Deformed octagon-hexagon-square structure of group-IV and group-V elements and III-V compounds

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We report the prediction of a two-dimensional (2D) allotrope common to group-IV and group-V elements and III-V compounds, which consist of two nonplanar atomic layers connected by vertical bonds and form deformed octagon, hexagon, and squares (dohs) with threefold and fourfold coordinated atoms. Specifically for silicon, it is a semiconductor with cohesion stronger than siliconene and can be chemically doped to have localized donor and acceptor states in the band gap. This allotrope can be functionalized to construct quasi-2D clathrates with transition metal atoms and attain spin polarized metallic, half-metallic, or semiconducting states. It is demonstrated that these properties can be maintained, when it is grown on a specific substrate. Stringent tests show that the atomic structure is dynamically stable and can sustain thermal excitation at high temperatures. Additionally, stable bilayer, as well as 3D layerlike structures, can be constructed by the vertical stacking of single-layer dohs. Surprisingly, C, Ge, AIP, and GaAs can form also similar 2D semiconducting structures. In contrast to semiconducting black and blue phosphorene, P-dohs is a semimetal with band inversion. While the premise of using well-developed silicon technology in 2D electronics has been hampered by the semimetallic siliconene, the realization of this 2D, semiconducting allotrope of silicon and compounds can constitute a productive direction in 2D nanoelectronics/spintronics.

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I. INTRODUCTION

The synthesis of free-standing and supported monolayers have brought new directions to explore exceptional material properties, primarily in two-dimensional (2D) electronics, photonics, and spintronics allowing high-density device packing and high-speed operation with low energy consumption. Graphene [1], the most renowned monolayer of the carbon atom, displays excellent mechanical properties and chemical inertness, but it is a semimetal with linear bands crossing at the Fermi level showing massless Dirac fermion and ambipolar characters, etc. However, the absence of an electronic energy band gap hindered graphene from many electronic applications. With the hope of exporting well-developed semiconducting device technology to two-dimensional (2D) materials and replenishing expectations, the monolayers of group-IV elements have been placed at the forefront of research on 2D materials. Motivated with the synthesis of graphene, elements and compounds of silicon and selenium have been also deduced [4]. Unusual properties of siliconene and germanene have been extended to binary compounds of group-IV elements [5–7], and group III-V [7] and II-VI compounds [8] unveiling more than 20 monolayer structures. Among them, siliconene was synthesized on Ag(111) surface [9–11] despite the objections that the 2D monolayer structure cannot be realized, since Si does not have a layered structure like graphite. Furthermore, the $\sqrt{3} \times \sqrt{3}$ pattern of siliconene on Ag(111) has been unraveled [12]. The growth of multilayer siliconene up to ∼100 layers [13] has led to an important question of whether Si can have a layered structure like graphene [14,15]. Stable trigonal and honeycomb dumbbell structures, which were deduced to understand growth structures [12,16,17], initiated different types of silicon single-layers [18–24]. A similar dumbbell patterned monolayer has been predicted also for germanene [16]. The prime objectives of these recent theoretical studies have been to reveal 2D silicon based structures with high stability, high mobility, and sizable band gap for future nanodevice applications. Yaokawa et al. [21] realized the transformation of calcium intercalated siliconene to a 2D siliconene bilayer constructed four-, five-, and six-membered rings with an estimated band gap of 1.08 eV. Using a general global optimization method, Luo et al. [23] was able to deduce a Si bilayer consisting of seven- and five-membered rings having relatively lower energy. The dumbbell structure of Si is further exploited by Borlido et al. [19] to predict a low-energy, 2D semiconducting structure of Si by combining the siliconene honeycomb structure with the dumbbell atoms arranged in a zigzag pattern. In another study [20], unprecedented 2D ultrathin Si structures
were generated by \textit{ab initio} materials searching study with electronic properties ranging from semimetal to semiconductor. These structures had perfectly planar outermost surface made by distorted hexagonal rings and thickness depending on the arrangements of tetrahedron between these surfaces. Most recently, Zhuo \textit{et al.} \cite{18} claimed that they revealed 11 different 2D allotrope of Si based on the first-principles calculations on the structures designed by mixing threefold and fourfold coordinated Si atoms. These 2D materials were constructed from six-membered, eight- and four-membered, or eight- and five-membered rings combined with dumbbells and were predicted to be semiconducting behavior with high electron and hole carrier mobility. It appears that 2D single-layer Si has several metallic, semimetallic, and semiconducting allotropes like 3D Si \cite{25}. Even if the premise of using the silicon technology in 2D electronics has been hampered to great extent by the semimetallic state of silicene, presently, synthesizing a semiconducting, 2D single-layer or ultrathin Si and realizing a graphitelike, layered phase of silicon continue to be real challenges.

