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Counting Molecules with a Mobile Phone Camera Using Plasmonic Enhancement

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Supporting Information

ABSTRACT: Plasmonic field enhancement enables the acquisition of Raman spectra at a single molecule level. Here we investigate the detection of surface enhanced Raman signal using the unmodified image sensor of a smart phone, integrated onto a confocal Raman system. The sensitivity of a contemporary smart phone camera is compared to a photomultiplier and a cooled charge-coupled device. The camera displays a remarkably high sensitivity, enabling the observation of the weak unenhanced Raman scattering signal from a silicon surface, as well as from liquids, such as ethanol. Using high performance wide area plasmonic substrates that enhance the Raman signal 10⁶ to 10⁷ times, blink events typically associated with single molecule motion, are observed on the smart phone camera. Raman spectra can also be collected on the smart phone by converting the camera into a low resolution spectrometer with the inclusion of a collimator and a dispersive optical element in front of the camera. In this way, spectral content of the blink events can be observed on the plasmonic substrate, in real time, at 30 frames per second.

KEYWORDS: plasmonics, metamaterials, surface-enhanced Raman spectroscopy

Surface-enhanced Raman spectroscopy (SERS) uses plasmonic structures to enhance the intensity of Raman spectra of submonolayer coverage molecular films to levels that allow single molecule sensitivity.1−7 Although it was originally accepted that extremely high enhancement factors of 10⁹ to 10¹² was required for single molecule level SERS, later lower enhancement factors (10⁶ to 10⁸) were observed to be sufficient.8 A variety of techniques are used to produce metallic nanoparticles or surface bound metallic structures which enable SERS. Recently, we used an engineered meta-surface with subwavelength periodicity to demonstrate high uniformity and high spatial resolution confocal SERS imaging.9 A scaled down version of the substrates fabricated through conventional clean-room fabrication methods were used in stochastic SERS imaging to provide a resolution of 20 nm, using a low cost CMOS camera.10 In this article, we investigate detection of the SERS signal from a confocal Raman microscope using the camera of an unmodified smart phone. Mobile phones are used in fluorescence microscopy and even in optofluidic applications such as cytometry.11−16 Recently, a smart phone was used for fluorescent detection of 90 nm sized labeled nanoparticles and viruses.17 It is suggested that enhancement of the fluorescence and Raman signals may enable single molecule level sensing using a smart phone.18 Here, we demonstrate label-free single molecule blink event detection on a smart phone, enabled by a plasmonic metasurface fabricated using dewetting of Ag on HfO₂ deposited on an Ag underlayer by atomic layer deposition. Also, low resolution spectrometric detection of the unenhanced Raman signal is demonstrated by converting the smart phone to a spectrometer through the inclusion of a dispersive optical element in the beam path. The plasmon enhanced Raman signal from individual blink events are shown to produce sufficient number of photons for spectroscopic detection of single molecule events.

The surface enhanced Raman Stokes signal can be estimated according to \( P_{\text{SERS}}(\omega_\text{S}) = N\sigma_{\text{E}} I_\text{E}^2 \), where \( I_\text{E} \) is the excitation laser intensity, \( \sigma \) is the Raman cross section of the adsorbed molecule, possibly increased due to chemical enhancement, \( N \) is the number of molecules involved in the SERS process, and \( A_\text{E} \) and \( A_\text{S} \) are the field enhancement factors (for excitation frequency \( \omega_\text{E} \) and Raman scattering frequency \( \omega_\text{S} \)).19 The electromagnetic SERS enhancement factor is therefore roughly proportional to the fourth power of the field enhancement around the excitation and scattering wavelengths. Without plasmonic enhancement (i.e., \( A_\text{E} = A_\text{S} = 1 \)), the Raman signal is proportional to the excitation intensity \( I_\text{E} \) and the total number of scattering molecules, \( N \). For bulk measurements in the confocal configuration, Raman scattering comes mainly from the material contained within the focal volume, corresponding to about 10¹⁰ molecules for diffraction limited focusing with a high numerical aperture objective. The Raman scattering cross sections are small, typically \( \sim 10^{-30} \) to \( 10^{-24} \) cm² per molecule, and the Raman scattering signal can amount to 10⁰−10⁰⁰ photons per second using 10 mW excitation in the visible region. Plasmonic structures can enhance the signal significantly, thereby increasing the signal from single or few molecules to levels...
that can be detected using a cooled CCD spectrometer or a photomultiplier. Cooled detectors are bulky and have higher power consumption and are therefore not preferable for mobile platforms. Plasmonic enhancement can eliminate the need for cooling, and determine the conditions for sufficient enhancement of the Raman signal is important for evaluation of the potential use of uncooled detectors for capturing Raman spectra. Contemporary portable phones are typically equipped with miniature cameras, with relatively small input aperture diameters on the order of millimeters. The cameras are mostly able to capture video at 30 frames per second (fps) and are designed to capture low noise images, even in poor lighting conditions. Typically the cameras are fabricated using silicon, and intrinsic quantum efficiency of silicon photodiodes can reach 60–80%. Color filters enable red, green, and blue channels to be detected separately, resulting in color images accompanied by a potential decrease in external quantum efficiency. Electronic circuitry that is used in the acquisition of the photodiode signal may have automatic gain control, which allows operation under low light level conditions. The pixel count, uniformity, sensitivity, and noise level of the cameras have steadily improved.

