $b \in \partial B^3$, but the quadratic form $q : \mathcal{L} \otimes \bar{m} \to \mathbb{Z}$ does not seem to have a simple geometric meaning. Examples show that the associated bilinear form does not need to be divisible by 2; see [16] or Example 7.11 below.
DEFINITION 7.5. A (weak) isomorphism between two triples \((M_1; \chi_1, q_1)\) and \((M_2; \chi_2, q_2)\), where \(M_i\) is an \(R\)-module, \(\chi_i : M_i \to L\) is an \(R\)-linear map, and \(q_i : M_i \to V\) is an \(R\)-quadratic map, is an \(R\)-isomorphism \(\varphi : M_1 \to M_2\) such that \(q_1 = q_2 \circ \varphi\) and, respectively, \(\chi_1 = \chi_2 \circ \varphi\) or \(\chi_1 = g \circ \chi_2 \circ \varphi\) for some \(g \in G\).

PROPOSITION 7.6. The triples \((L \otimes \bar{m}; \chi, q)\) and \((L \otimes \bar{m}'; \chi', q')\) corresponding to two strongly or weakly equivalent monodromy factorizations \(\bar{m}\) and \(\bar{m}'\) are isomorphic or weakly isomorphic, respectively. In particular, the transcendental lattice \(q : T(\bar{m}) \to V\) is a weak equivalence invariant of \(\bar{m}\).

Proof. If \(\bar{m}'\) is obtained from \(\bar{m}\) by a global conjugation, \(m_i' = g^{-1}m_ig\), \(g \in G\), the weak isomorphism \(\varphi : \bigoplus x_i' \mapsto \bigoplus gx_i'\); then \(\chi' = g^{-1} \circ \chi \circ \varphi\).

Assume that \(\bar{m}'\) is obtained from \(\bar{m}\) by one inverse Hurwitz move:

\[ m_i' = m_{i+1}, \quad m_{i+1}' = m_im_{i+1}^{-1}, \quad m_j' = m_j, \quad j \neq i, i+1. \]

Then the isomorphism \(\varphi : \bigoplus x_i' \mapsto \bigoplus x_i\) is given by

\[ x_i = m_{i+1}x_{i+1}', \quad x_{i+1} = x_i' + (m_i - 1)m_{i+1}^{-1}x_{i+1}', \quad x_j = x_j', \quad j \neq i, i+1. \]

It is straightforward that

\[ (m_i - 1)x_i + (m_i - 1)x_{i+1} = (m_i' - 1)x_i' + (m_{i+1}' - 1)x_{i+1}'. \]

hence, \(\chi' = \chi \circ \varphi\). Furthermore, due to (7.1), the essentially different terms in the expressions for \(q\) and \(q'\) are

\[ -x_i \cdot m_i x_i - x_{i+1} \cdot m_{i+1}x_{i+1} + (m_i - 1)x_i \cdot (m_{i+1} - 1)x_{i+1} \]

(and the corresponding primed terms). Rewrite the latter sum in the form

\[ -x_i \cdot m_i x_i + [(m_i - 1)x_i - x_{i+1}] \cdot (m_{i+1} - 1)x_{i+1}. \]

Using \(x_{i+1} \cdot x_{i+1} = 0\) and observe that \((m_i - 1)x_i - x_{i+1} = -x_i'\) and

\[ (m_{i+1} - 1)x_{i+1} = (m_i' - 1)x_i' + (m_{i+1}' - 1)x_{i+1}' - m_{i+1}'(m_{i+1}' - 1)x_{i+1}'. \]

Multiplying out and using the fact that \(\cdot\) is skew-symmetric and \(m_{i+1} = m_i'\) is a symplectic automorphism, one obtains \(q' = q \circ \varphi\). \(\square\)

7.2. Examples and open questions

The transcendental lattice \(q : T(\bar{m}) \to V\) is a relatively new invariant (regarded as an invariant of a monodromy factorization) and I do not know how powerful it is. In particular, I do not know if it can be expressed in terms of other known invariants.

Problem 7.7. Is there a relation between \(T(\bar{m})\) and other known invariants, for example, \([\text{Im}(\bar{m})]\) and \([\text{Im}_{\infty}(\bar{m})]\)?