Based on state-of-the art first-principles calculations within the density functional theory (DFT), we predict that a 2D allotrope of Si is dynamically and thermally stable. It has fourfold and threefold coordinated Si atoms arranged in two nonplanar, atomic layers connected by vertical bonds \cite{26} and consists of deformed octagons, hexagons, and squares (dohs). Surprisingly, it has cohesion stronger than silicene and an indirect band gap of 0.5 eV. When stacked vertically, this allotrope can also construct stable, metallic bilayers, as well as three-dimensional (3D) layeredlike structures. We name this allotrope can also construct stable, metallic bilayers, as well as three-dimensional (3D) layeredlike structures. We name this allotrope \textit{Si-dohs} and propose also a possible kinetic path towards the realization of this 2D allotrope of Si. Even more surprising is that not only Si, but also group-IV elements, like C, Ge, III-V compounds like AlP, GaAs, even a group-V element, P can form stable \textit{dohs} structures making a class of 2D materials.

\section{II. METHODOLOGY}

We have performed first-principles calculations for total energy and electronic structure within DFT by using plane-wave basis set and projector augmented wave (PAW) potential\cite{27} as implemented in the Vienna Ab-initio Simulation Package, \textit{VASP} \cite{28,29}. The exchange-correlation potentials are represented by the generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof (PBE) \cite{30} exchange-correlation functional including van der Waals \textit{vdW} correction in the DFT-D2 level \cite{31}. The kinetic-energy cutoff for the plane-wave basis set is taken as 500 eV for Si and AlP, 550 eV for C, and 350 eV for Ge and GaAs. Using the conjugate-gradient algorithm, all the atomic positions and lattice parameters are fully optimized until the forces on each atom are less than $1.0 \times 10^{-4}$ eV/Å. The convergence criterion of self-consistent calculations is taken to be $10^{-6}$ eV for total-energy values. Pressures on the lattice unit cell are reduced to values less than 1 kbar. Since the band gaps are underestimated by DFT using PBE, we also performed calculation using the Heyd-Scuseria-Ernzerhof (HSE06) hybrid functional method \cite{32}. The screening length of HSE06 is taken as 0.2 Å, and the mixing rate of Hartree-Fock (HF) exchange potential is set to 0.25.

The partial occupancies are determined using the Gaussian scheme with the smearing width of 0.01 eV. The cohesive energy (per atom) of Si-\textit{dohs} is calculated by using the expression $E_c = E_{\text{Si}} - E_{\text{Si-dohs}}/n$ in terms of the total energy of free Si atom and that of Si-\textit{dohs} including $n$ Si atoms in the unit cell. $E_c > 0$ corresponds to the binding structure. Cohesive energies of compounds are calculated similarly by considering anion and cation energies and by averaging the calculated values to express them in eV per atom. Phonon dispersion spectra are computed using force constants of the supercell within the framework of density functional perturbation theory (DFPT) as implemented in the \textit{VASP} code combined with the \textit{PHONOPY} package \cite{33} using an improved energy convergence criterion as $10^{-8}$ eV. These calculations were performed with a $3 \times 3 \times 1$ supercell and $6 \times 6 \times 1$ grid for the $k$-point for Si-\textit{dohs}. All \textit{ab initio} molecular dynamics (AIMD) calculations were performed with a $2 \times 2 \times 1$ supercell and $k$-point grids are chosen to be $5 \times 5 \times 1$ for single and bilayers. A Nosé thermostat was used, and Newton’s equation of motion were integrated through the Verlet algorithm with time steps of 1 fs. For bulk structure we choose $2 \times 2 \times 2$ supercells and $3 \times 3 \times 2$ \textit{k}-point grids.