A key requirement in single molecule fluorescence imaging or Raman spectroscopy is a sensor with a large enough minimum detectable power level that would produce a signal for a given influx of photons from a weak source. In order to compare the sensitivity of a smart phone camera with a photomultiplier and a cooled CCD, we use the setup shown in Figure 1a (also see Figure S1). The setup is based on a commercial confocal Raman microscope, slightly modified to accommodate the smart phone camera to record wide-field Raman images. We record the Raman spectrum of a silicon substrate, using 10 mW, 532 nm excitation. Laser is focused to a diffraction limited spot using a 0.9 NA, 100× objective. The Raman spectrum is recorded using a cooled linear charge coupled device (CCD) and is shown in Figure 1b. The optical signal can be routed by a switch to the imaging path, where an eyepiece is used to focus the light onto the smart phone camera. The inset of Figure 1b shows the green pixel counts extracted from a 30 fps video capture of the Raman scattering signal. The pixel size is calibrated by imaging a mask of known dimensions and is 480 nm. Poor focusing optics result in a broadened spot diameter of 1.4 μm. Although the measurement is done using a nonportable microscope, in principle the setup can easily be miniaturized. The Raman signal is also recorded using a photomultiplier and relative counts are shown in Figure 1c. The red, green, and blue (R, G, and B) filters of the smart phone sensor are nonideal, and overlapping transmittance results in 550 nm green light to be recorded in the blue and red channels as well (Figure 1c inset). It should be noted that color filters present on the sensor potentially degrades the resolution, and no effort was made to enhance the resolution by manipulating the demosaiced images from the camera. In order to make better use of the scarce photons, R, G, and B values are simply added. The relative sensitivity of the smart phone camera is measured by changing the excitation power and measuring camera output versus photomultiplier count rate (Figure 1d). The minimum detectable signal at 30 fps can be inferred from the intercept of the line in Figure 1e and is equivalent to 10^4 counts per second (cps). Noise plays a central role in determining the signal-to-noise ratio in any type of sensing, particularly important for blinking event detection. Noise level and signal stability of the smart phone camera is also measured using wide field illumination by a white LED

Figure 1. (a) Schematic description of the measurement setup. (b) Raman spectrum of silicon acquired using 10 mW of excitation power at 532 nm, focused to a diffraction limited spot, collected by a 100 μm core diameter fiber. Inset shows the green channel intensity from a capture of the spot using the smart phone camera at 30 frames per second (fps), pixel size is 480 nm. (c) Relative photon count rate (counts per second, cps) for a photomultiplier, a cooled linear CCD and the smart phone at 30 fps. (d) The pixel intensity of the smart phone camera vs photomultiplier count rate shows linearity of the camera output. The minimum detectable count rate is inferred by the intercept, at 10 Kcps, (e) Time series of the camera output for a single pixel when illuminated by a white light LED shows a peak to peak noise of 5 counts. The inset shows the histogram of pixel intensity, showing a distribution with width 2σ = 2.2 counts. (f) Power spectral density for the intensity of a single pixel, calculated by taking the Fourier transform of time series, shows slightly increased noise at low frequencies.
source dimmed down to produce \( \sim 100 \) counts/pixel/frame at 30 fps (Figure 1e). Peak to peak value of the pixel intensity noise is \( \sim 5 \) counts at 30 fps. A pixel value histogram is shown in the inset of Figure 1e. Noise spectrum is calculated using Fast-Fourier Transform and shows a slight increase at lower frequencies (Figure 1f). In the image snapshot mode, it is observed that the camera can capture clear images of the Raman signal even at low PMT count rates (as low as 10 Kcps),
with high signal-to-noise ratio. Since the camera does not allow setting the integration time in snapshot mode, we do not attempt to quantify the absolute sensitivity in snapshot mode.