Most known examples of computation of \(T(\bar{m})\) use the identity representation \(\Gamma = \text{Sp} H\) (see Subsection 2.1) and deal with a monodromy factorization representing the homological invariant of an extremal elliptic surface \(X\). In this case, \(T\) is indeed the transcendental lattice of \(X\), that is, the orthogonal complement \(NS(X) \perp \subset H_2(X)\), with the form induced by the intersection index; this relation explains the terminology, and it is the computation in [16] that inspired Definition 7.1.
Example 7.8. The $\tilde{\Gamma}$-valued reductions of the (non-simple) monodromy factorizations arising from the pairs of plane sextics with the sets of singularities marked with $a^*$ in Table 2 (see Subsection 5.6) differ by their transcendental lattices. An easy way to prove this fact is to compare the geometric classification of curves found in [14, 15] and their arithmetic classification found in [34]. The same argument shows that the other pairs in Table 2 have isomorphic transcendental lattices.

Example 7.9. For each $k \geq 0$, the simple $\tilde{\Gamma}$-valued monodromy factorizations given by Theorem 1.4 have isomorphic transcendental lattices; see [16]. If $k$ is even, then one has $T \cong D_k$ (with the usual convention $D_0 = 0$ and $D_2 = 2A_1$); if $k = 2s - 1$ is odd, then $T$ is the orthogonal complement $(3v_1 + \ldots + 3v_s + v_{s+1} + \ldots + v_{2s-1})^\perp$ in the orthogonal direct sum $\bigoplus_{i=1}^{2s-1} \mathbb{Z}v_i$, $v_1^2 = 1$.

7.2.1. A colouring of length $r$ is a function $\ell : \{1, \ldots, r\} \to \{\pm 1\}$. Given a simple $\Gamma$-valued monodromy factorization $\bar{m} = (m_1, \ldots, m_r)$ and a colouring $\ell$ of length $r$, define $T(\bar{m}, \ell)$ as the transcendental lattice of the $\tilde{\Gamma}$-valued lift of $\bar{m}$ obtained as follows: an entry $m_i = g_i^{-1}XYg_i$, $i = 1, \ldots, r$, $g_i \in \Gamma$, lifts to $g_i^{-1} \ell(i)XYg_i \subset \tilde{\Gamma}$. Alternatively, this lift can be described as the one with the eigenvalues of sign $\ell(i)$; in this form, the concept can be extended to a wider class of monodromy factorizations, for example, to those with unipotent entries, which arise from elliptic surfaces/trigonal curves over the rational base and without exceptional singular fibres. The following statement is immediate.

Proposition 7.10. Assume that two simple $\Gamma$-valued monodromy factorizations $\bar{m}', \bar{m}''$ of length $r$ are weakly equivalent. Then there is a permutation $\sigma \in S_r$ such that, for any colouring $\ell$ of length $r$, one has $T(\bar{m}', \ell) \cong T(\bar{m}'', \ell \circ \sigma)$.

Example 7.11. In [16], the lattices $T(\bar{m}, \ell)$ are computed for all $\Gamma$-valued monodromy factorizations given by Theorem 1.4 (see (5.3)) and all colourings $\ell$ taking exactly one value $-1$. It turns out that the isomorphism class of $T(\bar{m}, \ell)$ depends on $k$ only. The corresponding quadratic forms $q : \mathcal{H} \otimes \bar{m} \to \mathbb{Z}$ are also computed; in general, they do not extend to integral symmetric bilinear forms.

Problem 7.12. Does Proposition 7.10 distinguish the weak equivalence classes given by Theorem 1.5?

Example 7.13. We conclude with the only example known to me of a direct computation of the transcendental lattice using a representation other than $\Gamma = \text{Sp} \mathcal{H}$. Arima and Shimada [1] give an explicit construction of a pair of reducible sextics (each splitting into an irreducible quintic $Q$ and a line $L$) with the set of singularities $A_{10} \oplus A_9$ and compute their $B_5$-valued braid monodromies with respect to the pencil of lines through a generic point in $L$. Then, following more or less the lines of Definition 7.1 and using the obvious representation $B_5 \to \text{Sp} H_1(F)$, where $F$ is a punctured surface of genus 2, they compute the transcendental lattices and show that they are distinct (the latter fact being predicted beforehand using the theory of $K3$-surfaces). It is worth mentioning that the two sextics are conjugate over $\mathbb{Q}(\sqrt{5})$; thus, $T$ is a topological, but not algebraic, invariant.
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