\section{III. SEMICONDUCTING, 2D-dohs STRUCTURE OF SI}

Our study starts with the open-framework, 3D allotrope of silicon structure P6/m-\textit{Si$_6$} derived from P6/m-\textit{NaSi$_6$} clathrates found by Sung \textit{et al.} \cite{34} as described in Fig. 1(a).

\begin{figure}[h]
\centering
\includegraphics[width=\textwidth]{fig1.png}
\caption{(a) Top [(xy) plane] and side views of the metallic P6/m-\textit{Si$_6$} clathrate structure with the calculated cohesive energy of $E_c = 4.50$ eV/atom. (b) Top view of Si-\textit{dohs} with the 2D oblique unit cell delineated. 2D lattice constants a and b are indicated. Its perspective side view below consists of deformed hexagons and squares. (c) Two nonplanar atomic layers of Si-\textit{dohs} are made of deformed octagons, which are connected by two vertical bonds per unit cell and constitute deformed squares and hexagons in the side view. (d) Calculated charge density contour plots on a plane passing through specific Si-Si bonds described on the left. (e) Calculated charge density of semimetallic silicene monolayer is shown for comparison.}
\end{figure}
Based on combining density functional calculation and the global optimization method of conformational space annealing, Sung et al. [34] reported that P6/m-Si$_6$ and P6/m-NaSi$_6$ structures are stable and exhibit the superconducting behavior at ambient pressure. It is a metallic phase of Si [35–38] and has a hexagonal lattice, where each Si atom is sevenfold coordinated with Si-Si bonds longer than the bond of the cubic diamond structure (Si-CD). This structure can be viewed as a stack of the atomic planes of nested double hexagons formed by fivefold coordinated, in-plane Si atoms, which are further connected to the adjacent atomic planes at the top and bottom site by vertical bonds. When cleaved from the stack, the free-standing atomic plane, which is very similar to the recently deduced [39] borophene phase named a stack, the free-standing atomic plane, which is very similar and bottom site by vertical bonds. When cleaved from the further connected to the adjacent atomic planes at the top and bottom site by vertical bonds. When cleaved from the stack, the free-standing atomic plane, which is very similar to the recently deduced [39] borophene phase named a stack, the free-standing atomic plane, which is very similar and bottom site by vertical bonds. When cleaved from the.
fundamental band gap of the optimized structure calculated within PBE approximation [30] is indirect and is $E_{\text{g, ind}} = 228$ meV, whereas the band gap of $E_{\text{g, ind}} = 495$ meV is obtained using the HSE functional [32] including the spin orbit coupling (SOC). The fundamental band gap displays interesting and nonmonotonous variation under uniaxial strain as shown by the inset in Fig. 3; it decreases first with increasing $\epsilon$ and eventually the gap is closed at $\epsilon = 4\%$, but the gap reopens for $\epsilon \geq 5\%$ and starts to increase. This occurs since the energies of different bands change differently with strain, and can provide an excellent medium to tune electronic properties.

We next explore whether the bilayer (BL) and 3D periodic (P) structures can be constructed from the vertical stacking of Si-dohs. In Fig. 4(a) are shown three possible stacking sequences and their cohesive energies $E_c$. These are AA, AA’, and AB stacking sequences. While BL-AA’ and BL-AA transform to BL-AB at $T \sim 300$ K, BL-AB is able to maintain their structure at $T = 900$ K, without dissociation. BL-AB is a metal and has the cohesive energy, $E_c = 4.37$ eV/atom. Since a BL of Si-dohs can remain stable, 3D periodic structures may be constructed with vertical AA’, AB, and AA stacking. The optimized, 3D periodic structures are described in Fig. 4(b). Further to 3D CD-Si, wurtzite-Si and other phases obtained in extreme conditions in diamond anvil, the present study deduces that there are three different, metallic 3D structures of Si. Incidentally, these three structures are different from 3D silicon allotropes [43–45]. Furthermore, they have dynamical stability at $T = 0$ K and can remain stable at 900 K. P-AA and P-AA’ are similar and have lateral octagonal open channels, so that their quasilayered structure becomes discernible. Nonetheless, these 3D structures are not like layered graphite, that is constructed by the stacking of graphene monolayers bound to adjacent layers by weak van der Waals interaction, but they rather have low-density vertical bonds [26] between layers. As for the P-AB structure, it has cohesion slightly higher than those of P-AA and P-AA’ and does not display a layered character. The formation of strong and vertical covalent Si-Si bonds destroys the fully layered nature. In this respect, these 3D Si phases are similar to silicite constructed from trigonal and honeycomb dumbbell layers [15].