We use an improved version of the plasmonic metasurface substrate described in our earlier work. Substrates fabricated by dewetting of silver films were studied before for their plasmonic properties and SERS enhancement. Metals near percolation threshold have localized surface plasmon resonance due to their nanoparticle nature. By changing fabrication conditions, resonances of the surface can be tuned. Placing metal nanoparticles over a metal surface, with an insulator separator in between, results in strong changes in overall plasmonic properties due to the interaction of metal nanoparticle with the metal film. It is seen that Ag nanoislands form at a mass thickness of 1 nm, coarsening takes place at 3 nm, and percolation begins at 5 nm mass thickness (Figure 2a). For 3 nm top metal mass thickness, the gap between individual nanoparticles is about 5–10 nm and nanoisland thickness is 10 nm on the average. HfO2 thickness of 30 nm and Ag nanoisland layer mass thickness of 3 nm produces a wide band perfect absorber as measured by spectroscopic ellipsometry (Figure 2c,d). The metasurfaces are quasi-omnidirectional and absorption is above 90% for the 30 nm thick HfO2 sample over a wavelength range of 450 to 800 nm, for angle of incidence of up to 60° (Figure 2e). Electromagnetic properties are calculated for periodic nanoislands with 75° sidewall angles (Figure 3a,b), as well as for SEM data based model surfaces (Figure 3c,d, also see Figures S2 and S3) using computational tools. The peak SERS enhancement factor around 550 nm is estimated to be between 106 to 2 × 109. The surfaces exhibit a large density of hot spots which display blinking Raman signals when viewed using wide field laser illumination. Plasmonic metamaterial surfaces have been demonstrated to have broadband absorption and superior field enhancements that are fabricated using electron beam lithography. In contrast, the surfaces presented here require no lithography, hence, are easy to fabricate over large areas. The resonance wavelengths can be tuned by changing the thickness of spacer layer and by control of island diameter and thickness. Optimized surfaces with 30 nm HfO2 dielectric thickness and 10 nm island thickness have broadband plasmon resonances over the whole visible spectrum with almost 90% average absorption. The broadband absorption is due to both the interparticle and the particle–metal film couplings. Mode confinements are shown for a periodic nanoisland arrangement, as shown in Figure 4. Interparticle coupling is attributed to a dipolar coupling between individual nanoparticles, which is known as electrical resonance where magnetic field is confined between the nanoparticles (Figure 4a). The particle–metal film coupling, typically referred to as the magnetic resonance, has the magnetic field confined to the spacer layer (Figure 4b). A coupling of the two types of resonances is also present and enhances the absorption in the intermediate wavelength region between the two resonances. The thickness of the Ag islands are important for having an optimal enhancement (Figure 4c,d). For single molecule SERS enhancement, the surfaces need to be optimized, and optimal SERS enhancement is achieved when plasmonic resonances cover the range of excitation and scattering frequencies. We optimized the SERS enhancement at excitation and scattering wavelengths (532–650 nm wavelength range, corresponding to Raman range of 0–3500 cm−1) by sweeping the fabrication parameters. The dielectric layer is very important in achieving the enhancement levels presented here and provides an order of magnitude improvement. This effect is studied recently by independent groups, using SiO2 as the spacer.

To characterize the spectral content of the blink events, we record the time-dependent Raman spectra on a bright hot spot, using a cooled CCD spectrometer, as shown in Figure 5. The integrated intensity between 0 and 3500 cm−1 is plotted as a function of time in Figure 5a. The integration time of the spectrometer is 100 ms. Sudden changes in the spectrum are observed as peaks in the time series. The spectra show distinct Raman bands that fluctuate in both intensity and frequency, which is commonly interpreted as a positive indication for single molecule level sensitivity (Figure 5b). The debate about the interpretation of fluctuating Raman bands as evidence for single molecule SERS is ongoing in the literature. It is claimed that thermally activated diffusion and Brownian motion of molecules are possibly responsible for the observed SERS signals. Fluctuations of intensity of optical emission from silver nanoparticles were claimed to be independent of the intentional presence of probe molecules but an inherent feature related to Ag nanoparticles. However, we note that in our experiments, when untreated surfaces are subjected to airborne molecules, for example by spraying a mixture of carbon compounds from a fragrance bottle, a sudden increase of blinking is observed (see Supporting Information, Video 1, captured using a monochrome CMOS camera). Diffusion of large molecules on metal surfaces has been directly observed and characterized using scanning tunnel microscopy. Also, spurious lines are routinely present in blinking SERS spectra. Based on such previous observations, we attribute the unidentified spectra to the presence of adsorbed volatile organic compounds present in ambient air. It is observed that the plasmonic substrates also enhance the fluorescence signal, and once in a while a broad fluorescence spectrum is captured as shown in Figure 5c. Such enhancements of the fluorescence were previously studied using plasmonic antennae. The optical spectrum during most blink events exhibit Raman bands, shown in Figure 5d, typically superimposed on a broad fluorescence background from the Ag nanoisland layer. In comparison, no signal can be observed on a flat Ag surface.

Figure 4. (a) Cross sectional magnetic field profile for a periodic arrangement of metal–insulator–metal resonators (40 nm period, 35 nm width, 20 nm thickness, 75° sidewall angle Ag, on 20 nm HfO2, on Ag) at 430 nm excitation wavelength and (b) at 700 nm excitation wavelength. (c) For a top metal thickness of 10 nm, fields have enhancement at the top surface (excited at 550 nm) as compared to (d) a top metal thickness of 20 nm (all scale bars are 20 nm wide).
The blinks are captured using the smartphone camera at 30 fps, and are shown in Figure 6a (also see Supporting Information, Video 2). The relative enhancement of the substrates as a function of dielectric thickness and Ag overlayer thickness can be seen in the increase of fluorescence signal from the Ag nanoisland layer. A dielectric thickness of 30 nm and an Ag overlayer mass thickness of 3 nm produces the highest blink rate with high blink intensities, an observation that correlates well with the reflection spectra of the surfaces. The blinks are counted using QuickPalm and histograms are generated as shown in Figure 6b.47 The pixel noise superimposed on the fluorescence background causes the QuickPalm algorithm to detect erroneous blink events with low peak intensity, causing an increased blink count at low intensities.

Simple detection of blink events using the smartphone camera is interesting, however, it is highly desirable to actually record the spectral content of the Raman scattering signal. In order to demonstrate that the smartphone can be used as a spectrometer, we use the setup shown in Figure 7a. The collection fiber output is collimated using a lens and a transmission grating is positioned before the focusing lens of the camera. In this configuration (smartphone spectrometer configuration), wavelength separation of the light can be achieved with reasonable linearity (see Figures S4 and S5). A similar configuration has been recently used to record the spectra of a white light source and perform label-free biomolecular detection.48 The wavelength dependent response is not uniform due to the color filters on the camera, however we do not attempt spectral equalization and use the data as it is extracted from the snapshots and video captures. We record the unenhanced Raman spectrum of silicon and ethanol on silicon using the cooled CCD spectrometer as shown in Figure 7b and compare the results with the smartphone-spectrometer data shown in Figure 7c. A 300 lines per mm (lpmm) transmission grating allows observation on zeroth, first, and second order diffraction orders, as shown in the insets. A close-up of the second order region is shown in Figure 7d, superimposed with the original Raman spectra shown in Figure 7c, convolved with a point spread function of the smartphone-spectrometer. The resolution (>180 cm\(^{-1}\) for 532 nm excitation) is limited by the diffractive power of the grating as well as the diameter of the fiber (25 \(\mu\)m diameter) and focal length of the collimator.