Here the crucial question to be addressed is whether interesting structural and electronic properties unveiled for free-standing Si-dohs can be maintained when it is placed (or grown) on specific substrates for specific applications. We answered this question by studying Si-dohs supported by Ag(111) and graphene substrates. To ensure the lattice matching for the overlayer and substrate within periodically repeating supercells, Ag(111) and graphene were slightly strained and the structure of Si-dohs, which was placed on the substrates at large spacing, has been reoptimized. It should be noted that under strain these substrates usually become more reactive to interact more strongly with the overlayer. On the contrary, the interaction between incommensurate overlayer and substrate can be weakened. In this respect, strained substrates may constitute stringent tests for possible substrate effects on Si-dohs overlayers. Top and side views of optimized atomic structures of Si-dohs on Ag(111) in Fig. 5(a) indicate that the overlayer approaches and attains a small spacing to set a strong coupling with the substrate, so that the semiconducting character of the overlayer changed to metallic. The charge density isosurfaces corroborate this conclusion. In contrast to Ag(111) substrate, Si-dohs becomes bound to graphene at a large spacing via a weak van der Waals interaction, so that its semiconducting character is maintained as shown in Fig. 5(b).

This allotrope can be chemically doped by adatoms to modify its electronic structure locally and to create localized acceptor and donor states near the edges of conduction- and valence-band edges as shown in Fig. 6. The chemical doping is simulated by the adsorption of a single adatom to each $6 \times 4$ supercell of Si-dohs, whereby the adsorbate-absorbate interaction is minimized. Our analysis indicates that isocoric adatoms like single Al and P are adsorbed on Si-dohs with strong binding energy of 3.0 and 3.6 eV, respectively. These adatoms, also used in the doping of CD-Si, construct empty and filled localized states at the edges of the fundamental band.
IV. OTHER GROUP-IV AND GROUP-V ELEMENTS AND III-V COMPOUND

Earlier, 3D crystals of group IV elements and their binary compounds, as well as group III-V compounds, which are well known in materials science and device technology with their optoelectronic properties, were found to construct 2D stable, free-standing planar or buckled honeycomb structures [2,3,7]. Some of these elements and compounds, such as C, Ge, AlP, and GaAs, are also found to form stable, 2D allotropes like Si-dohs as shown in Fig. 8. Even though their band gaps are underestimated by PBE calculations, all these structures,
Ec = 3.64 eV

Eg, i = 902 meV

Eg, d = 1.31 eV

Ec = 2.97 eV

Eg, i = 746 meV

h-GaAs

Ec = 2.89 eV

Eg, d = 1.38 eV

Ec = 3.51 eV

Eg = 0 eV

Germanene

Ec = 3.32 eV

Semimetal

Ec = 6.92 eV

Eg, i = 3.40 eV

Graphene

Ec = 7.91 eV

Semimetal

FIG. 8. Top and side views of optimized atomic structure of some other group-IV elements C, Ge, and group-III-V compounds GaAs, AlP, constructing stable 2D structures like Si-dohs. The PBE calculated (average) cohesive energy Ec per atom and fundamental band gap is presented. For comparison, values calculated for counterparts in 2D hexagonal structure are also given.

in particular Ge-dohs, are semiconductors with fundamental band gaps smaller than their bulk counterparts in diamond or zinc-blende structures. The structure of C-dohs differs slightly from that of Si-dohs, perhaps, due to strong π-π orbital interaction at smaller bond distance. We note that AlP-dohs and Si-dohs having similar structure and lattice constants are suitable to form vertical heterostructures offering different device capabilities.

Surprisingly, not only group-IV elements and III-V compounds, but also phosphorous P being a group-V element, construct 2D stable, monolayer dohs structure. The dynamical and thermal stability of P-dohs are ensured through phonon and finite-temperature ab initio molecular dynamics (AIMD) calculations. This structure maintained its stability up to 900 K. Moreover, it preserved its stability by keeping the original structure after the annealing from 900 to 300 K within 0.3 ps and from 300 to 0 K within 0.3 ps. The P-dohs structure exhibits a semimetallic band in contrast to other well-known allotropes, such as blue and black phosphorene monolayers [46–48]. Valance and conducting bands have a very small overlap near the Fermi level with the PBE and HSE functional. Unlike the Si-dohs structure, P-dohs has more deformed octagons and proper hexagons [Fig. 9(a)] like the 3D K4 phosphorus structure [49]. The P-dohs structure has less cohesive energy compared with black and blue phosphorene.