Figure 5. (a) Time series of the integrated intensity within 0 to 3500 cm\(^{-1}\), as recorded by the cooled CCD spectrometer on a particularly bright hot-spot, shows blinking events as fluctuations in the intensity (500 \(\mu\)W of excitation at 532 nm). (b) The time series plotted to feature the full spectrum exhibits Raman lines that fluctuate in intensity and frequency, characteristic of single molecule SERS. (c) Occasionally, a fluorescent molecule is captured in the hot-spot (at time 35 s in (a)). (d) Typical Raman spectra during a SERS blink (at time 76 s in (a)) exhibits a broad fluorescence superimposed with distinct Raman bands.
The fluctuating Raman spectra can be recorded using the SERS substrate, as shown in Figure 8a, where multiple snapshots are recorded consecutively, using a 600 lpmm grating, with an integration time on the order of 1 s. Distinct spectral features can be observed during blink events. The spectral features can still be observed at 30 fps, as shown in Figure 8b (also see Supporting Information, Video 3).

In order to demonstrate the superior signal intensity of SERS, a configuration was used where a smartphone camera was placed on a plasmonic surface with 1, 3, and 5 nm mass thickness Ag overlayer and 5, 10, 20, 30 nm HfO2 dielectric layer thickness. Excitation laser was defocused to illuminate an area 50 μm in diameter. Arrows denote blink events on a fluorescence background of the Ag nanoscale layer (also see Supporting Information, Video). Scale bar is 20 μm wide. Video frames are analyzed to extract a histogram of blink event intensity for the 3 nm Ag samples at varying HfO2 thickness. A dielectric thickness of 30 nm produces brightest blink events. As the bottom Ag layer is removed (dielectric thickness infinite), blink events can still be observed, however at a decreased rate and intensity. Inset shows the potential source of blinking, i.e., surface diffusion of physisorbed volatile organic compounds into and out of hot spots.

Figure 6. (a) Frames from video captures using the smartphone camera on plasmonic surfaces with 1, 3, and 5 nm mass thickness Ag overlayer and 5, 10, 20, 30 nm HfO2, dielectric layer thickness. Excitation laser is defocused to illuminate an area 50 μm in diameter. Arrows denote blink events on a fluorescence background of the Ag nanoscale layer (also see Supporting Information, Video). Scale bar is 20 μm wide. (b) Video frames are analyzed to extract a histogram of blink event intensity for the 3 nm Ag samples at varying HfO2 thickness. A dielectric thickness of 30 nm produces brightest blink events. As the bottom Ag layer is removed (dielectric thickness infinite), blink events can still be observed, however at a decreased rate and intensity. Inset shows the potential source of blinking, i.e., surface diffusion of physisorbed volatile organic compounds into and out of hot spots.

Figure 7. (a) Configuration for using the smartphone camera as a low resolution spectrometer. The collection fiber from the Raman setup is collimated and dispersed with a transmission grating (300 lines per mm) before entering the camera. (b) Raman spectra of silicon and ethanol on silicon collected with 13 mW of 532 nm excitation using the cooled CCD spectrometer are shown. (c) Smartphone camera recordings of the two orders of the dispersed input light in the snapshot mode. Solid blue lines show integrated pixel intensity and dotted red line shows superimposed Raman spectra convolved with a line shape function that represents the point spread function (PSF) of the optical configuration. Insets show actual camera excerpts. (d) Close-up of the second order diffraction region of the camera output (solid lines) superimposed with Raman spectra shown in (b) convolved with the PSF of the configuration.
we record the Raman spectra on plasmonic substrates treated with 10 nM methylene blue solution and 1 μM cresyl violet solution in using 100 μW excitation (Figure 8c,d). Corresponding spectra are also captured using the smart phone camera as shown in (e) and (f). Insets show excerpts of the region of interest from actual camera captures in snapshot mode.

In summary, we demonstrate that using substantial plasmonic enhancement, single molecule events can be detected through SERS, using the camera of a contemporary smart phone at 30fps. The video captures can be analyzed to distinguish individual blink events. Even weaker optical signals (10 Kcps) can be observed on the smart phone camera in snapshot mode with high signal-to-noise ratio, which we are unable to further quantify because of lack of knowledge about the integration time. The smart phone can be used as a spectrometer using a collimator and a transmission grating,
which with the enhancement of the plasmonic substrate is able to observe the spectral content of single blink events. Although the experiments were performed on a nonportable microscope system, the setup does not differ from a fluorescence measurement setup and can be potentially miniaturized. Use of low excitation powers (0.1–10 mW) also favors the potential for miniaturization. It must be noted that, although electromagnetic calculations estimate an overall enhancement factor of about $10^7$, the large intensity of the blinking signals suggest that the chemical or first layer contribution to SERS is not negligible. Such chemical enhancement can be significant, up to 2 orders of magnitude chemical enhancement due to complex mechanisms leading to charge transfer between the metal and the probe molecule has been predicted. Therefore, the SERS spectra differ significantly from unenhanced Raman spectra, and should be understood beyond simpler electromagnetic models. On the other hand, SERS spectra are repeatable in themselves, and the plasmonic substrates that enable the observations can be fabricated on large area substrates without the need for top-down patterning, therefore can be produced at low cost. The width of the spectra acquired using a 600 l/mm grating is about 1/8th of the overall field of view. In principle, by using a grating with higher groove density, the dispersion can be increased and resolution of the spectrometer configuration can be improved to better allow discrimination of spectra. However, for using the full field of view of the camera, additional optics may be required to improve the resolution to about 20 cm$^{-1}$. The remarkable sensitivity of the smart phone camera combined with high plasmonic enhancement of the optical signal as demonstrated in this article, may pave the way for low cost hand-held systems which can be used in the analytical study of samples at a single molecule level.

## METHODS

**Sample Preparation and Characterization.** Germanium (2–3 nm, 99.99% purity) is deposited on silicon (100) substrates using an e-beam evaporation system (Vaksis PVD Vapor 4S e-beam) as wetting and adhesion layer with a deposition rate 0.5 Å/s. Then 50 nm thick Ag layer (99.99% purity) is deposited with the e-beam evaporation system, with a deposition rate of 0.6–0.8 Å/s. For the dielectric spacer layer, 5–30 nm HfO$_2$ is deposited by atomic layer deposition. For the dielectric spacer layer, 5–30 nm HfO$_2$ is deposited by atomic layer deposition. The experimental obtained dielectric function of HfO$_2$ is used and it is fitted to 12 order polynomial with $10^{-5}$ fit tolerance, 12 coefficients, and 12 imaginary weight using program’s fitting algorithm. Germanium wetting layer is neglected in the simulations. The experimental obtained dielectric function of HfO$_2$ is used and it is fitted to 12 order polynomial with $10^{-5}$ fit tolerance and 5 imaginary weight is used. Field enhancement is calculated using the maximum field intensity enhancement factor on the nanoparticle. Computational Raman enhancement factor is calculated using the $|E(λ)|^2$ factor, where $λ = 532$ nm. SEM image of nanoparticle films (collected on an FEI environmental SEM system) are imported to the FDTD program. Bloch periodic condition is used for both $x$ and $y$ axes. Period is 300 nm for both $x$ and $y$ axes. Thickness of top metallic film is 10 nm. Field profiles for 532 nm wavelength is obtained at nanoparticle film HfO$_2$ interface in the middle plane of nanoparticle film and at the top of nanoparticle film.

## ASSOCIATED CONTENT

### Supporting Information

Supplementary Figures: Figure S1, annotated photograph of the measurement setup in the imaging configuration; Figures S2 and S3, electromagnetic simulation results of a model surface derived from scanning electron micrographs of the plasmonic surface; Figure S4, annotated photograph of the measurement setup for spectroscopy configuration; Figure S5, wavelength dependent capture of light with the smartphone in the spectrometer configuration. Supplementary Video captures: Video 1, the effect of airborne molecules on the blink events; Video 2, video capture of blink events using the smartphone; Video 3, spectral video capture during blinks events. This material is available free of charge via the Internet at http://pubs.acs.org.
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Notes
The authors declare no competing financial interest.

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