V. DISCUSSION AND CONCLUSION

The predictions of the present study on the stable, 2D silicon structure with high cohesion differ from the 2D Si structures discussed above in the following aspects, and make an important contribution to the field: (i) The atomic structure of the present allotrope does not have any bearing on the those discussed above; it has deformed eight-, six-, and four-membered rings in the same structures. It is rather robust; it has dynamical stability and thermal stability at high temperature. (ii) This allotrope is not a computer generated structure. We showed that the dohs structure can be driven from the quasilayered P6/m-Si6 structure. Therefore, a kinetic path to generate the dohs structure is known. (iii) Si-dohs is one of the 2D allotropes of Si, which has highest cohesion (or lowest energy per atom) within the numerical uncertainty of DFT calculations depending on the calculational parameters. (iv) It is an indirect band gap semiconductor with a 0.5 eV band gap. This band gap is closed for uniaxial tensile strain of 4%, but it reappears and increases with further increase of the strain offering an excellent tunability. (v) We showed that single-layer Si-dohs can form stable, but metallic, bilayers.

FIG. 9. (a) Top and side views of optimized atomic structure of P-dohs. (b) Energy bands structure calculated using PBE (solid lines) and HSE (dotted lines) functional.
Furthermore, we showed that one can construct three metallic phases of 3D Si through vertical stacking of monolayer Si-dohs in different registries. We believe that this is an important prediction towards the realization of 3D metallic Si crystal. (vi) We also showed that monolayer Si-dohs keeps its identity and electronic properties to a great extent when placed on graphene. However, its semiconductor properties cease when it grows on a metal surface like Ag(111). (vii) Si-dohs can easily be doped by isocoric impurities like Al and P atoms to have acceptor and donor states. Additionally, we showed that Si-dohs can form 2D clathrate structures with 3d transition metal atoms. CrSi6 clathrate is a half metal with a band gap of 0.9 eV for spin-down states, whereas VSi6 is a magnetic semiconductor with two different band gaps for different spin polarization. On the other hand, TiSi6 is a narrow band-gap semiconductor. (viii) Finally, the dohs structure appears to be a stable structure, not only for Si, but also for other group-IV elements (C and Ge), group-III-V compounds (GaAs, AlP), and also a group-V element (P). In this respect, the dohs structure constitutes a large class of materials like the honeycomb structure. Perhaps this is the most important aspect of this paper.

In conclusion, starting from the metallic 3D P6/m-Si6 clathrate of silicon we found a 2D, single-layer allotrope of Si specified as Si-dohs, which is dynamically stable and can resist high-temperature excitation. In contrary to the well-known semimetallic allotrope, silicene, it is a semiconductor with an indirect fundamental band gap and has relatively stronger cohesion. This single-layer allotrope can form metallic bilayers and even periodic, 3D-layered-like structures. Additionally, when supported by specific substrates, this allotrope can maintain its properties calculated for its free-standing state. We showed that this allotrope can be chemically doped by adatoms like Al and P to generate acceptor and donor states. It can be functionalized to construct stable, quasi-2D clathrate structures with light transition metal atoms and acquires magnetic properties, like a half-metallic state. Most interestingly, not only Si, but also some other group-IV elements, as well as group-III-V compounds, can have allotropes like Si-dohs. Surprisingly, even phosphorus, a group-V element, can form a stable dohs structure. In contrast to black and blue phosphorene, P-dohs is a semimetal. In contrast to PBE bands, the HSE yields a band inversion leading to a nontrivial topological state. We note that AlP-dohs and Si-dohs having similar structure and lattice constants are suitable to form vertical heterostructures offering interesting device capabilities. Similarly, a junction of Si-dohs/P-dohs can generate an interesting Schottky diode. All these predictions point to the fact that these 2D allotropes revealed in the present study can be suitable for applications in 2D nanoelectronics/spintronics and herald an interesting class of nanomaterials.

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